



Volume III

Attachment K: State of Tennessee Single Audit Report for the Year ended June 30, 2010, Comptroller of the Treasury, Division of State Audit

Response to the Report of the Reaffirmation Committee

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STATE OF TENNESSEE SINGLE AUDIT REPORT



COMPTROLLER OF THE TREASURY
DIVISION OF STATE AUDIT
FOR THE YEAR ENDED JUNE 30, 2009



STATE OF TENNESSEE
COMPTROLLER OF THE TREASURY
DEPARTMENT OF AUDIT
DIVISION OF STATE AUDIT

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August 20, 2010

The Honorable Phil Bredesen, Governor
and
Members of the General Assembly of Tennessee
State Capitol
Nashville, Tennessee 37243-9034

Ladies and Gentlemen:

We are pleased to submit the twenty-sixth *Single Audit Report* for the State of Tennessee. This report covers the year ended June 30, 2009. The audit was conducted in accordance with the requirements of the Single Audit Act Amendments of 1996 and the provisions of Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

This *Single Audit Report* reflects federal expenditures of over \$13.7 billion. This report includes one material weakness relating to a major federal program and three significant deficiencies relating to major federal programs that were not considered to be material weaknesses. This report also includes six instances of noncompliance that meet the criteria of OMB Circular A-133. The material weakness, significant deficiencies, and instances of noncompliance relating to major federal programs are described in Section III of the Schedule of Findings and Questioned Costs.

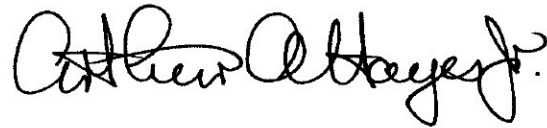
The *Comprehensive Annual Financial Report* of the State of Tennessee for the year ended June 30, 2009, has been issued under a separate cover. In accordance with the standards applicable to financial audits contained in generally accepted government auditing standards, we are issuing our report on our consideration of the State of Tennessee's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grants and other matters. We noted one significant deficiency that was considered to be a material weakness in internal control. We noted no instances of noncompliance that we

August 20, 2010
Page Two

considered to be material to the basic financial statements. The material weakness in internal control arising from our financial statement audit is described in Section II of the Schedule of Findings and Questioned Costs.

We would like to express our appreciation to the Department of Finance and Administration and other state agencies, universities, and community colleges, for their assistance and cooperation in the single audit process.

Sincerely,

A handwritten signature in black ink that reads "Arthur A. Hayes, Jr." The signature is written in a cursive style with a prominent initial "A" and a trailing flourish.

Arthur A. Hayes, Jr., CPA, Director
Division of State Audit

**State of Tennessee
Single Audit Report
For the Year Ended June 30, 2009**

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**State of Tennessee
Single Audit Report
For the Year Ended June 30, 2009
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Selected Statistical Data

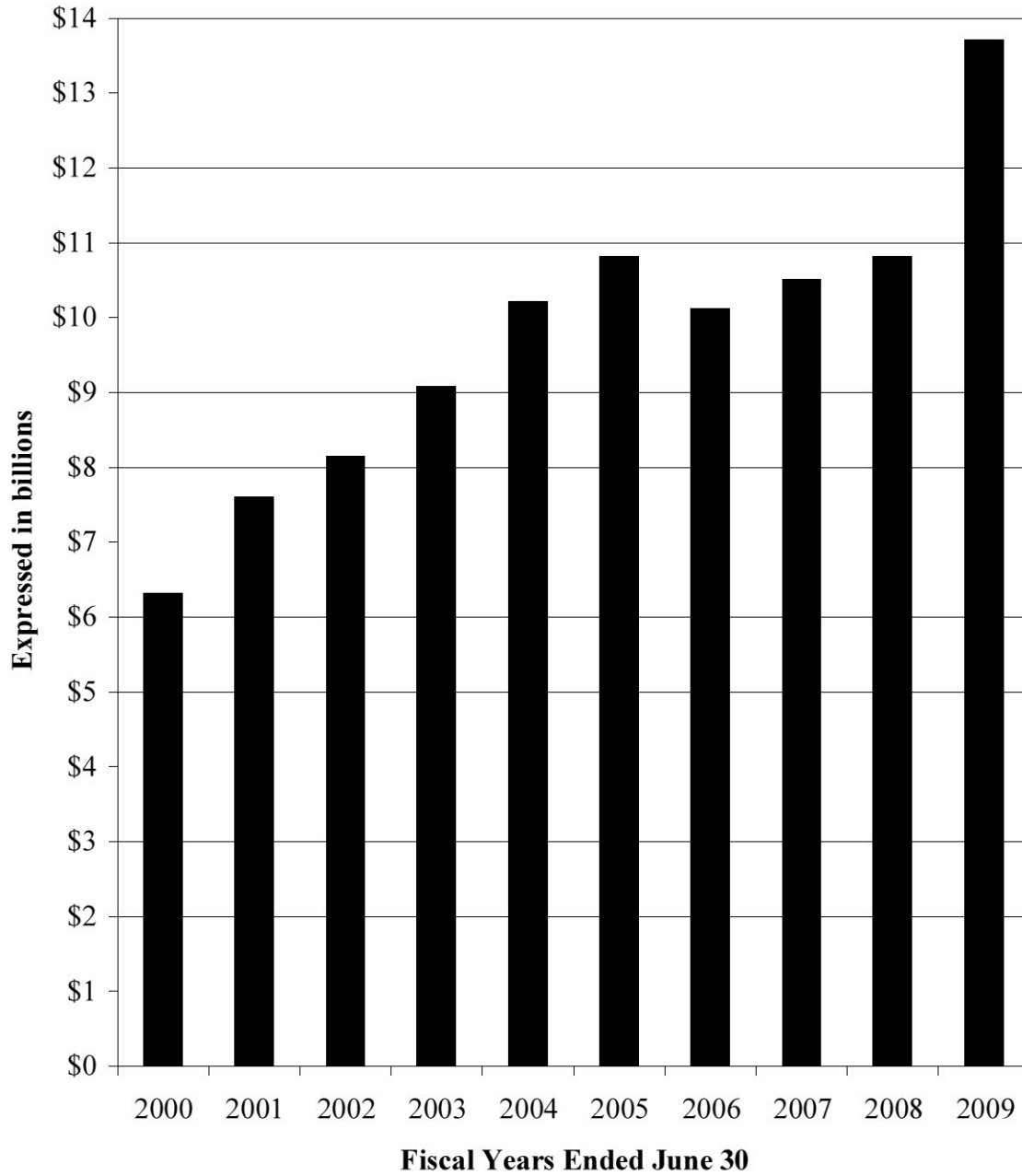
Total Federal Expenditures – Ten-Year Summary

Expenditures by Awarding Agency

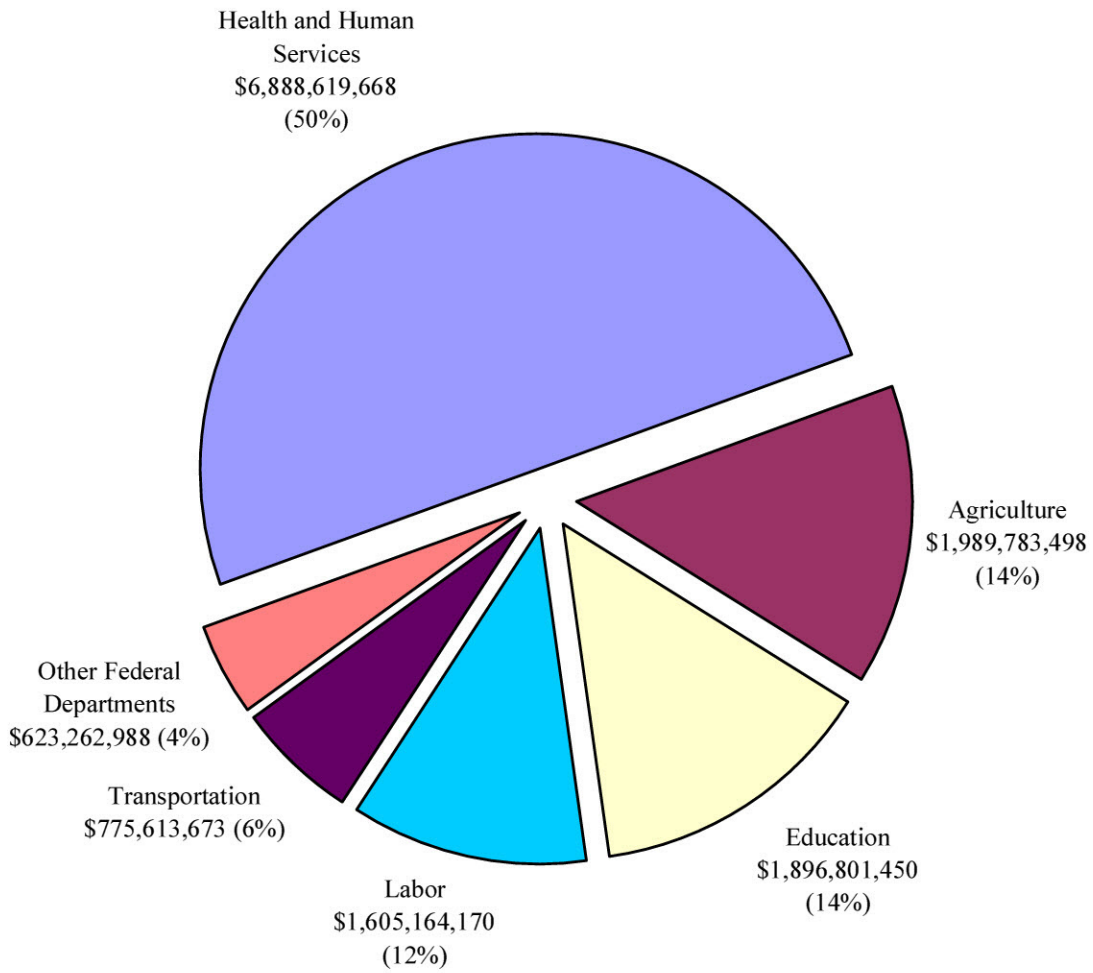
Number of Type A and Type B Programs

Type A and Type B Program Expenditures

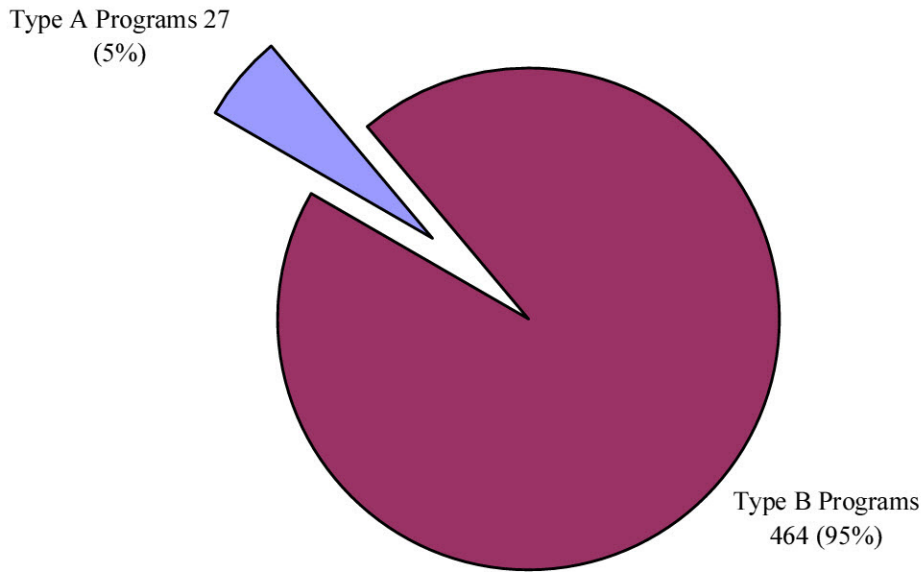
Total Federal Expenditures - Ten-Year Summary



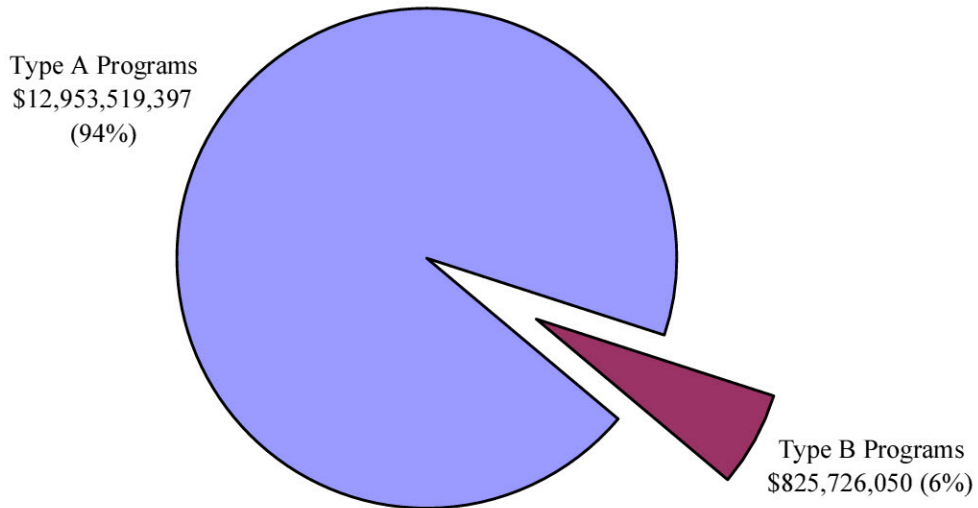
Expenditures by Awarding Agency July 1, 2008, through June 30, 2009



Number of Type A and Type B Programs



Type A and Type B Program Expenditures



Type A programs for the State of Tennessee are defined as federal programs with expenditures exceeding the larger of \$30 million or fifteen-hundredths of one percent (.0015) of total federal awards expended. For the fiscal year ended June 30, 2009, the Type A program threshold for the State of Tennessee was \$30 million. Those federal programs with expenditures below the Type A threshold are labeled Type B programs.

Auditor's Reports

Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards*

Report on Compliance With Requirements Applicable to Each Major Program and on Internal Control Over Compliance in Accordance With OMB Circular A-133 and on the Schedule of Expenditures of Federal Awards



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**Report on Internal Control Over Financial Reporting and on Compliance and Other
Matters Based on an Audit of Financial Statements Performed in Accordance With
Government Auditing Standards**

August 6, 2010

The Honorable Phil Bredesen, Governor
and
Members of the General Assembly
State Capitol
Nashville, Tennessee 37243-9034

Ladies and Gentlemen:

We have audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Tennessee as of and for the year ended June 30, 2009, which collectively comprise the State of Tennessee's basic financial statements, and have issued our report thereon dated August 6, 2010. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in generally accepted government auditing standards.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the State of Tennessee's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Tennessee's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the State of Tennessee's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. However, as discussed below, we identified a deficiency in internal control over financial reporting that we consider to be a significant deficiency.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the entity's financial statements that is more than inconsequential will not be prevented or detected by the entity's internal control. We consider the deficiency described in 09-TDT-01 in the Schedule of Findings and Questioned Costs to be a significant deficiency in internal control over financial reporting.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the entity's internal control.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in the internal control that might be significant deficiencies and, accordingly, would not necessarily disclose all significant deficiencies that are also considered to be material weaknesses. However, we consider 09-TDT-01 to be a material weakness.

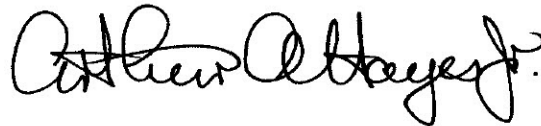
Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Tennessee's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under generally accepted government auditing standards.

We did note certain matters that we reported to management of the State of Tennessee in separate letters.

This report is intended solely for the information and use of the General Assembly of the State of Tennessee, management, and the appropriate federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record.

Sincerely,

A handwritten signature in black ink, reading "Arthur A. Hayes, Jr." in a cursive style.

Arthur A. Hayes, Jr., CPA, Director
Division of State Audit

AAH/ras



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**Report on Compliance With Requirements Applicable to Each Major Program and on
Internal Control Over Compliance in Accordance With OMB Circular A-133 and on the
Schedule of Expenditures of Federal Awards**

August 6, 2010

The Honorable Phil Bredesen, Governor
and
Members of the General Assembly
State Capitol
Nashville, Tennessee 37243-9034

Ladies and Gentlemen:

Compliance

We have audited the compliance of the State of Tennessee with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2009. The State of Tennessee's major federal programs are identified in the summary of auditor's results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the State of Tennessee's management. Our responsibility is to express an opinion on the State of Tennessee's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in generally accepted government auditing standards; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State of Tennessee's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the State of Tennessee's compliance with those requirements.

In our opinion, the State of Tennessee complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2009. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying Schedule of Findings and Questioned Costs as items 09-DCS-01, 09-DCS-02, 09-DFA-02, 09-TSAC-01, 09-TSAC-02, and 09-UTS-01.

Internal Control Over Compliance

The management of the State of Tennessee is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the State of Tennessee's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Tennessee's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in the State of Tennessee's internal control that might be significant deficiencies or material weaknesses as defined below. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be significant deficiencies and one deficiency that we consider to be a material weakness.

A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control. We consider the deficiencies in internal control over compliance described in the accompanying Schedule of Findings and Questioned Costs as items 09-DCS-02, 09-DFA-01, 09-DFA-02, and 09-TSAC-02 to be significant deficiencies.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control. Of the significant deficiencies in internal control over compliance described in the accompanying Schedule of Findings and Questioned Costs, we consider 09-TSAC-02 to be a material weakness.

Schedule of Expenditures of Federal Awards

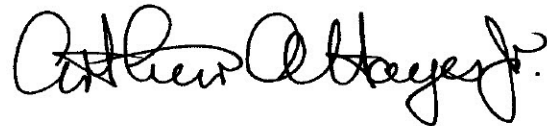
We have audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Tennessee as of and for the year ended June 30, 2009, and have issued our report thereon dated August 6, 2010. Our audit was performed for the purpose of forming our opinions on the financial statements that collectively comprise the State of Tennessee's basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

The State of Tennessee's responses to the findings identified in our audit are described in the accompanying Schedule of Findings and Questioned Costs. We did not audit the State of Tennessee's responses and, accordingly, we express no opinion on the responses.

August 6, 2010
Page Four

This report is intended solely for the information and use of the General Assembly of the State of Tennessee, management, and the appropriate federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record.

Sincerely,

A handwritten signature in black ink that reads "Arthur A. Hayes, Jr." The signature is written in a cursive style with a large initial 'A' and a distinct 'H'.

Arthur A. Hayes, Jr., CPA, Director
Division of State Audit

AAH/ras

Auditor's Findings

Schedule of Findings and Questioned Costs

Section I – Summary of Auditor's Results

Section II – Financial Statement Findings

Section III – Federal Award Findings and Questioned Costs

State of Tennessee
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2009

Section I – Summary of Auditor’s Results

Financial Statements

- We issued an unqualified opinion on the basic financial statements.
- We identified one material weakness in internal control over financial reporting.
- We reported no significant deficiencies in internal control over financial reporting that were not considered material weaknesses.
- We noted no instances of noncompliance considered to be material to the basic financial statements.

Federal Awards

- We identified four significant deficiencies in internal control over major programs, one of which we considered to be a material weakness.
- We issued an unqualified opinion on the state’s compliance with requirements applicable to its major federal programs.
- We disclosed audit findings that are required to be reported in accordance with Section 510(a) of OMB Circular A-133.
- The State of Tennessee does not qualify as a low-risk auditee under OMB Circular A-133, Section 530.
- The dollar threshold used to distinguish between Type A and Type B programs, as prescribed in OMB Circular A-133, Section 520(b), was \$30,000,000.

State of Tennessee
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2009
(continued)

Section I – Summary of Auditor’s Results

CFDA Number	Name of Major Federal Program
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children
17.225	Unemployment Insurance
84.032	Federal Family Education Loans - Guaranty Agencies
93.563	Child Support Enforcement
93.658	Foster Care-Title IV-E
93.659	Adoption Assistance
-	Research and Development Cluster
-	SNAP Cluster
-	Section 8 Project-Based Cluster
-	Employment Service Cluster
-	WIA Cluster
-	Highway Planning and Construction Cluster
-	Early Intervention Services (IDEA) Cluster
-	Special Education Cluster (IDEA)
-	State Fiscal Stabilization Fund Cluster
-	Immunization Cluster
-	CCDF Cluster
-	Medicaid Cluster

State of Tennessee
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2009
(continued)

Section II – Financial Statement Findings

Finding Number	09-TDT-01
CFDA Number	N/A
Program Name	N/A
Federal Agency	N/A
State Agency	Department of Treasury
Grant/Contract No.	N/A
Finding Type	Material Weakness
Compliance Requirement	N/A
Questioned Costs	N/A

Controls over certain outgoing wire transactions are inadequate, increasing the risk of unauthorized withdrawals

Finding

Department of Treasury employees in the Cash Management Division have access in the department's cash management system, referred to as ACME, to initiate and approve electronic wire transfers to be disbursed from the state's bank account for wire activity. This lack of segregation of duties for certain outgoing wire transactions has created an opportunity for fraud.

Five employees in the Cash Management Division have the capability to both initiate and approve outgoing wire transactions in the ACME system. After the wire is approved by Cash Management personnel, Wire Room personnel wire the funds to the bank account designated by the individual originating the transaction. For most transactions, a compensating control exists whereby the destination bank account information must be entered by an individual independent of the Cash Management Division. However, for certain outgoing wire transactions, Cash Management personnel enter the destination bank account information from journal vouchers received from the originating agency. These wire transactions are referred to as miscellaneous out (MO) and vendor out (VU) transactions. An employee with the capability to both initiate and approve transactions and enter the destination bank account information could use a miscellaneous out or vendor out to divert funds to an unauthorized bank account.

Wire Room personnel did not have procedures in place to review the transactions in ACME and compare them to a journal voucher approved by the originating agency or other supporting documentation before completing the transaction with the bank.

The Investment Accounting Division had adequately designed controls in place to ensure that errors or fraud associated with these outgoing wires would be caught in a reasonable period of time; however, these controls were not being performed during the audit period. Therefore, the controls were not effective, and there was the potential for a material loss since there is no limit on the amount of funds that can be wired out of the state's bank account as a miscellaneous out or vendor out transaction. Due to the lack of adequate procedures to ensure that all outgoing wires were legitimate transactions, possible detection of a transfer to an unauthorized bank account would not occur until the agency that had been charged with the unauthorized transaction questioned the transaction. This assumes that the agency that was charged with the transaction actively reviews and reconciles its account activity timely.

There were a total of 86 miscellaneous out and 68 vendor out transactions during the year ended June 30, 2009. We tested 38 of these transactions to ensure that they were properly approved, documented, and recorded and that only authorized personnel were involved in the transactions. Two of the 38 transactions tested (5%) were not supported by a journal voucher. However, we were able to determine that the two transactions were legitimate transactions by verifying that the bank account information for the receiving bank matched the approved support for other similar transactions or by verification from the agency charged with the transaction.

Recommendation

The Wire Room Manager should require that Wire Room personnel verify information entered into the ACME system with supporting documentation from the originating agency before completing the wire transaction with the bank. When wire activity is without preset destination bank account information, the Investment Accounting Manager should ensure that Investment Accounting personnel reconcile supporting documentation to wire activity in ACME and ensure that the wire is accurate and went to the proper destination. Ongoing monitoring should also be implemented to ensure compliance after internal controls are in place.

Management's Comment

We concur. Due to implementation of the State's new accounting system EDISON, certain business processes relating to requesting, approving and initiating wire transfer of funds requests by State agencies were changed from a paper-based process to an electronic workflow process. Once EDISON was implemented, the paper source document (Z-type Journal Voucher) was no longer available to the Wire Room personnel to verify that the transaction's banking destination instructions requested and authorized by the State Agency and Finance and Administration Division of Accounts matched the transaction banking destination instructions entered into the cash management system ACME by the Treasury Cash Management Division. The pre-EDISON paper-based process included adequate controls. The Department

implemented new compensating control procedures immediately upon being notified by auditors of this matter in November 2009, by providing the Wire Room personnel with inquiry access to the EDISON system's Accounts Payable module so that the wire instructions, including the authorized banking destination, could be verified against the sending agency's instructions prior to the initiation of the wire transfer. Likewise, the Investment Accounting Division personnel were also immediately provided inquiry access to the EDISON Accounts Payable module and resumed procedures to independently verify the executed wire transaction confirmation from the bank to the EDISON system transaction in order to provide additional controls over these transactions.

**State of Tennessee
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2009
(continued)**

Section III – Federal Award Findings and Questioned Costs

Finding Number	09-UTS-01
CFDA Number	81.121
Program Name	Research and Development Cluster
Federal Agency	Department of Energy
State Agency	University of Tennessee
Grant/Contract No.	DE-FG07-07ID14895
Finding Type	Noncompliance
Compliance Requirement	Allowable Costs/Cost Principles
Questioned Costs	\$11,816.04

University personnel overbilled facilities and administrative (F&A) costs to a federal research and development grant, resulting in \$11,816.04 of questioned costs.

Finding

During the year ended June 30, 2009, the University of Tennessee charged \$50,140.50 of subcontractor expenses for Department of Energy grant number DE-FG07-07ID14895 to the expense object code “subcontractor expenses of less than \$25,000.00.” Of this amount, \$25,140.50 should have been charged to the expense object code “subcontractor expenses of greater than \$25,000.00.” According to applicable federal regulations, the university’s predetermined F&A rate of 47% can only be charged against the first \$25,000.00 of subcontractor expenses. Because of this posting error, the 47% rate was applied against the entire \$50,140.50 amount, instead of just the allowable \$25,000.00. As a result, the grantor was overbilled by \$11,816.04. This resulted in \$11,816.04 of questioned costs.

According to the *Code of Federal Regulations*, Title 2, Part 220, Appendix A,

F&A costs [indirect costs] shall be distributed to applicable sponsored agreements and other benefiting activities within each major function on the basis of modified total direct costs, consisting of all salaries and wages, fringe benefits, materials and supplies, services, travel, and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract.) Equipment, capital expenditures, charges for patient care and tuition remission, rental costs, scholarships, and fellowships as well as

the portion of each subgrant and subcontract in excess of \$25,000 shall be excluded from modified total direct costs.

The departmental bookkeeper in the UT Knoxville Nuclear Engineering Department miscoded the \$25,140.50 of subcontractor expenses. In accordance with university *Fiscal Policy 115*, the departmental bookkeeper reconciled the departmental ledger on a monthly basis, and the principal investigator reviewed the reconciliation on a monthly basis. F&A (indirect) costs are automatically calculated by the university's accounting system based on the modified total direct costs posted to the grant. The total expenses, including the allocated F&A costs per the general ledger, were used to draw down federal funds. This was done by the responsible grant accountant in the Sponsored Projects Accounting Department at Knoxville. The error was not discovered by the departmental bookkeeper, the principal investigator, or the responsible grant accountant.

Office of Management and Budget Circular A-133 requires us to report in a finding all known questioned costs when the known questioned costs exceed \$10,000 for a type of compliance requirement for a major federal program. Federal questioned costs totaled \$11,816.04.

Recommendation

Management should ensure that departmental bookkeepers, principal investigators, and grant accountants have the knowledge and expertise to monitor and account for federal grant and contract awards in accordance with federal regulations.

Although the risks noted in this finding were identified and assessed in management's overall financial risk assessment, management should continue to assess risks of noncompliance with federal regulations and ensure controls are in place to mitigate those risks.

Management's Comment

We concur. The Knoxville campus has taken or will take several actions to ensure proper posting of subrecipient expenditures, thus ensuring that the automatic F&A costs are charged appropriately to sponsored projects.

Sponsored Projects Accounting (SPA) has now implemented a process to specifically monitor the expenditures posting to the general ledger codes 481100—Subgrants and Subcontracts to \$25,000 and 482100—Subgrants and Subcontracts over \$25,000. The monthly monitoring process has been assigned to one of the Financial Specialists.

Additionally, SPA will communicate the importance of proper posting of subrecipient expenditures at the next Campus Fiscal Officers meeting to be held March 16, 2010. SPA will also provide written guidance in the next quarterly newsletter. This newsletter is distributed to the various departmental accounting staff throughout the Knoxville campus and provides updates on various sponsored project accounting issues.

Finding Number	09-DFA-01
CFDA Number	93.778
Program Name	Medicaid Cluster
Federal Agency	Department of Health and Human Services
State Agency	Department of Finance and Administration
Grant/Contract No.	05-0805TN5028, 05-0905TN5028, 05-0905TNARRA
Finding Type	Significant Deficiency
Compliance Requirement	Special Tests and Provisions
Questioned Costs	None

The Bureau of TennCare and the Pharmacy Benefits Manager were not ensuring that complete enrollment records of providers were maintained, increasing the risk of payments to illegitimate providers

Finding

The Bureau of TennCare did not have evidence that it had complied with its own internal control procedures regarding provider eligibility. TennCare could not provide an enrollment application and/or a signed contract for 15% of the providers examined.

According to TennCare Policy PRO 09-001, “Enrollment & Disenrollment of Providers in TennCare Managed Care,” all providers, whether they receive payment directly from a managed care contractor or TennCare, must be enrolled by TennCare. During our audit, we interviewed TennCare management to determine the process a provider must go through in order to enroll in TennCare as a fee-for-service or a managed care provider. We reviewed policies and also interviewed management at the managed care contractors (MCCs) and at the Division of Intellectual Disability Services (DIDS), a division of the Department of Finance and Administration, to determine what their processes were. According to the policy, the process to enroll as a provider first involves obtaining a National Provider Identifier (NPI), which is a ten-digit identifier required under HIPAA (Health Insurance Portability and Accountability Act). The provider must obtain it from the National Plan and Provider Enumeration System, which is operated by the federal Centers for Medicare and Medicaid Services (CMS). According to the policy, “atypical providers are not required to have an NPI . . . such as non-emergency transportation providers.” If a provider wants to furnish a Medicare-covered service, the provider must have a Medicare provider number issued by CMS. Once the provider obtains an NPI, the provider may apply for enrollment. A provider is required to submit an application and any required documentation, such as proof of licensure, accreditation, certification, surveys, disclosure of ownership, participation agreement, etc., depending on what type of service the provider will offer to the Bureau of TennCare. If the provider is approved, TennCare will assign the provider a TennCare identification number, which is required to provide services through an MCC. The provider must then contact the MCC, go through the MCC’s enrollment process, and subsequently sign a contract.

We randomly selected a sample of 563 from a population of 37,510 TennCare providers from TennCare’s interChange system. There were also 954 other providers who contract with DIDS to perform services for individuals enrolled in the Home and Community Based Services waivers for developmental disabilities. We also selected a sample of 125 from that population. We then requested to see the provider files for our samples.

For the provider files we requested from TennCare, we found numerous files lacking documentation, particularly the applications and/or contracts. Based on our work, we discovered the following:

No application but has a contract on file	76
No contract but has an application on file	4
No application or contract on file	2
No signature on contract	2
No file folder found	19
Total	103

For these 84 providers with incomplete files and 19 providers without a file, we determined that they were legitimate providers by reviewing other required information needed to enroll, such as certifications, accreditations, valid licenses, disclosure of ownership, NPI information, W-9 forms, and requests for provider changes.

In addition to the problems disclosed in the chart above, we also noted problems with applications for pharmacies. For the files we requested from TennCare’s pharmacy benefits manager as part of the TennCare provider testwork, applications for 3 pharmacies out of 15 (20%) could not be located. For the pharmacies, we verified other required information needed to enroll.

When we discussed with TennCare management the issues relating to the files we requested from them, they stated the system for collecting and filing the information used to determine provider eligibility and assign a Medicaid number is completely paper-based, and the large number of paper-based files that are maintained create opportunities for documents to be misfiled. Management stated that TennCare recently completed business process modeling activities with their Medicaid management information system (MMIS) contractor to lay the groundwork for developing a computerized provider enrollment process.

TennCare’s control procedures depend on having applications and contracts submitted to be certain that the providers TennCare pays are legitimate providers who meet the qualifications for enrollment. TennCare’s risk assessment included provider enrollment risks, including inappropriately approving ineligible providers. A corresponding control listed was the review/verification of application information.

Recommendation

The Director of Provider Networks should ensure that the provider database in interChange is reconciled to provider files to determine what documents are missing or do not exist and replace with the appropriate provider documents or provider files. For any provider contained in interChange who does not have a file on site, the Deputy Commissioner should consider requiring that these providers reenroll. The Deputy Commissioner should also ensure that the controls listed for identified risks in TennCare's risk assessment are followed.

Management's Comment

The Bureau of TennCare

We partially concur. We agree that the completely manual process TennCare relies upon to issue provider numbers needs to be modernized. The current process involves submission of paper applications and use of an outdated paper-based filing system, with opportunities for documents to be misplaced. TennCare recently moved the provider enrollment function under a new manager and, as a longer term solution, we intend to take the necessary steps to computerize the provider application process utilizing a web-based portal through which providers will submit their application materials. Once this computerized system is in place, we will require existing providers who have not been through a recent reenrollment process to reenroll through the new system. In the shorter term, we have begun a process of sequentially requiring providers (beginning with hospitals) to reenroll with TennCare, thereby creating the opportunity to update provider files. In addition, we have initiated a process to identify providers who are not currently in an MCO network and who have not submitted a recent claim, and we will take action to either obtain updated provider enrollment documents or to terminate the enrollment of these providers.

While we agree that our current system needs to be updated, we do not agree that we are at risk of making "payments to illegitimate providers." As the audit makes clear, all the providers for whom documentation could not be located were determined to be legitimate providers based on the auditors' review of other documentation that was available. TennCare's provider enrollment processes include significant checks and balances that are designed to prevent payments to ineligible providers. For example, a provider who completes TennCare's application process and is issued a Medicaid provider number cannot be paid through the fee-for-service system on a cross-over claim unless the provider has also successfully completed the federally administered process to obtain a Medicare number. In fact, fee-for-service cross-over claims are not processed by TennCare until they have been submitted and processed by Medicare. Similarly, after being issued a Medicaid number, managed care providers must go through a separate credentialing process conducted by the managed care organization (MCO) prior to becoming a provider in the MCO network. The managed care organization credentialing process is reviewed by the National Committee for Quality Assurance (NCQA) as a part of the NCQA accreditation process in order to assure quality standards are met. TennCare also has an ongoing process by which we receive information concerning newly sanctioned and disciplined providers and take action to assure such providers are removed from any applicable network.

Pharmacy Benefits Manager

We concur that pharmacy applications were missing for 3 of the 15 pharmacies selected for audit. TennCare followed up with SXC regarding the status of these 3 outstanding pharmacy applications, and SXC had already obtained signed applications from these providers (copies of which have been provided to TennCare).

SXC is aware that both a signed application and a signed pharmacy agreement are required for every pharmacy enrolled in the network. In order to ensure there are not any additional missing pharmacy network documents, SXC's Provider Networks division will develop a monitoring process to review existing files to verify all required documents are present for all network pharmacies.

Finding Number	09-DFA-02
CFDA Number	93.778
Program Name	Medicaid Cluster
Federal Agency	Department of Health and Human Services
State Agency	Department of Finance and Administration
Grant/Contract No.	05-0805TN5028, 05-0905TN5028, 05-0905TNARRA
Finding Type	Significant Deficiency and Noncompliance
Compliance Requirement	Eligibility
Questioned Costs	\$12,912

TennCare did not redetermine eligibility for some enrollees or terminate some ineligible enrollees, resulting in questioned costs of \$12,912

Finding

The Department of Human Services (DHS) has the responsibility for eligibility determinations for TennCare Medicaid and TennCare Standard. TennCare’s information system, interChange, receives eligibility data files daily from the DHS eligibility system, the Automated Client Certification and Eligibility Network (ACCENT). All enrollees for TennCare Medicaid and TennCare Standard must reapply to DHS and have their TennCare coverage redetermined on an annual basis since individual circumstances change over time. When an enrollee’s circumstances change and the enrollee is no longer eligible, the DHS eligibility counselor terminates the enrollee’s benefits in ACCENT, or if the enrollee is eligible in another category, the eligibility counselor opens the new category and closes the previous category in ACCENT. DHS then notifies TennCare so that the appropriate changes can be made in TennCare’s interChange system.

For each enrollee, TennCare pays a fee (capitation payment) to the managed care organization for the provision of medical services without regard to the amount of services the enrollee utilizes. We performed two types of testwork based on the capitation payments. We tested a sample of 60 TennCare enrollees to determine if the enrollees’ eligibility was redetermined during the audit period. We also tested a sample of 60 TennCare enrollees that had a capitation payment during the audit period to determine if the enrollee was eligible for TennCare coverage.

Enrollees Not Redetermined

Of the 60 enrollees tested for redetermination, TennCare did not redetermine eligibility during the audit period of July 1, 2008, through June 30, 2009, for 4 enrollees (7%). According to 42 CFR 435.916, “The agency must redetermine the eligibility of Medicaid recipients, with respect to circumstances that may change, at least every 12 months. . . .”

In TennCare’s risk assessment for fiscal year ended June 30, 2009, TennCare identified a risk that enrollees’ eligibility may not be redetermined on an annual basis. TennCare stated that

the internal control in place to prevent or mitigate this risk was, “Systematic monthly notice process triggers reverification of the Medicaid population and Standard enrollees as they turn age 19.” When an enrollee reaches age 19, the enrollee is no longer eligible for TennCare Standard. If the enrollee is eligible for TennCare Medicaid, eligibility is redetermined on an annual basis. TennCare’s control for redetermining TennCare Medicaid enrollees complies with federal regulations and TennCare’s policies since redetermination is performed on an annual basis. However, the control for redetermining TennCare Standard enrollees’ eligibility in the risk assessment conflicts with federal regulations and the redetermination requirements in TennCare’s policies. According to TennCare’s policies, TennCare Standard enrollees, like TennCare Medicaid enrollees, should be redetermined at least every 12 months, not just when the enrollee reaches the age of 19. Based on the *Rules of Tennessee Department of Finance and Administration, Bureau of TennCare*, Chapter 1200-13-14-.02(8)(a), “All [TennCare Standard] enrollees must reapply and have their TennCare coverage redetermined based on the approved policies and procedures in effect at the time of their next scheduled redetermination/reapplication process.” According to the *TennCare Medicaid and TennCare Standard Policy Manual, December 2009*, “Renewal is the process of determining continuing eligibility for children under age 19 who receive TennCare Standard benefits. As of April 29, 2005, the only individuals who will remain eligible for TennCare Standard are children under age 19. These individuals will be selected for annual review/renewal of eligibility.”

As previously stated, TennCare did not redetermine 4 of 60 TennCare Standard enrollees’ eligibility on an annual basis. Two of the four TennCare Standard enrollees’ information was listed in interChange, but not in ACCENT, which may have contributed to the reason that the two enrollees’ eligibility was not redetermined annually. Based on discussions with the Director of Eligibility Services, the reason that these two enrollees were not in ACCENT or redetermined was uncertain; however, the Director of Eligibility Services was investigating the cause. The other two TennCare Standard enrollees were listed in interChange and ACCENT. While acknowledging that TennCare was not redetermining all enrollees’ eligibility on an annual basis, the Director of Eligibility Services stated that TennCare was redetermining eligibility when the enrollee turned the age of 19 and/or the enrollee reported access to insurance or an address change. Based on our review of these two cases in interChange and ACCENT, these two individuals did have an address change; however, the enrollees’ eligibility was still not redetermined. Based on discussions with TennCare management, TennCare is not redetermining eligibility for TennCare Standard enrollees in accordance with their policies and federal regulations. Without redetermining all enrollees’ eligibility every 12 months, TennCare cannot ensure that the enrollees continue to be eligible for TennCare. As a result, the total questioned costs were \$11,993.

Enrollees’ Benefits Not Terminated Properly

Of the 60 enrollees tested for eligibility, TennCare did not discontinue or redetermine one enrollee’s eligibility (2%) when DHS terminated the enrollee’s TennCare coverage in ACCENT effective March 31, 2007. When DHS terminates an enrollee’s TennCare coverage, ACCENT automatically triggers interChange to mail the enrollee a Request for Information (RFI) packet in order to determine if the enrollee is still eligible to receive TennCare coverage or if the enrollee is eligible for a different category of TennCare coverage. However, TennCare did not send the

enrollee an RFI, redetermine the enrollee's TennCare coverage, or terminate the enrollee's benefits. When DHS terminated the enrollee's benefits in ACCENT, interChange should have automatically started the RFI process. Based on discussions with the Director of Eligibility Services, the cause for the RFI process not starting was uncertain; however, the Director of Eligibility Services was investigating the cause. As a result, TennCare continued to make capitation payments to the managed care organization and paid providers for medical claims on behalf of the enrollee. *Rules of the Tennessee Department of Finance and Administration, Bureau of TennCare*, Chapter 1200-13-13-.02(6)(b), states,

Prior to termination of Medicaid eligibility for enrollees of the Core Medicaid Population, eligibility will be reviewed in accordance with the following process:
1. At least thirty (30) days prior to the expiration of their current eligibility period, the Bureau of TennCare will send a Request for Information to all Core Medicaid enrollees. The Request for Information will include a form to be completed with information needed to determine eligibility for open Medicaid categories.

On April 22, 2010, we informed the Director of Eligibility Services that the enrollee's TennCare coverage remained open. On that date, the Director of Eligibility Services started the RFI process in interChange to redetermine or close the enrollee's benefits. As of June 8, 2010, the enrollee's TennCare coverage was set to terminate on July 29, 2010. Total questioned costs for the audit period of July 1, 2008, through June 30, 2009, were \$597. Total questioned costs from April 2007 through June 30, 2010, were \$2,579.

As noted above, we tested a sample of TennCare enrollees to determine if the enrollees' eligibility was redetermined during the audit period. Of the 60 enrollees tested for redetermination, TennCare did not change one enrollee's benefits (2%) based on the redetermination results and changes to the enrollee's circumstances. DHS determined that the enrollee was no longer eligible for coverage for TennCare Medicaid, but the enrollee was eligible for TennCare Standard. DHS terminated the enrollee's TennCare Medicaid effective July 31, 2008. TennCare properly started the RFI process and approved the enrollee for TennCare Standard effective September 1, 2008. However, TennCare did not manually terminate the enrollee's TennCare Medicaid coverage in interChange until March 31, 2009. According to the *Rules of the Tennessee Department of Finance and Administration, Bureau of TennCare*, Chapter 1200-13-13-.02(6)(b)(6), if DHS makes a determination that the enrollee is eligible for a different category, the previous Medicaid category should be terminated and the enrollee opened in the appropriate category.

TennCare pays a lower capitation rate to the managed care organization for TennCare Standard enrollees than it pays for TennCare Medicaid enrollees. InterChange pays capitation payments and medical service claims based on a hierarchy of the open categories of TennCare Medicaid and TennCare Standard. This ensures that TennCare does not pay dual benefits for an enrollee to the managed care organization. TennCare Medicaid categories are higher in the hierarchy than TennCare Standard categories. As a result, TennCare continued to pay a higher capitation rate to the managed care organization for TennCare Medicaid for this enrollee from September 1, 2008, through February 18, 2009, instead of the capitation rate for TennCare Standard. On February 19, 2009, the DHS eligibility counselor determined that the enrollee was

once again eligible for TennCare Medicaid, which TennCare changed correctly as well. Total questioned costs were \$322.

The total questioned costs for enrollees not redetermined and enrollees' benefits not properly terminated in the samples were \$12,912. Federal questioned costs in the samples totaled \$8,535. The remaining \$4,377 was state matching funds. The amount of questioned costs could not be determined for the remaining enrollees not examined.

When the proper eligibility redeterminations are not made and benefits are not properly terminated when required, the risk of making payments to ineligible enrollees increases.

Recommendation

The Director of Eligibility Services should determine the cause for the inconsistency in enrollees not being redetermined and the cause for benefits not being properly terminated. The Director of Member Services and the Director of Eligibility Services should ensure all TennCare recipients' eligibility is redetermined at least once every 12 months. The Director of Member Services and the Director of Eligibility Services should also ensure that all TennCare enrollees with terminating benefits are properly terminated. In addition, TennCare should revise the internal controls for redetermination discussed in the risk assessment to reflect the requirements of federal regulations and those documented in TennCare's policy manual. The Deputy Commissioner should also ensure that the controls listed for identified risks in TennCare's risk assessment reflect federal regulations and TennCare policy and are followed.

Management's Comment

Enrollees Not Redetermined

We concur that these four enrollees were not reverified. We have identified the issue and initiated the systems changes to ensure that all TennCare Standard children are reverified annually.

Enrollees' Benefits Not Terminated Properly

We concur with the finding. The audit found one case where the enrollee's eligibility was terminated in the DHS ACCENT system but did not close in the TennCare system. The system control in place to address this type of situation is to write the error to a file that is sent to DHS daily. DHS is expected to correct the transaction and resend to TennCare. This particular closure was keyed on March 1, 2007. The daily error report dated March 3, 2007, contains this closure transaction. The report indicates that the closure did not work because the closure date is not within the eligibility dates. There was no resolution to this noted error. In this case, DHS did not send a corrected transaction to close the eligibility. TennCare will request a Corrective Action Plan from DHS in regard to correcting transactions from the error report.

We concur with this finding. The audit determined that for one enrollee who went through redetermination and was found to be eligible for Standard but no longer eligible for Medicaid, the Medicaid case remained open along with the new Standard eligibility segment. In response to the DHS ACCENT closure, this enrollee was properly mailed an RFI from TennCare on July 24, 2008. The Standard eligibility began on September 1, 2008, and TennCare should have received a transaction to close the Medicaid from DHS. That transaction was never received. The system control in place to address this type of situation is to generate a daily report of all cases with outstanding RFIs. For enrollees on this report, end dates are manually changed to the end of the month so that the system will reprocess the case as necessary. When the end date on the Medicaid segment was changed in February 2009 to March 31, 2009, it was the result of working this report, as evidenced by the Comments in interChange. TennCare will review and revise the parameters of this report so that this type of issue can be identified more quickly in the future. TennCare will also begin running a new report to identify cases with overlapping Medicaid and Standard eligibility. The results of this new report will be researched so that only the appropriate case remains open in the TennCare system.

Finding Number	09-TSAC-01
CFDA Number	84.032
Program Name	Federal Family Education Loans - Guaranty Agencies
Federal Agency	Department of Education
State Agency	Tennessee Student Assistance Corporation
Grant/Contract No.	N/A
Finding Type	Noncompliance
Compliance Requirement	Special Tests and Provisions
Questioned Costs	\$1,138,700

The Tennessee Student Assistance Corporation Did Not Comply With Certain Special Tests and Provisions Regarding the Collection of Delinquent Accounts and the Recovery of Indirect Costs

Finding

The Tennessee Student Assistance Corporation (TSAC) did not fully comply with the special tests and provisions of the Federal Family Education Loan Program (FFEL). During our examination of the corporation's compliance with special tests and provisions, we noted noncompliance in three specific areas.

No consideration of alternative default collection cost rates

TSAC was not in compliance with federal requirements for calculating the corporation's expected default collection cost rate. *Code of Federal Regulations*, Title 34, Part 682, Section 410 (b) (2), establishes these requirements, which are restated in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement*, Part 4:

The rate or amount to be charged the borrower to satisfy collection costs is the least of the following three rates:

- a. The amount or rate, if any, specified in the borrower's note;
- b. The rate determined by dividing the agency's expected expenses by its expected recoveries for the period at issue; or
- c. The rate that would be charged if the loan were held by ED [U.S. Department of Education]. . . .

Our inquiries and examination of corporation loan records disclosed that the corporation had been using only the rate that would be charged if the loan were held by ED as described above (ED rate). The corporation had not considered whether either of the two other rates might be the lowest.

According to discussions with both the Senior Associate Executive Director, who is also the Staff Attorney, and the Associate Executive Director of Loan Administration, the corporation's expected collection cost rate had not been calculated in several years. Since that time, when annual notices of ED rate changes came from the Department of Education, the Student Loan Administrator reviewed the letter to determine if a rate change needed to be made. According to the Associative Executive Director of Loan Administration, because management believed there had not been a significant change in costs, management continued to use the ED rate without performing a current year calculation of the corporation's expected collection cost rate. The OMB *Circular A-133 Compliance Supplement*, part 4, also states that

. . . the agency must ensure that the estimate is based on reliable data. A charge based on expense and recovery data incurred in the most recently completed and audited fiscal year of the guaranty agency can be reasonably expected to predict actual costs being incurred in the year for which the charge is assessed. However, when changes that will affect that rate are reasonably expected in expenses or recoveries during the year for which the charge is computed, adjustments may be warranted. . . .

The failure to calculate the corporation's expected collection cost rate increases the risk that defaulted borrowers are not charged reasonable collection costs as defined by the program's requirements.

The Senior Associate Executive Director and Staff Attorney, and the Associate Executive Director of Loan Administration stated that in the future the Student Loan Administrator and the Associate Executive Director of Loan Administration will calculate the corporation's expected rate every year and compare this rate with the annual ED rate notice to determine the appropriate rate to be charged. In November 2009, the Associate Executive Director of Loan Administration stated procedures are currently being drafted and will be included in the corporation's Loan Policies and Procedures.

Prohibited conflict of interest

A program review conducted by the U.S. Department of Education noted a prohibited conflict of interest by a contractor of the corporation. The corporation had initiated corrective actions, but the conflict had not been completely resolved at the time of our audit. The review report dated May 28, 2009, stated:

NGS [Nelnet Guarantor Services] provides various services to TSAC, which include default aversion assistance and default collections. NGS has subcontracted with R&B Receivables Management to provide default aversion services. R&B Receivables Management Corporation does not provide any post default collection services. NGS subcontracts collection functions to various collection agencies. TSAC approves the use of all subcontractors before NGS awards the contracts.

The *Code of Federal Regulations*, Title 34, Part 682, Section 404 (k) (4), states:

. . . If a guaranty agency contracts with an outside entity to perform any default aversion activities, that outside entity may not—

(i) Hold or service the loan; or

(ii) Perform collection activities on the loan in the event of default within 3 years of the claim payment date.

In the program review report, the U.S. Department of Education required that

TSAC must terminate contracting arrangements that create a conflict of interest. TSAC must provide this office with TSAC's plan for eliminating this conflict of interest and its plan for future default aversion and collection activities.

Management responded to the U.S. Department of Education in a letter dated June 15, 2009, and stated:

. . . THEC [Tennessee Higher Education Commission]/TSAC has begun the process to issue a Request for Proposals (RFP) for a new loan servicer contract to replace the current contract with Nelnet Guarantor Solutions (NGS) when it expires on December 31, 2010. This new contract will contain default aversion activities but not contain collection activities. As a result, THEC/TSAC will also issue a RFP for collection activities for a collections contract that will be at the same time the new loan servicer contract begins. . . .

According to the Associate Executive Director of Loan Administration, the corporation had not received a response from the Department of Education regarding the corporation's proposed corrective action.

Noncompliance with this requirement increases the risk that the contractor that is responsible for both collection activities and default aversion assistance activities may not adequately perform default aversion assistance activities in an effort to increase collection activity volume.

No approved cost allocation plan

Discussions with the Senior Associate Executive Director and Staff Attorney, and the Assistant Executive Director for Fiscal Affairs disclosed that the Accounting Manager had been charging indirect costs to FFEL each month without an approved cost allocation plan in place. According to the Assistant Executive Director for Fiscal Affairs, indirect costs were allocated: 34% state activities; 33% FFEL program; and 33% Lottery scholarship eligibility determination.

This resulted in the corporation charging approximately \$1,138,700 to the FFEL program in fiscal year 2009. Because of the absence of an approved cost allocation plan, this amount is federal questioned cost. Although some portion of the indirect costs paid would have been related to the FFEL program, the lack of an approved Cost Allocation Plan prevents us from determining the amount of costs allocable to the FFEL program.

According to the Assistant Executive Director for Fiscal Affairs, TSAC had a proposed cost allocation plan prior to the Tennessee Higher Education Commission (THEC) taking over the operations of TSAC beginning July 1, 2008; however, the proposed cost allocation plan had not been submitted to the U.S. Department of Education for approval by former TSAC management for reasons unknown to management.

The *Code of Federal Regulations*, Title 34, Part 682, Section 418(c), states:

Each guaranty agency that shares cost with any other program, agency or organization shall develop a cost allocation plan consistent with the requirements described in OMB [Office of Management and Budget] Circular A-87 and maintain the plan and related supporting documentation for audit. . . .

OMB Circular A-87, Attachment A, Basic Guidelines, states that costs are allocable to federal programs “in accordance with relative benefits received.” The cost allocation plan is the method by which this is accomplished.

According to discussions with the Senior Associate Executive Director and Staff Attorney, and the Assistant Executive Director for Fiscal Affairs, a Cost Allocation Plan (CAP) has been completed and was submitted to the U.S. Department of Education for approval on September 28, 2009.

Not having an approved Cost Allocation Plan increases the risk that indirect costs are charged to FFEL that are not relative to the benefits received by the program.

Recommendation

The Student Loan Administrator and the Associate Executive Director of Loan Administration should calculate the corporation’s expected collection cost rate annually. When the expected collection cost rate is determined, the Student Loan Administrator and the Associate Executive Director of Loan Administration should ensure that defaulted borrowers are charged the lesser of

- the amount or rate, if any, specified in the borrower’s note;
- the rate determined by dividing the agency’s expected expenses by its expected recoveries for the period at issue; or
- the rate that would be charged if the loan were held by ED.

The Executive Director of the Tennessee Student Assistance Corporation should ensure that corrective actions were fully completed and the conflict of interest pertaining to default aversion activities and collection activities is eliminated. The Executive Director should also ensure the cost allocation plan is approved by the U.S. Department of Education.

Management's Comment

We concur. A formula for calculating collection costs has been established and is being utilized based on current agency operations. Upon receipt of the annual letter to all Guaranty Agencies from the U.S. Department of Education (U.S. DOE) that stipulates the maximum collection cost allowed, THEC/TSAC will compare the two costs and utilize the lesser of the two as required by the U.S. DOE.

THEC/TSAC management notified the U.S. DOE on April 15, 2009, that upon expiration of the current contract with TSAC's loan servicer, THEC/TSAC will ensure the conflict of interest is eliminated by contracting separately for collection activities.

An indirect cost plan was developed which included suggestions from the Department of Finance and Administration Cash Management Office. The plan was then transmitted to the U.S. DOE on September 28, 2009, and it is currently under review.

Finding Number	09-TSAC-02
CFDA Number	84.032
Program Name	Federal Family Education Loans - Guaranty Agencies
Federal Agency	Department of Education
State Agency	Tennessee Student Assistance Corporation
Grant/Contract No.	N/A
Finding Type	Material Weakness and Noncompliance
Compliance Requirement	Reporting and Special Tests and Provisions
Questioned Costs	None

Incorrect accounting entries for the Federal Family Education Loan Program resulted in an overstated balance for the Federal Fund and a corresponding understated balance for the Operating Fund

Finding

During our audit of the Tennessee Student Assistance Corporation’s (TSAC’s) Federal Family Education Loan Program (FFEL), management reported to us an overstatement of the corporation’s FFEL Federal Fund balance. This error also resulted in a corresponding understatement of the balance of the Operating Fund. This problem existed for the years ended June 30, 2005, through June 30, 2009, and a portion of the year ending June 30, 2010. The *Code of Federal Regulations* (CFR) Title 34, Part 682, Section 423(a), states that TSAC must

establish and maintain an Operating Fund in an account separate from the Federal Fund. . . . The Operating Fund is considered the property of the guaranty agency.

Section 423 also describes the types of funds that can be deposited into the Operating Fund and allowable uses of the Operating Fund; 42 CFR 682.419 describes funds that should be deposited in the Federal Fund as well as allowable uses of the Federal Fund.

TSAC guarantees student loans made by lending institutions and performs certain administrative and oversight functions for the FFEL program. One of the functions is to coordinate the repurchase of loans from the lenders when borrowers default on the loans. TSAC has contracted with Nelnet Guarantor Solutions to process claims from lenders for defaulted loans and to maintain a lockbox to record and process subsequent recoveries on defaulted loans and payments received from lenders for repurchased loans that are later determined not to have been in default.

Nelnet deposits the funds in a state bank account and makes the appropriate adjustments in the borrower’s records. Nelnet provides TSAC with itemized payment and receipt activity and records the amounts on the monthly Guarantee Agency Financial Report. After review, the monthly report is filed by TSAC with the U.S. Department of Education (DOE). The amounts on the monthly report determine the amount the DOE pays TSAC for the previous month’s activity. The itemized amounts reported on the monthly Guarantee Agency Financial Report

trigger a series of journal entries made in TSAC's general ledger system that are designed to ensure funds are appropriately recorded in the Federal Fund and the Operating Fund.

Annually TSAC must also file a Guarantee Agency Financial Report that discloses both the Operating Fund and the Federal Fund's beginning and ending balances as well as a breakdown of additions and deductions. On the annual report, TSAC also must complete a balance sheet for the Federal Fund.

Discussions with the Fiscal Manager and the Accounting Manager disclosed that several years ago a decision was made to have some lender payments that would have gone to the lockbox be sent to TSAC instead. The Fiscal Manager was not an employee of the corporation when the decision was made and did not know why this decision was made.

When funds were received by TSAC instead of the lockbox, an entry was made in TSAC's general ledger system to increase the Federal Fund's balance and record the receipt of cash. These funds were then deposited in a state bank account. TSAC would then forward all the borrower information to Nelnet so the borrower's records could be updated. However, through the monthly reporting and journal entry process described above, the Federal Fund's balance was increased a second time for certain categories of funds received at TSAC, and the Operating Fund's balance was decreased.

Discussions with management during fieldwork revealed that this error could have been avoided if all receipts had been processed through the lockbox. Management had not finished its research of all the payments involved to determine the amount of the overstatement by the end of fieldwork. However, management had determined an overstatement of the Federal Fund and understatement of the Operating Fund of \$3,782,145 due to errors occurring in the year ended June 30, 2009.

Recommendation

The Executive Director of the Tennessee Student Assistance Corporation should ensure that all future payments from lenders are sent to the lockbox and are reflected only once in the monthly accounting entries. The Fiscal Manager should continue to research the payments activity to determine the total amount of overstatement of the Federal Fund and the understatement of the Operating Fund. When the amount has been determined, the Executive Director should request permission from DOE to make the necessary corrections to the Federal Fund balance.

Management's Comment

We concur. Management has completed its review of Fiscal Year 2009 and determined the federal fund was overstated by \$3,782,145.43 and the operating fund understated by the same amount. This problem existed from FY 2005-2010. As the 2005-2008 and 2010 fiscal years are researched and documented, the Comptroller's Division of State Audit will be notified before corrections are requested from the U.S. Department of Education. Corrective actions were taken to resolve the issue. The original process has been reinstated where vendors send all payments to the NGS lockbox account.

Finding Number	09-DCS-01
CFDA Number	93.658
Program Name	Foster Care – Title IV-E and ARRA- Foster Care – Title IV-E
Federal Agency	Department of Health and Human Services
State Agency	Department of Children’s Services
Grant/Contract No.	0701TN1401 through 0901TN1401 and 0901TN1402
Finding Type	Noncompliance
Compliance Requirement	Eligibility
Questioned Costs	\$877

The department again allocated non-reimbursable payments made on behalf of foster children to Title IV-E (Foster Care Program)

Finding

As noted in the prior audit, the Department of Children’s Services (DCS) allocated non-reimbursable payments made on behalf of foster children to Title IV-E (Foster Care Program). In the prior audit finding, we reported six specific weaknesses regarding

- payments for a child that were not Title IV-E reimbursable,
- payments for children who left DCS custody,
- questionable foster home placements,
- no documentation of required parent training,
- the absence of foster home reassessments, and
- incomplete foster home reassessment documentation.

Management corrected the issues from the prior finding related to foster home placement, payments for children who left DCS custody, training documentation, foster home reassessment, and reassessment documentation. The weakness related to payments for children that were not Title IV-E reimbursable was not corrected.

During the current audit period of July 1, 2008, through June 30, 2009, we examined a nonstatistical sample of expenditures for 65 foster children whose foster care payments were made to the foster parents and/or other service providers and were allocated to Title IV-E. The sample also represented the related foster parents’ files. Based on examination of these files, we noted only one file that was not reimbursable for Title IV-E participation.

One of the files tested disclosed that the child was not Title IV-E reimbursable. This individual was not reimbursable for a portion of January 2009 because he was not in the foster home but instead was on a trial home visit beginning on January 9, 2009. The total payment on behalf of this child that was not reimbursable was \$1,245. The federal questioned costs were \$877, which includes \$77 of questioned costs from American Recovery and Reinvestment Act (ARRA) funds. The total amount paid on behalf of all children in the sample was \$266,745.

The total federal share of the Foster Care Program for the current audit period exceeded \$43,000,000.

Office of Management and Budget Circular A-133 requires us to report all known questioned costs when likely questioned costs exceed \$10,000 for a type of federal compliance requirement. We believe likely questioned costs for this condition exceed \$10,000.

Recommendation

The Executive Director of the Office of Child Permanency and the Director of Foster Care, Adoptions, and Kinship Care should continue to ensure that responsible staff update the Children's Plan Financial System (ChipFins) with the proper children's status to eliminate inappropriate use of federal funds.

Management's Comment

We concur. The department recognizes the importance of timeliness of placement status changes and their impact on both the case management of the child as well as the fiscal accountability of funding issues. We feel this to be an isolated instance. Nevertheless, we will review in context both existing and future workflows/systems (ChipFins, TFACTS) [Tennessee Family and Child Tracking System] to ensure that responsible staff record proper child status timely.

Total federal questioned costs of \$877 noted in this finding were refunded on December 31, 2009.

Finding Number	09-DCS-02
CFDA Number	93.659
Program Name	Adoption Assistance and ARRA-Adoption Assistance
Federal Agency	Department of Health and Human Services
State Agency	Department of Children's Services
Grant/Contract No.	0801TN1407; 0901TN1407; 0901TN1403
Finding Type	Significant Deficiency and Noncompliance
Compliance Requirement	Eligibility
Questioned Costs	\$5,053

The department has continued to use federal Adoption Assistance funds in certain situations where only state funds should be used and has increased daily rates without any documented justification

Finding

As noted in the seven previous audits, the Department of Children's Services (DCS) has funded some payments to adoptive parents with federal Adoption Assistance funds when the payments should have been made totally with state funds. The department has also increased the daily adoption assistance payments in some cases without any documentation supporting the increase.

The prior audit finding noted five specific weaknesses relating to

- payments for children not eligible for Title IV-E Adoption Assistance,
- adoption assistance payments for children eligible for SSI,
- payments to parents not providing support for adopted children,
- inadequate documentation for rate increases, and
- inadequate documentation of physical or mental disabilities which warrant the continuation of adoption assistance after the 18th birthday.

In the current audit, problems were found in only two of these areas:

- inadequate documentation of physical or mental disabilities which warrant the continuation of adoption assistance after the 18th birthday, and
- inadequate documentation for rate increases.

During the current audit period of July 1, 2008, through June 30, 2009, we examined a nonstatistical sample of federal Adoption Assistance subsidies for 60 adopted children and reviewed the related children's files. We found the following instances of noncompliance with federal regulations:

- For two children's files examined, we noted that the children had reached their 18th birthday and the department did not have documentation of a physical or mental disability which would have warranted the continuation of the adoption assistance.

For one child, the case worker had documented that a mental or physical disability did not exist. This child turned 18 in July 2008 and his adoption assistance payments continued; they were paid solely with state funds in July and August but again were improperly returned to federal funding in September 2008 through May 2009. The second child turned 18 in January 2009, and the department continued to use federal funds for adoption assistance payments through April 2009 despite the lack of documentation of a physical or mental disability which would warrant the continuation of adoption assistance. According to the *Child Welfare Policy Manual* 8.2B.9, adoption assistance is available until the child reaches age 18 or up to age 21 if the child has a mental or physical disability which warrants the continuation of the adoption assistance. Federal questioned costs resulting from these cases totaled \$5,049, which includes \$400 of questioned costs from American Recovery and Reinvestment Act (ARRA) funds.

- For one child, there was no documentation of special circumstances that would support an increase in the daily adoption assistance rate for the months of July, August, and September of 2008. DCS policy 15.11(N)(4) states, “For all children who are approved for a special or extraordinary adoption assistance rate, the adoptive parents must attach documentation received from the service provider. . . .” The difference between the regular daily rate of \$22.57 and the special circumstance daily rate of \$22.64 for the three months is \$6. The federal questioned costs are \$4, and the state matching funds are \$2.

The total amount paid on behalf of all children in the sample was \$243,503. The total federal share of the Title IV-E Adoption Assistance Program for the current period exceeded \$38,000,000. We believe likely questioned costs exceed \$10,000.

Management concurred with the prior audit finding and described the following corrective actions:

- As of July 2008, eligibility determinations and review of documentation is performed by the Child Welfare Benefits Division. A standard form is being utilized to completely document the eligibility status and will be located in the adoption assistance file.
- The Director of Foster Care and Adoption has conducted a retraining statewide during the summer of 2008 on qualifications and approval process related to increased subsidies.
- Director of Foster Care and Adoption [will] strengthen Adoption policy [by adding] qualification and clarification for the definition of a mental and physical disability for the age 18-21 population to assist both the regional staff and the adoptive parents with gathering the required documentation to justify the continuation of the adoption subsidy.

A form has been created that includes the definition of mental and physical disability to clarify when federal Adoption Assistance funds may be used after the 18th birthday. However, according to the Central Office Program Coordinator, the form needs to go before the forms

committee for approval. Because the new form clarifying the definition of mental and physical disability was not in place when the questioned post-age-18 payments began, it is not clear whether the form would have been effective in resolving this specific weakness. Similarly, the training management provided may not have been performed in time to prevent the questioned increase in daily rate.

Recommendation

The Executive Director of the Office of Child Permanency and the Director of Foster Care, Adoptions, and Kinship Care should ensure that Adoption Assistance files are complete, current, and adequately supported. Any changes in the eligibility status for Adoption Assistance funding should be documented in the children's files and communicated to the fiscal staff at the central office. The related adjustments in funding should be made immediately.

The Executive Director of the Office of Child Permanency and the Director of Foster Care, Adoptions, and Kinship Care should ensure all individuals making eligibility determinations and supervisory staff have adequate documentation of a physical or mental handicap which warrants the continuance of Title IV-E assistance for those who have attained their 18th birthday and receive federally funded Adoption Assistance. In addition, the Director should ensure that Adoption Assistance subsidy payments paid at a special rate are adequately supported and in accordance with policy.

Management's Comment

We concur. The department recognizes the importance of ensuring that Adoption Assistance files are complete, current and adequately supported, including eligibility determinations. To further improve departmental accountability over adoption assistance payments and IV-E claims, DCS management is taking the following corrective actions steps:

- The Office of Child Permanency and the Director of Foster Care, Adoptions and Kinship Care will provide more direct central oversight over the statewide adoption process; and
- Central office staff will be responsible for timely eligibility determinations for subsidies paid past the child's 18th birthday, including accurate entry into the applicable eligibility system (ChipFins/TFACTS) [Tennessee Family and Child Tracking System]. This includes making sure there is adequate supporting documentation of any physical or mental handicap to warrant continuation of any Title IV-E subsidy.

Both of these steps are also being integrated into the workflow of the new SACWIS [State Automated Child Welfare Information System] system – TFACTS. Finally, total federal questioned costs of \$5,053 for the cases noted in this finding are in process and should be refunded by March 31, 2010.

Auditee's Section

Schedule of Expenditures of Federal Awards

Notes to the Schedule of Expenditures of Federal Awards

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Unclustered Programs				
Department of Agriculture				
Direct Programs				
University of Tennessee	Agricultural Research_Basic and Applied Research	10.001		\$ 1,816,772.66
Agriculture	Plant and Animal Disease, Pest Control, and Animal Care	10.025	\$ 627,483.61	
Tennessee Wildlife Resources Agency	Plant and Animal Disease, Pest Control, and Animal Care	10.025	72,778.00	
University of Tennessee	Plant and Animal Disease, Pest Control, and Animal Care	10.025	108,281.77	808,543.38
Agriculture	Conservation Reserve Program	10.069		13,905.12
Agriculture	Market Protection and Promotion	10.163		23,417.47
University of Tennessee	Cooperative Forestry Research	10.202		726,425.59
University of Tennessee	Payments to Agricultural Experiment Stations Under the Hatch Act	10.203		6,056,323.00
Tennessee State University	1890 Institution Capacity Building Grants	10.216		345,719.03
University of Tennessee	Higher Education Challenge Grants	10.217		39,188.22
Agriculture	Homeland Security_Agricultural	10.304		40,330.25
Tennessee State University	International Science and Education Grants	10.305	\$ 28,795.89	
University of Tennessee	International Science and Education Grants	10.305	33,157.91	61,953.80
Tennessee State University	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers	10.443		55,381.53
Tennessee State University	Cooperative Extension Service	10.500	\$ 2,678,899.90	
University of Tennessee	Cooperative Extension Service	10.500	10,120,317.44	12,799,217.34
Health	Special Supplemental Nutrition Program for Women, Infants, and Children	10.557		113,437,066.30
Human Services	Child and Adult Care Food Program	10.558		47,278,719.83
Agriculture	State Administrative Expenses for Child Nutrition	10.560	\$ 180,133.11	
Education	State Administrative Expenses for Child Nutrition	10.560	1,736,139.96	
Human Services	State Administrative Expenses for Child Nutrition	10.560	1,636,987.94	3,553,261.01
Health	Commodity Supplemental Food Program	10.565	\$ 797,110.43	
Health	Commodity Supplemental Food Program (Noncash Award)	10.565	3,329,103.00	4,126,213.43
Health	WIC Farmers' Market Nutrition Program (FMNP)	10.572		58,322.18
Health	Senior Farmers Market Nutrition Program	10.576		537,471.26
Education	Fresh Fruit and Vegetable Program	10.582		790,300.53
Agriculture	Forestry Research	10.652	\$ 280,852.14	
University of Tennessee	Forestry Research	10.652	4,788.83	285,640.97
Agriculture	Cooperative Forestry Assistance	10.664	\$ 1,517,774.89	
University of Tennessee	Cooperative Forestry Assistance	10.664	5,952.80	1,523,727.69
Agriculture	Urban and Community Forestry Program	10.675		452,457.09
Agriculture	Forest Legacy Program	10.676		1,159,706.53
Agriculture	Forest Land Enhancement Program	10.677		43,961.00
Agriculture	Forest Stewardship Program	10.678		331,599.37
Agriculture	Forest Health Protection	10.680		304,008.14

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Columbia State Community College	Rural Business Enterprise Grants	10.769	\$	10,747.00
East Tennessee State University	Rural Business Enterprise Grants	10.769		3,832.00
Economic and Community Development	Rural Business Enterprise Grants	10.769		53,147.53
Tennessee State University	Rural Business Enterprise Grants	10.769		58,491.30
Tennessee Technological University	Rural Business Enterprise Grants	10.769		241,108.90
University of Tennessee	Rural Business Enterprise Grants	10.769		37,635.85
University of Tennessee	Rural Business Opportunity Grants	10.773		14,679.73
Roane State Community College	Distance Learning and Telemedicine Loans and Grants	10.855		5,500.00
University of Tennessee	Public Television Station Digital Transition Grant Program	10.861		264,935.52
Tennessee State University Agriculture	Soil and Water Conservation	10.902		22,209.37
Tennessee State University	Agricultural Statistics Reports	10.950		41,056.62
Tennessee State University	Technical Agricultural Assistance	10.960		44,282.82
Tennessee State University	Cochran Fellowship Program- International Training-Foreign Participant	10.962		221.43
University of Tennessee	Long Term Standing Agreements For Storage, Transportation And Lease	10.999		280,184.72
Agriculture	Cooperative Forestry Position to Implement Forestry Provisions of Farm Bill	N.A. / 68-4741-8-730		16,719.28
Agriculture	Cooperative Forestry Position to Implement Forestry Provisions of Farm Bill	N.A. / 68-4741-9-796		8,362.37
Tennessee State University	Cooperative Pesticide Recordkeeping Program	N.A. / 12-25-A-4709		(1,500.00)
University of Tennessee	USDA ARS Honey Bee Health-Skinner	N.A. / 58-1275-8-391		23,825.01
University of Tennessee	USDA-07-CS-11080400-004-Hagevik	N.A. / 07-CS-11080400-004		19,881.71
Subtotal Direct Programs				\$ 197,814,953.88
Passed Through North Carolina State University				
University of Tennessee	Plant and Animal Disease, Pest Control, and Animal Care	10.025 / 2008-232901	\$	7,466.72
University of Tennessee	Integrated Programs	10.303 / 2003148649	\$	2,089.73
University of Tennessee	Integrated Programs	10.303 / 2003148617 AMD3		543.17
University of Tennessee	Integrated Programs	10.303 / 2007-1634-11		15,000.32
University of Tennessee	Cooperative Extension Service	10.500 / 2007037612	\$	14,680.66
University of Tennessee	Cooperative Extension Service	10.500 / 2008059019		35,105.36
University of Tennessee	Cooperative Extension Service	10.500 / 2008-1004-17		5,935.45
Passed Through University of Florida				
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / PO 6015-980		2,000.00
University of Tennessee	Homeland Security_Agricultural	10.304 / UFIFAS 00069564		38,623.59
University of Tennessee	Cooperative Extension Service	10.500 / UFIFAS 00069691		(2,331.85)
Passed Through University of Georgia				
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / RD309067/3504378	\$	6,955.82
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / RD309094/3841918		9,781.97
University of Tennessee	Grants for Agricultural Research_Competitive Research Grants	10.206 / RC293502/3843598		10,572.50

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Sustainable Agriculture Research and Education	10.215 / RD309097/4688128	\$ 1,840.72	
University of Tennessee	Sustainable Agriculture Research and Education	10.215 / RD309100/3843908	14,656.96	16,497.68
Tennessee State University	Cooperative Extension Service	10.500 / 2004-47001-01844	\$ (546.48)	
University of Tennessee	Cooperative Extension Service	10.500 / RE675-114/3500058	14,462.66	
University of Tennessee	Cooperative Extension Service	10.500 / RE675-149/3504578	13,428.48	27,344.66
Passed Through University of Kentucky				
University of Tennessee	Grants for Agricultural Research_Compertitive Research Grants	10.206 / 304809570008019		(301.76)
Passed Through Alabama Agricultural and Mechanical University				
Tennessee State University	1890 Institution Capacity Building Grants	10.216 / 2005-38820-16479		32,850.42
Passed Through Texas Agriculture Extension Services				
University of Tennessee	Integrated Programs	10.303 / 450008	\$ 37,535.81	
University of Tennessee	Integrated Programs	10.303 / SUB 450035	(286.44)	37,249.37
University of Tennessee	Cooperative Extension Service	10.500 / TCE 622159	\$ 17,782.15	
University of Tennessee	Cooperative Extension Service	10.500 / TCE 622164	17,185.54	
University of Tennessee	Cooperative Extension Service	10.500 / 622199	6,629.45	41,597.14
University of Tennessee	Long Term Standing Agreements For Storage, Transportation And Lease	10.999 / 451004		3,370.32
Passed Through Auburn University				
University of Tennessee	Cooperative Extension Service	10.500 / 08-HHP-374648-0025		5,500.00
Passed Through Kansas State University				
University of Tennessee	Cooperative Extension Service	10.500 / S09126		2,155.64
Passed Through Louisiana State University				
University of Tennessee	Cooperative Extension Service	10.500 / 26709		9,000.00
Passed Through National 4-H Council				
University of Tennessee	Cooperative Extension Service	10.500 / 2008HR		56,121.94
Passed Through Southern Forest Research Partnership, Incorporated				
University of Tennessee	Cooperative Extension Service	10.500 / 68-3A75-4-143		(68.26)
Passed Through Tennessee Urban Forestry Council				
University of Tennessee	Cooperative Forestry Assistance	10.664 / DG070201200&ED07022		(17,757.07)
Passed Through Volunteer State Community College Foundation				
Volunteer State Community College	Rural Business Enterprise Grants	10.769 / N.A.		5,090.50
Passed Through South Dakota State University				
University of Tennessee	Long Term Standing Agreements For Storage, Transportation And Lease	10.999 / 2010SNAP		83.33

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Texas Agricultural and Mechanical University			
University of Tennessee	Long Term Standing Agreements For Storage, Transportation And Lease	10.999 / 2727	9,037.32
Subtotal Pass-Through Programs			\$ 374,194.67
Subtotal Department of Agriculture			\$ 198,189,148.55

Department of Commerce

Direct Programs			
University of Tennessee	Economic Development_Technical Assistance	11.303	\$ 77,840.28
University of Tennessee	Measurement and Engineering Research and Standards	11.609	(152.78)
University of Tennessee	Manufacturing Extension Partnership	11.611	2,479,338.19
University of Tennessee	US DOC IPA Agreement Assignment-Rotstein	N.A. / 006785-001	120,359.62
Subtotal Direct Programs			\$ 2,677,385.31
Passed Through University of Nebraska			
University of Tennessee	Manufacturing Extension Partnership	11.611 / 25-6331-0134-005	\$ 9,162.72
Subtotal Pass-Through Programs			\$ 9,162.72
Subtotal Department of Commerce			\$ 2,686,548.03

Department of Defense

Direct Programs			
University of Tennessee	Procurement Technical Assistance for Business Firms	12.002	\$ 465,844.39
Finance and Administration	Payment to States in Lieu of Real Estate Taxes	12.112	737,991.07
Environment and Conservation	State Memorandum of Agreement Program for the Reimbursement of Technical Services	12.113	222,880.04
Military	Military Construction, National Guard	12.400	9,629,869.12
Military	National Guard Military Operations and Maintenance (O&M) Projects	12.401	23,440,604.67
Tennessee State University	Basic, Applied, and Advanced Research in Science and Engineering	12.630	538,528.16
University of Tennessee	Air Force Defense Research Sciences Program	12.800	19,505.73
University of Tennessee	Information Security Grant Program	12.902	41,381.62
Education	Troops to Teachers Memorandum of Agreement	N.A. / N.A.	73,721.20
Education	Troops to Teachers Memorandum of Agreement	N.A. / N.A.	5,141.23
Tennessee State University	AFROTC - Uniform Commutation Fund	N.A. / DET-790	28,621.69
Tennessee Technological University	Assist Operation Military Child Care with Enhanced Child Care Referrals	N.A. / MOAVER1-05/12/06	444.90
University of Tennessee	Army CABF Family Advocacy Prg 09	N.A. / MIPR9AO12UT002	23,898.58
University of Tennessee	Army Cnsmr Affairs/Fin Planning 2009	N.A. / MIPR9AO12UT005	113,802.96
University of Tennessee	Army Family Readiness Ctr 2009	N.A. / MIPR9AO12UT007	18,238.19

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	Army Family Team Building 2009	N.A. / MIPR9A012UT009	6,419.00
University of Tennessee	Army IPA - Gribok	N.A. / IPA GRIBOK	129,363.25
University of Tennessee	Army MIPR 01Asst/Outreach Office 2008	N.A. / MIPR8A012UT001	7,305.42
University of Tennessee	Army MIPR 02 Relocation Office 2008	N.A. / MIPR8A012UT002	24,506.01
University of Tennessee	Army MIPR 03 CABC Family Advocacy Prg 08	N.A. / MIPR8A012UT003	8,698.95
University of Tennessee	Army MIPR 04 Exceptional Family Mmber 08	N.A. / MIPR8A012UT004	6,725.86
University of Tennessee	Army MIPR 05 Cnsmr Affrs/Fin Planning 08	N.A. / MIPR8A012UT005	45,324.75
University of Tennessee	Army MIPR 06 Family Employment Asst 2008	N.A. / MIPR8A012UT006	2,526.77
University of Tennessee	Army MIPR 07 Family Readiness Ctr 2008	N.A. / MIPR8A012UT007	14,857.76
University of Tennessee	Army MIPR 08 Soldier Mblztn/Deployment 08	N.A. / MIPR8A012UT008	6,813.11
University of Tennessee	Army MIPR 09 Family Team Building 2008	N.A. / MIPR8A012UT009	7,987.66
University of Tennessee	Army MIPR 10 Family Action Plan 2008	N.A. / MIPR8A012UT010	866.43
University of Tennessee	Army MIPR 11 Army Readiness Office 2008	N.A. / MIPR8A012UT011	7,724.07
University of Tennessee	Army MIPR 12 Financial Readiness 2008	N.A. / MIPR8A012UT012	7,995.16
University of Tennessee	Army Relocation Office 2009	N.A. / MIPR9A012UT002	77,845.16
University of Tennessee	Army Soldier Mblztn/Deployment 2009	N.A. / MIPR9A012UT008	20,447.03
University of Tennessee	Army Soldier Readiness Office 2009	N.A. / MIPR9A012UT011	23,429.46
University of Tennessee	Army W911NF-08-1-0071 - Bruns	N.A. / W911NF-08-1-0071	14,236.05
University of Tennessee	DOD-Matheny 2004	N.A. / SP48000420389	338,189.68
University of Tennessee	Peace Corps-PC-08-8-139 - Wood	N.A. / PC-08-8-139	19,090.23
Subtotal Direct Programs			\$ 36,130,825.36
Passed Through Texas Agricultural and Mechanical University			
Tennessee State University	Basic and Applied Scientific Research	12.300 / N00014-04-1-0660	\$ 23,662.80
Passed Through Academy of Applied Sciences			
University of Tennessee	Basic Scientific Research	12.431 / 2007-2008	\$ 533.85
University of Tennessee	Basic Scientific Research	12.431 / W911NF-04-1-0001	19,773.97
			<u>20,307.82</u>
Passed Through Washington State University			
University of Tennessee	Research and Technology Development	12.910 / 108956 G002294-001	27,997.52
Subtotal Pass-Through Programs			\$ 71,968.14
Subtotal Department of Defense			\$ 36,202,793.50
Department of Housing and Urban Development			
Direct Programs			
Middle Tennessee State University	College Housing Debt Service	14.100	\$ 72,134.00
Tennessee Housing Development Agency	Supportive Housing for Persons with Disabilities	14.181	186,569.00
Tennessee Housing Development Agency	Emergency Shelter Grants Program	14.231	1,149,280.69

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Supportive Housing Program	14.235		163,126.09
Tennessee Housing Development Agency	Home Investment Partnerships Program	14.239		19,697,338.29
Health	Housing Opportunities for Persons with AIDS	14.241		881,009.79
Human Rights Commission	Fair Housing Assistance Program_State and Local	14.401		355,950.00
University of Memphis	Community Development Work-Study Program	14.512		13,864.00
Tennessee State University	Historically Black Colleges and Universities Program	14.520		426,121.55
Tennessee Housing Development Agency	Section 8 Housing Choice Vouchers	14.871		38,551,006.96
Middle Tennessee State University	Operation Lead Elimination Action Program	14.903		1,019,823.07
East Tennessee State University	Interest Subsidies	N.A. / CH-TENN-144D		49,526.00
Tennessee Technological University	Interest Subsidies	N.A. / TN-139-D		34,586.00
Subtotal Direct Programs				\$ 62,600,335.44
Passed Through City of Johnson City				
East Tennessee State University	Home Investment Partnerships Program	14.239 / 08-0199	\$	9,124.00
Passed Through City of Knoxville				
Pellissippi State Community College	Renewal Community and Empowerment Zone Programs	14.244 / C-05-0191	\$	12,134.36
Pellissippi State Community College	Renewal Community and Empowerment Zone Programs	14.244 / C-09-0047		103,552.84
				115,687.20
Passed Through Metropolitan Development and Housing Agency				
Tennessee State University	Demolition and Revitalization of Severely Distressed Public Housing	14.866 / TN-43-URD-005-I102	\$	3,317.00
Tennessee State University	Demolition and Revitalization of Severely Distressed Public Housing	14.866 / TN-43-URD-005-I103		28,808.74
				32,125.74
Passed Through Johnson City Housing Authority				
East Tennessee State University	Resident Opportunity and Supportive Services - Service Coordinators	14.870 / TN002REL022A005		25,502.14
Passed Through Memphis Housing Authority				
University of Memphis	Public Housing Neighborhood Networks Grants	14.875 / UPTOWN		16,596.69
Subtotal Pass-Through Programs				\$ 199,035.77
Subtotal Department of Housing and Urban Development				\$ 62,799,371.21

Department of the Interior

Direct Programs

Environment and Conservation	Abandoned Mine Land Reclamation (AMLR) Program	15.252		\$ 64,576.00
Environment and Conservation	Cooperative Endangered Species Conservation Fund	15.615	\$	70,323.71
Tennessee Wildlife Resources Agency	Cooperative Endangered Species Conservation Fund	15.615		644,029.00
				714,352.71

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Tennessee Wildlife Resources Agency	Clean Vessel Act	15.616		732,620.00
Tennessee Wildlife Resources Agency	Firearm and Bow Hunter Education and Safety Program	15.626		1,780,925.00
Tennessee Wildlife Resources Agency	Landowner Incentive Program	15.633		620,491.00
Tennessee Wildlife Resources Agency	State Wildlife Grants	15.634		825,802.00
University of Memphis	Earthquake Hazards Reduction Program	15.807		21,886.87
Environment and Conservation	U.S. Geological Survey_Research and Data Collection	15.808	\$ 4,032.98	
Finance and Administration	U.S. Geological Survey_Research and Data Collection	15.808	100,000.00	104,032.98
Environment and Conservation	National Cooperative Geologic Mapping Program	15.810		56,509.42
University of Tennessee Environment and Conservation	Cooperative Research Units Program	15.812		13,632.96
	Historic Preservation Fund Grants-In-Aid	15.904	\$ 48,000.00	
University of Tennessee	Historic Preservation Fund Grants-In-Aid	15.904	1,747.14	49,747.14
Environment and Conservation	Outdoor Recreation_Acquisition, Development and Planning	15.916		90,431.75
Middle Tennessee State University	Tennessee Civil War National Heritage Area	N.A. / H504102A301		102,864.12
Middle Tennessee State University	Management for Tennessee Civil War National Heritage Area	N.A. / H5041080011		224,615.11
Tennessee Wildlife Resources Agency	Multi-State Bobwhite Conservation	N.A. / M-2-P-3		58,234.00
Tennessee Wildlife Resources Agency	Obed River Gauges	N.A. / H5640070003		24,400.00
Tennessee Wildlife Resources Agency	Woodpecker Survey	N.A. / 401818J582		15,000.00
University of Tennessee	USDI-NPS-T.O.#J2145040014 Ranney	N.A. / H500099A007J21450400		10,041.65
Subtotal Department of the Interior			\$	5,510,162.71

Department of Justice

Direct Programs

Correction	Prisoner Reentry Initiative Demonstration (Offender Reentry)	16.202	\$	280,287.00
Commission on Children and Youth	Juvenile Accountability Block Grants	16.523		492,154.13
Commission on Children and Youth	Juvenile Justice and Delinquency Prevention_Allocation to States	16.540		1,025,892.74
Commission on Children and Youth	Title V_Delinquency Prevention Program	16.548		98,621.83
Tennessee Bureau of Investigation	State Justice Statistics Program For Statistical Analysis Centers	16.550		7,270.80
Finance and Administration	National Criminal History Improvement Program (NCHIP)	16.554		112,041.86
University of Tennessee	Criminal Justice Research and Development_Graduate Research Fellowship	16.562		11,537.99
Finance and Administration Treasury	Crime Victim Assistance	16.575		6,261,774.36
	Crime Victim Compensation	16.576		5,642,000.00
Finance and Administration	Edward Byrne Memorial Formula Grant Program	16.579		402,650.97
Health	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	\$	(52,221.72)

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Tennessee Bureau of Investigation	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	1,255,693.77	
University of Memphis	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	28,435.20	
University of Tennessee	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	2,176,918.80	3,408,826.05
Finance and Administration	Drug Court Discretionary Grant Program	16.585		3,950.98
Finance and Administration	Violence Against Women Formula Grants	16.588		2,125,603.97
Finance and Administration	The Community-Defined Solutions to Violence Against Women Grant Program	16.590		661,500.98
Finance and Administration	Residential Substance Abuse Treatment for State Prisoners	16.593		186,201.08
TRICOR	Corrections_Training and Staff Development	16.601		15,551.85
Correction	State Criminal Alien Assistance Program	16.606		366,078.00
Tennessee Bureau of Investigation	Regional Information Sharing Systems	16.610		5,753,486.00
Tennessee Bureau of Investigation	Public Safety Partnership and Community Policing Grants	16.710	\$ 2,941.10	
Tennessee Technological University	Public Safety Partnership and Community Policing Grants	16.710	122,536.83	125,477.93
Commission on Children and Youth	Enforcing Underage Drinking Laws Program	16.727		281,939.00
Correction	Protecting Inmates and Safeguarding Communities Discretionary Grant Program	16.735		91,357.00
Finance and Administration	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault	16.736		6,903.89
Finance and Administration	Edward Byrne Memorial Justice Assistance Grant Program	16.738		4,088,035.40
Tennessee Bureau of Investigation	Forensic DNA Backlog Reduction Program	16.741		482,060.28
Finance and Administration	Paul Coverdell Forensic Sciences Improvement Grant Program	16.742		49,375.55
Treasury	ARRA-State Victim Compensation Formula Grant Program	16.802		1,472,799.00
Finance and Administration	ARRA-Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	16.803		255.06
Tennessee Bureau of Investigation	Governor's Task Force On Marijuana Eradication	N.A. / 2008-103		540,759.76
Tennessee Bureau of Investigation	Governor's Task Force On Marijuana Eradication	N.A. / 2009-103		179,859.48
University of Tennessee	2006-RP-BX-K005	N.A. / 2006-RP-BX-K005		12,075.71
Subtotal Direct Programs				\$ 34,186,328.65
Passed Through Crichton College				
University of Memphis	National Institute of Justice Research, Evaluation, and Development Project Grants	16.560 / 2006-WA-AX-0027	\$	5,704.34

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through University of Illinois at Chicago			
University of Tennessee	National Institute of Justice Research, Evaluation, and Development Project Grants	16.560 / 2004CKWX0408	5,562.38
Passed Through Shelby County Government			
University of Memphis	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580 / CA086339	275,336.30
Passed Through City of Knoxville			
University of Tennessee	Anti-Gang Initiative	16.744 / 2006-PG-BX-0089	111,304.91
Subtotal Pass-Through Programs			\$ 397,907.93
Subtotal Department of Justice			\$ 34,584,236.58
Department of Labor			
Direct Programs			
Labor and Workforce Development	Labor Force Statistics	17.002	\$ 1,441,855.55
Labor and Workforce Development	Compensation and Working Conditions	17.005	85,821.19
Labor and Workforce Development	Unemployment Insurance	17.225	\$ 1,407,875,126.78
Labor and Workforce Development	ARRA-Unemployment Insurance	17.225	71,422,266.33
			1,479,297,393.11
Labor and Workforce Development	Senior Community Service Employment Program	17.235	\$ 2,061,378.02
Labor and Workforce Development	ARRA-Senior Community Service Employment Program	17.235	18,722.40
			2,080,100.42
Labor and Workforce Development	Trade Adjustment Assistance	17.245	10,269,114.44
Jackson State Community College	WIA Pilots, Demonstrations, and Research Projects	17.261	\$ 276,742.85
Walters State Community College	WIA Pilots, Demonstrations, and Research Projects	17.261	360,235.81
			636,978.66
Labor and Workforce Development	Work Incentive Grants	17.266	652,246.55
Labor and Workforce Development	Incentive Grants - WIA Section 503	17.267	1,222,040.03
Cleveland State Community College	Community Based Job Training Grants	17.269	\$ 137,718.08
Jackson State Community College	Community Based Job Training Grants	17.269	293,974.82
Northeast State Community College	Community Based Job Training Grants	17.269	140,642.44
Southwest Tennessee Community College	Community Based Job Training Grants	17.269	414,449.56
			986,784.90
Correction	Reintegration of Ex-Offenders	17.270	64,647.00
Labor and Workforce Development	Permanent Labor Certification for Foreign Workers	17.272	81,371.85
Labor and Workforce Development	Occupational Safety and Health_State Program	17.503	2,987,223.35
Labor and Workforce Development	Consultation Agreements	17.504	907,177.14
Labor and Workforce Development	OSHA Data Initiative	17.505	46,693.28
Labor and Workforce Development	Mine Health and Safety Grants	17.600	121,213.40
Subtotal Direct Programs			\$ 1,500,880,660.87

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through Knoxville Private Industry Council				
Pellissippi State Community College	Job Training Partnership Act	17.250 / C-629013	\$	5,287.84
Passed Through John C. Calhoun Community College				
Motlow State Community College	H-1B Job Training Grants	17.268 / 031069	\$	11,151.00
Motlow State Community College	H-1B Job Training Grants	17.268 / 031086	78,490.72	89,641.72
Subtotal Pass-Through Programs			\$	94,929.56
Subtotal Department of Labor			\$	1,500,975,590.43
Department of State				
Passed Through Institute for Training and Development				
University of Tennessee	Academic Exchange Programs - Undergraduate Programs	19.009 / 2009 STUDY OF THE US	\$	140,680.59
Passed Through International Research and Exchange Board				
Tennessee Technological University	International Research and Exchanges Board 2008-09 Global UGRAD Cultural Ambassadors Passport to America and UGRAD Fellow Support Fee	N.A. / UGRAD-TENNESSEE TECH		8,993.63
Subtotal Department of State			\$	149,674.22
Department of Transportation				
Direct Programs				
Transportation	Airport Improvement Program	20.106	\$	16,586,778.04
Pellissippi State Community College	Highway Research and Development Program	20.200		9,452.45
Tennessee State University	Highway Training and Education	20.215		35,870.07
Safety	National Motor Carrier Safety	20.218		5,968,289.63
Transportation	Federal Transit_Metropolitan Planning Grants	20.505		1,192,907.79
Transportation	Formula Grants for Other Than Urbanized Areas	20.509		12,331,886.40
Transportation	Alcohol Open Container Requirements	20.607		18,129,844.66
Tennessee Regulatory Authority	Pipeline Safety Program Base Grants	20.700		490,367.89
Military	Interagency Hazardous Materials Public Sector Training and Planning Grants	20.703		184,112.25
Tennessee Regulatory Authority	State Damage Prevention Program	20.720		30,034.53
University of Tennessee	FHWA-DTFH61-03-D-00111-Everett	N.A. / DTFH61-03-D-00111		28,309.34
University of Tennessee	FHWA-DTFH61-06-D-00026/Task 2-Everett	N.A. / DTFH61-06-D-00026/7		73,978.08
University of Tennessee	USDT-FHA-Eisenhower Grad Fellow-Han	N.A. / DDEGRD-06-X-00410	\$	34,745.00
University of Tennessee	USDT-FHA-Eisenhower Grad Fellow-Han	N.A. / DDEGRD-08-X-00462	1,500.00	36,245.00
Subtotal Direct Programs			\$	55,098,076.13

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through Embry-Riddle Aeronautical University				
Middle Tennessee State University	General Aviation Operations Safety Inspector Ground and Flight Training	N.A. / C-00013-MTSU-1	\$	33,299.52
Subtotal Pass-Through Programs			\$	33,299.52
Subtotal Department of Transportation			\$	55,131,375.65

Appalachian Regional Commission

Direct Programs

Cleveland State Community College	Appalachian Regional Development	23.001	\$	3,582.07	
Economic and Community Development	Appalachian Regional Development	23.001		3,319.82	\$ 6,901.89
East Tennessee State University	Appalachian Area Development	23.002	\$	29,813.05	
Economic and Community Development	Appalachian Area Development	23.002		17,284.33	47,097.38
East Tennessee State University	Appalachian Research, Technical Assistance, and Demonstration Projects	23.011	\$	83,861.73	
Economic and Community Development	Appalachian Research, Technical Assistance, and Demonstration Projects	23.011		171,810.39	
Motlow State Community College	Appalachian Research, Technical Assistance, and Demonstration Projects	23.011		23,150.01	278,822.13
Subtotal Direct Programs					\$ 332,821.40

Passed Through Northeast State Technical Community College Foundation

Northeast State Community College	Appalachian Area Development	23.002 / CO-14886-C1-07	\$	2,500.00	
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Passed Through Coalition for Appalachian Substance Abuse Policy

East Tennessee State University	Appalachian Research, Technical Assistance, and Demonstration Projects	23.011 / 08-0029			22,446.94
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Passed Through University of Kentucky Research Foundation

East Tennessee State University	Appalachian Research, Technical Assistance, and Demonstration Projects	23.011 / 4-67886-04-435			332.00
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Subtotal Pass-Through Programs

\$ 25,278.94

Subtotal Appalachian Regional Commission

\$ 358,100.34

Equal Employment Opportunity Commission

Direct Programs

Human Rights Commission	Employment Discrimination Title VII of the Civil Rights Act of 1964	30.001	\$	252,945.05	
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Subtotal Equal Employment Opportunity Commission

\$ 252,945.05

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
General Services Administration			
Direct Programs			
General Services	Donation of Federal Surplus Personal Property (Noncash Award)	39.003	\$ 1,110,997.66
State	Election Reform Payments	39.011	310,063.27
Subtotal General Services Administration			\$ 1,421,060.93
Library of Congress			
Direct Programs			
Middle Tennessee State University	Teaching with Primary Sources	N.A. / GA08C0077	\$ 97,248.43
Subtotal Library of Congress			\$ 97,248.43
National Aeronautics and Space Administration			
Direct Programs			
Tennessee Technological University	Aerospace Education Services Program	43.001	\$ 1,170,868.85
Tennessee State University	Technology Transfer	43.002	16,782.94
Tennessee State University	NASA Science Engineering Mathematics Aerospace Academy (SEMAA)	N.A. / NAS3-02123-STSU	123,512.42
University of Tennessee	NASA NNX07AV65G Coughenour	N.A. / NNX07AV65G	1,654.30
University of Tennessee	NASA-Rover-Based Neutron - Moersch	N.A. / NNX08AT42H	24,899.28
Subtotal Direct Programs			\$ 1,337,717.79
Passed Through National Space Grant Foundation			
University of Tennessee	Aerospace Education Services Program	43.001 / 2008-AESP14	\$ 767.57
Passed Through Vanderbilt University			
Tennessee State University	Technology Transfer	43.002 / NNG05GE95H	77,498.57
Subtotal Pass-Through Programs			\$ 78,266.14
Subtotal National Aeronautics and Space Administration			\$ 1,415,983.93
National Endowment for the Arts			
Direct Programs			
University of Memphis	Promotion of the Arts_Grants to Organizations and Individuals	45.024	\$ 27,855.27
Tennessee Arts Commission	Promotion of the Arts_Partnership Agreements	45.025	727,226.99
Subtotal National Endowment for the Arts			\$ 755,082.26
National Endowment for the Humanities			
Direct Programs			

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Middle Tennessee State University	Promotion of the Humanities_ Professional Development	45.163	\$ 204,247.39	
University of Tennessee	Promotion of the Humanities_ Professional Development	45.163	30,028.62	\$ 234,276.01
Middle Tennessee State University	Promotion of the Humanities_Public Programs	45.164		1,257.28
Subtotal National Endowment for the Humanities			\$	235,533.29

Institute of Museum and Library Services

Direct Programs

University of Memphis	Museums for America	45.301		\$ 7,208.44
East Tennessee State University	Museum Assessment Program	45.302		(350.00)
State	Grants to States	45.310		3,268,977.75
State	National Leadership Grants	45.312	\$ 11,579.40	
Tennessee State University	National Leadership Grants	45.312	121,552.19	
University of Tennessee	National Leadership Grants	45.312	96,505.89	229,637.48
University of Tennessee	Laura Bush 21st Century Librarian Program	45.313		52,091.97
Subtotal Institute of Museum and Library Services			\$	3,557,565.64

National Science Foundation

Direct Programs

Middle Tennessee State University	Engineering Grants	47.041	\$ 13,703.75	
University of Tennessee	Engineering Grants	47.041	15,231.73	\$ 28,935.48
East Tennessee State University	Mathematical and Physical Sciences	47.049	\$ 40,786.55	
Tennessee State University	Mathematical and Physical Sciences	47.049	170,402.00	
University of Tennessee	Mathematical and Physical Sciences	47.049	280,539.49	491,728.04
Tennessee State University	Geosciences	47.050		12,745.98
University of Tennessee	Computer and Information Science and Engineering	47.070		48,179.72
University of Memphis	Biological Sciences	47.074		109,157.23
Cleveland State Community College	Education and Human Resources	47.076	\$ 6,600.70	
Middle Tennessee State University	Education and Human Resources	47.076	789,966.61	
Nashville State Community College	Education and Human Resources	47.076	709,761.68	
Pellissippi State Community College	Education and Human Resources	47.076	298,918.93	
Tennessee State University	Education and Human Resources	47.076	884,145.86	
University of Memphis	Education and Human Resources	47.076	162,109.12	
University of Tennessee	Education and Human Resources	47.076	1,038,131.19	3,889,634.09
University of Tennessee	Polar Programs	47.078		10,725.11
Middle Tennessee State University	International Science and Engineering (OISE)	47.079		53,689.15
University of Tennessee	Office of Cyberinfrastructure	47.080		25,000.00
University of Tennessee	NSF-IPA - Garrison	N.A. / IPA ARLENE GARRISON		128,198.23
Subtotal Direct Programs			\$	4,797,993.03

Passed Through Mathematical Association of America

East Tennessee State University	Mathematical and Physical Sciences	47.049 / SUMMA		\$ 23,814.50
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Passed Through University of Kentucky Research Foundation

University of Tennessee	Mathematical and Physical Sciences	47.049 / 3046635800-08-467		31,737.43
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**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Georgia Institute of Technology			
University of Tennessee	Education and Human Resources	47.076 / CK 752212	1,916.13
Passed Through Kentucky Community and Technical College System			
Pellissippi State Community College	Education and Human Resources	47.076 / DUE-0603327	4,274.54
Passed Through Lorain County Community College			
Chattanooga State Technical Community College	Education and Human Resources	47.076 / 0703018	10,387.61
Passed Through National Center for Science and Civic Engagement			
Middle Tennessee State University	Education and Human Resources	47.076 / DUE-0717407	372.16
Passed Through Puget Sound Center			
Middle Tennessee State University	Education and Human Resources	47.076 / HRD-0631789	4,068.59
Passed Through University of Tulsa			
Jackson State Community College	Education and Human Resources	47.076 / DUE-0355246	20,364.41
Subtotal Pass-Through Programs			<u>\$ 96,935.37</u>
Subtotal National Science Foundation			<u>\$ 4,894,928.40</u>
Small Business Administration			
Direct Programs			
Tennessee Board of Regents	Small Business Development Centers	59.037	\$ 2,297,105.67
Tennessee Technological University	Small Business Development Centers	59.037	19,495.00
University of Tennessee	SBAHQ-05-1-0015 Schaeerer	N.A. / SBAHQ-05-1-0015	15,026.32
Subtotal Small Business Administration			<u>\$ 2,331,626.99</u>
Department of Veterans Affairs			
Direct Programs			
Tennessee State Veterans Homes Board	Grants to States for Construction of State Home Facilities	64.005	\$ 321,778.84
East Tennessee State University	Veterans Home Based Primary Care	64.022	181,741.76
Veterans Affairs	Burial Expenses Allowance for Veterans	64.101	291,300.00
Tennessee Higher Education Commission	Veterans Education Commission	N.A. / V101(223B)P3/0013	286,813.62
University of Memphis	Support of Veteran's Services Office	N.A. / N.A.	3,336.00
Subtotal Department of Veterans Affairs			<u>\$ 1,084,970.22</u>
Environmental Protection Agency			
Direct Programs			
Environment and Conservation	Air Pollution Control Program Support	66.001	\$ 1,752,985.04
Environment and Conservation	State Indoor Radon Grants	66.032	70,862.15

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Environment and Conservation	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	66.034	322,045.76
Environment and Conservation	Clean School Bus USA	66.036	60,806.72
Environment and Conservation	Congressionally Mandated Projects	66.202	1,270,736.38
Environment and Conservation	Water Pollution Control State, Interstate, and Tribal Program Support	66.419	2,059,329.71
Environment and Conservation	State Public Water System Supervision	66.432	1,294,914.40
Environment and Conservation	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act	66.436	176.91
Environment and Conservation	Water Quality Management Planning	66.454	170,030.86
Environment and Conservation	Capitalization Grants for Clean Water State Revolving Funds	66.458	11,482,821.62
Agriculture	Nonpoint Source Implementation Grants	66.460	2,442,126.12
Environment and Conservation	Regional Wetland Program Development Grants	66.461	14,421.07
Environment and Conservation	Water Quality Cooperative Agreements	66.463	119,282.15
Environment and Conservation	Wastewater Operator Training Grant Program	66.467	46,739.28
Environment and Conservation	Capitalization Grants for Drinking Water State Revolving Funds	66.468	6,436,215.52
Environment and Conservation	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs	66.471	37,430.61
Environment and Conservation University of Tennessee	Water Protection Grants to the States	66.474	166.61
University of Tennessee	Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study Science To Achieve Results (STAR) Fellowship Program	66.513	6,318.00
University of Tennessee	Performance Partnership Grants	66.514	19,392.49
Agriculture University of Tennessee	Training and Fellowships for the Environmental Protection Agency	66.605	544,202.80
Environment and Conservation	Environmental Information Exchange Network Grant Program and Related Assistance	66.607	103,854.14
Environment and Conservation	Toxic Substances Compliance Monitoring Cooperative Agreements	66.608	65,677.02
Environment and Conservation	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals	66.701	254,582.29
Environment and Conservation	Pollution Prevention Grants Program	66.707	441,145.86
Environment and Conservation	Multi-Media Capacity Building Grants for States and Tribes	66.708	35,829.15
Environment and Conservation	Hazardous Waste Management State Program Support	66.709	13,902.19
Environment and Conservation	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements	66.801	2,761,205.34
Environment and Conservation	Underground Storage Tank Prevention, Detection, and Compliance Program	66.802	121,228.11
Environment and Conservation	Leaking Underground Storage Tank Trust Fund Corrective Action Program	66.804	514,951.41
Environment and Conservation	Solid Waste Management Assistance Grants	66.805	1,659,376.64
Environment and Conservation		66.808	18,372.53

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Environment and Conservation	Superfund State and Indian Tribe Core Program Cooperative Agreements	66.809	711,849.98
Environment and Conservation	Environmental Council of States	N.A. / SP-0607-TN	6,625.45
Subtotal Direct Programs			\$ 34,859,604.31
Passed Through Cumberland River Compact			
University of Tennessee	Targeted Watersheds Grants	66.439 / CUMBERLAND RIVER	\$ 27,807.76
Passed Through Knox County			
University of Tennessee	07-590 MOD. # 1	N.A. / 07-590 MOD. # 1	57,780.47
University of Tennessee	ECO CRDT TRDG PRGRM	N.A. / ECO CRDT TRDG	17,810.72
Subtotal Pass-Through Programs			\$ 103,398.95
Subtotal Environmental Protection Agency			\$ 34,963,003.26

Department of Energy

Direct Programs

Economic and Community Development	State Energy Program	81.041	\$ 563,857.65
Human Services	Weatherization Assistance for Low-Income Persons	81.042	8,078,912.81
University of Tennessee	Office of Science Financial Assistance Program	81.049	288,847.24
Economic and Community Development	State Energy Program Special Projects	81.119	\$ 33,445.85
Environment and Conservation	State Energy Program Special Projects	81.119	4,415,858.52
Pellissippi State Community College	Miscellaneous Federal Activities	81.502	8,653.70
Economic and Community Development	Petroleum Violation Escrow-Amoco	N.A. / N.A.	22,454.96
Economic and Community Development	Petroleum Violation Escrow-Diamond Shamrock	N.A. / N.A.	500,552.01
Economic and Community Development	Petroleum Violation Escrow-Exxon	N.A. / N.A.	115,291.50
Economic and Community Development	Petroleum Violation Escrow-Stripper	N.A. / N.A.	3,211,332.56
Military	Department of Energy Emergency Preparedness	N.A. / DE-FG05-96OR22522	987,190.46
Tennessee State University	Chair of Excellence Professorship and National Nuclear Security Association	N.A. / DE-FG02-94EW11428	429,703.78
Tennessee Wildlife Resources Agency	Black Oak Ridge Conservation Easement	N.A. / REORDOER-2-5-0603	20,500.00
Tennessee Wildlife Resources Agency	Oak Ridge Wildlife Management	N.A. / REORDOER-3-97-0702	192,565.00
University of Tennessee	Secretariat Lab Energy R&D Group 2006	N.A. / 35584	16,469.86
Subtotal Direct Programs			\$ 18,885,635.90
Passed Through Argonne National Laboratory			
University of Tennessee	WORKSHOPS-IESP	N.A. / DE-AC02-06 CH11357/9F-31202	\$ 3,700.76

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Babcock and Wilcox Y12, Limited Liability Company			
Tennessee Technological University	Life Cycle Cost Analysis Independent Review	N.A. / 4300062645/12/06/2007	19,812.42
Subtotal Pass-Through Programs			\$ 23,513.18
Subtotal Department of Energy			\$ 18,909,149.08

Federal Emergency Management Agency

Direct Programs			
University of Memphis	National Fire Academy Educational Program	83.010	\$ 3,280.40
University of Memphis	Degrees at a Distance Fire Service Program	N.A. / FEMA EMW-2008-CA-1025	6,309.01
Subtotal Federal Emergency Management Agency			\$ 9,589.41

Department of Education

Direct Programs			
Labor and Workforce Development	Adult Education - Basic Grants to States	84.002	\$ 11,930,305.32
Education	Migrant Education_State Grant Program	84.011	511,697.09
Education	Title I Program for Neglected and Delinquent Children	84.013	501,706.64
East Tennessee State University	Undergraduate International Studies and Foreign Language Programs	84.016	\$ 53,073.49
Middle Tennessee State University	Undergraduate International Studies and Foreign Language Programs	84.016	52,670.97
University of Memphis	Overseas Programs - Group Projects Abroad	84.021	91,605.23
Austin Peay State University	Higher Education_Institutional Aid	84.031	\$ 197,948.42
Columbia State Community College	Higher Education_Institutional Aid	84.031	229,873.05
Nashville State Community College	Higher Education_Institutional Aid	84.031	117,405.74
Pellissippi State Community College	Higher Education_Institutional Aid	84.031	43,373.34
Tennessee State University	Higher Education_Institutional Aid	84.031	7,461,796.97
University of Tennessee	Higher Education_Institutional Aid	84.031	69,681.81
Tennessee Student Assistance Corporation	Federal Family Education Loans	84.032	160,370,992.37
Middle Tennessee State University	Perkins Loan Cancellations	84.037	65,003.00
Education	Career and Technical Education -- Basic Grants To States	84.048	26,901,544.84
Tennessee Student Assistance Corporation	Leveraging Educational Assistance Partnership	84.069	1,113,079.00
East Tennessee State University	Fund for the Improvement of Postsecondary Education	84.116	\$ 24,498.40
Middle Tennessee State University	Fund for the Improvement of Postsecondary Education	84.116	434,370.93
Tennessee Board of Regents	Fund for the Improvement of Postsecondary Education	84.116	131,763.76
University of Tennessee	Fund for the Improvement of Postsecondary Education	84.116	397,368.25
University of Memphis	Rehabilitation Long-Term Training	84.129	\$ 139,363.33
University of Tennessee	Rehabilitation Long-Term Training	84.129	178,809.87

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Migrant Education_High School Equivalency Program	84.141		414,219.04
Education	Migrant Education_Coordination Program	84.144		128,908.06
East Tennessee State University	Business and International Education Projects	84.153	\$ 33,510.52	
University of Tennessee	Business and International Education Projects	84.153	28,011.12	61,521.64
Human Services	Independent Living_State Grants	84.169		295,891.82
Human Services	Rehabilitation Services_Independent Living Services For Older Individuals Who are Blind	84.177		775,247.95
Education	Byrd Honors Scholarships	84.185		772,500.00
Education	Safe and Drug-Free Schools and Communities_State Grants	84.186		5,789,676.53
Education	Supported Employment Services for Individuals with Significant Disabilities	84.187	\$ 194,826.90	
Human Services	Supported Employment Services for Individuals with Significant Disabilities	84.187	502,388.00	697,214.90
University of Tennessee	Bilingual Education_Professional Development	84.195		29,683.16
Education	Education for Homeless Children and Youth	84.196		1,002,464.97
Education	Even Start_State Educational Agencies	84.213		1,256,467.11
University of Memphis	Fund for the Improvement of Education	84.215	\$ 10,109.26	
University of Tennessee	Fund for the Improvement of Education	84.215	59,423.32	69,532.58
Human Services	Assistive Technology	84.224		416,799.40
Education	Tech-Prep Education	84.243		1,871,384.63
University of Tennessee	National Institute for Literacy	84.257		522,365.11
University of Tennessee	Rehabilitation Training_Continuing Education	84.264		40,040.44
Human Services	Rehabilitation Training_State Vocational Rehabilitation Unit In- Service Training	84.265		71,932.82
Education	Charter Schools	84.282		1,719,795.46
Education	Twenty-First Century Community Learning Centers	84.287		17,266,084.26
Education	State Grants for Innovative Programs	84.298		856,336.70
Education	Education Technology State Grants	84.318		6,740,622.37
Education	Special Education - State Personnel Development	84.323		651,540.35
University of Tennessee	Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	84.326		880,723.05
Education	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)	84.330		135,918.00
Correction	Grants to States for Workplace and Community Transition Training for Incarcerated Individuals	84.331		96,686.00
East Tennessee State University	Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	\$ 382,077.83	
Tennessee Higher Education Commission	Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	3,737,190.15	

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	552,281.51	4,671,549.49
East Tennessee State University	Child Care Access Means Parents in School	84.335	\$ 89,442.94	
Southwest Tennessee Community College	Child Care Access Means Parents in School	84.335	159,577.78	249,020.72
University of Tennessee	Early Childhood Educator Professional Development	84.349		226,745.08
Austin Peay State University	Transition to Teaching	84.350	\$ 43,000.00	
Education	Transition to Teaching	84.350	1,061,597.16	1,104,597.16
Tennessee Arts Commission	Arts In Education	84.351		222,559.73
Education	Reading First State Grants	84.357		29,555,687.77
Education	Rural Education	84.358		3,527,024.22
Education	English Language Acquisition Grants	84.365		4,800,080.80
Education	Mathematics and Science Partnerships	84.366		4,281,588.27
Education	Improving Teacher Quality State Grants	84.367	\$ 48,203,558.98	
Tennessee Higher Education Commission	Improving Teacher Quality State Grants	84.367	1,082,965.00	49,286,523.98
Education	Grants for State Assessments and Related Activities	84.369		5,214,607.87
Education	Statewide Data Systems	84.372		576,262.16
Education	School Improvement Grants	84.377		1,346,004.16
Education	Hurricane Education Recovery	84.938		942.94
Education	NCES Task Order Contract: National Assessment of Educational Progress	N.A. / ED-03-CO-0091		104,046.72
Education	State Data Task Order	N.A. / ED-08-CO-0064		4,234.87
Subtotal Direct Programs			\$	358,752,964.11
Passed Through State of Oregon				
University of Tennessee	Adult Education - Basic Grants to States	84.002 / IGA0148	\$	50,245.34
Passed Through Wilson County School System				
Middle Tennessee State University	Career and Technical Education -- Basic Grants to States	84.048 / V048A080042A		64,422.51
Volunteer State Community College	Fund for the Improvement of Education	84.215 / N.A.		434,260.13
Passed Through Metropolitan Government of Nashville and Davidson County				
Middle Tennessee State University	Fund for the Improvement of Education	84.215 / 2-213324-02 A1		(371.09)
Passed Through Warren County Board of Education				
Middle Tennessee State University	Fund for the Improvement of Education	84.215 / S215X020283		0.91
Passed Through Kent State University				
University of Tennessee	National Institute for Literacy	84.257 / 444849-P071037		40,378.17
Passed Through Edvantia				
University of Tennessee	Parental Information and Resource Centers	84.310 / S-31000-07-004		42,552.04

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Vanderbilt University			
Tennessee State University	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	84.325 / H325K060403-07	19,972.00
Passed Through Alliance for Business and Training, Incorporated			
Northeast State Community College	Gaining Early Awareness and Readiness for Undergraduate Programs	84.334 / GR-09-27367-00	26,949.48
Passed Through Memphis City Schools			
University of Memphis	Gaining Early Awareness and Readiness for Undergraduate Programs	84.334 / 05 00434 Z 03	85,769.97
Passed Through Signal Centers, Incorporated			
University of Tennessee	Child Care Access Means Parents in School	84.335 / GR-09-25256-00	997,090.13
University of Tennessee	Early Childhood Educator Professional Development	84.349 / R0-41512006	4,029.33
Passed Through White County Board of Education			
Tennessee Technological University	Teacher Quality Partnership Grants	84.336 / U215X040307	55,194.18
Passed Through Drexel University			
University of Tennessee	Transition to Teaching	84.350 / 213015-02	52,238.88
Passed Through National Writing Project Corporation			
Middle Tennessee State University	National Writing Project	84.928 / 05-TN03	\$ 75,712.38
Tennessee Technological University	National Writing Project	84.928 / 08-TN04 AMND #1	21,693.86
University of Tennessee	National Writing Project	84.928 / 94-TN02 AMND #18	15,203.89
University of Tennessee	National Writing Project	84.928 / 94-TN02 AMND #19	25,253.84
			137,863.97
Passed Through Fayette County Schools			
University of Memphis	Establishing Professional Learning Communities in Fayette County Schools	N.A. / NCLB2001	11,685.43
Passed Through Knox County Schools			
University of Tennessee	Early Reading 07-08	N.A. / EARLY RDNG 07-08	21,559.95
Passed Through National Commission on Teaching and America's Future			
University of Memphis	Teachers Learning in Networked Communities	N.A. / P116B070236	19,565.41
Subtotal Pass-Through Programs			\$ 2,063,406.74
Subtotal Department of Education			\$ 360,816,370.85

National Archives and Records Administration

Direct Programs

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
State	National Historical Publications and Records Grants	89.003	\$	71,396.25
University of Tennessee	National Historical Publications and Records Grants	89.003	9,128.77	\$ 80,525.02
Subtotal National Archives and Records Administration				\$ 80,525.02

Elections Assistance Commission
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Direct Programs

State	Help America Vote Act Requirements Payments	90.401	\$	488,450.93
Subtotal Elections Assistance Commission				\$ 488,450.93

Department of Health and Human Services
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Direct Programs

Health	State And Territorial And Technical Assistance Capacity Development	93.006	\$	116,546.48
Commission on Aging and Disability	Minority HIV/AIDS Demonstration Special Programs for the Aging_ Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation	93.041		14,300.00
Commission on Aging and Disability	Special Programs for the Aging_ Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals	93.042		93,640.11
Commission on Aging and Disability	Special Programs for the Aging_ Title III, Part D_Disease Prevention and Health Promotion Services	93.043		141,000.00
Commission on Aging and Disability	Special Programs for the Aging_ Title IV_and Title II_Discretionary Projects	93.048		127,416.89
Commission on Aging and Disability	Alzheimer's Disease Demonstration Grants to States	93.051		113,552.55
Commission on Aging and Disability	National Family Caregiver Support, Title III, Part E	93.052		946,800.00
Health	Public Health Emergency Preparedness	93.069		13,796,544.31
Mental Health and Developmental Disabilities	Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse	93.087		529,404.00
Health	Food and Drug Administration_ Research	93.103		4,843.85
Mental Health and Developmental Disabilities	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)	93.104		1,794,775.45
Health	Maternal And Child Health Federal Consolidated Programs	93.110	\$	180,010.87
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110	277,714.39	457,725.26
University of Tennessee	Environmental Health	93.113		243,712.01
Health	Project Grants And Cooperative Agreements For Tuberculosis Control Programs	93.116		1,617,418.95
University of Tennessee	Oral Diseases and Disorders Research	93.121		38,979.11
University of Tennessee	Nurse Anesthetist Traineeships	93.124		44,962.00

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Health	Cooperative Agreements To States/Territories For The Coordination And Development Of Primary Care Offices	93.130		128,827.81
Health	Injury Prevention and Control Research and State and Community Based Programs	93.136		1,322,262.93
Mental Health and Developmental Disabilities	Projects for Assistance in Transition from Homelessness (PATH)	93.150		782,976.00
University of Tennessee	Research Related to Deafness and Communication Disorders	93.173		25,360.66
University of Tennessee	Nursing Workforce Diversity	93.178		347,802.19
University of Tennessee	Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children	93.197		29,271.46
Health	Family Planning_Services	93.217		6,534,873.40
Health	Traumatic Brain Injury State Demonstration Grant Program	93.234		81,830.40
Health	Abstinence Education Program	93.235		(397.20)
Health	State Capacity Building	93.240		201,700.72
Health	State Rural Hospital Flexibility Program	93.241		961,149.39
University of Memphis	Mental Health Research Grants	93.242		92,522.73
Finance and Administration	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	\$ 277,060.42	
Mental Health and Developmental Disabilities	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	5,344,744.04	
University of Tennessee	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	153,725.26	5,775,529.72
University of Tennessee	Advanced Education Nursing Grant Program	93.247		971,875.12
Health	Universal Newborn Hearing Screening	93.251		147,959.60
East Tennessee State University	Nurse Faculty Loan Program (NFLP)	93.264		71,180.40
University of Tennessee	Alcohol National Research Service Awards for Research Training	93.272		31,198.06
Mental Health and Developmental Disabilities	Substance Abuse and Mental Health Services-Access to Recovery Centers For Disease Control And Prevention_Investigations And Technical Assistance	93.275		4,399,492.01
Health	Centers For Disease Control And Prevention_Investigations And Technical Assistance	93.283		7,392,718.09
Health	Small Rural Hospital Improvement Grant Program	93.301		177,241.55
Austin Peay State University	Advanced Education Nursing Traineeships	93.358	\$ 10,000.00	
East Tennessee State University	Advanced Education Nursing Traineeships	93.358		50,120.01
University of Tennessee	Advanced Education Nursing Traineeships	93.358		194,782.00
East Tennessee State University	Nurse Education, Practice and Retention Grants	93.359	\$ 219,035.40	
University of Tennessee	Nurse Education, Practice and Retention Grants	93.359		265,117.29
University of Tennessee	National Center for Research Resources	93.389		451,103.68
East Tennessee State University	Cancer Detection and Diagnosis Research	93.394		37,868.29

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Finance and Administration	State Health Fraud Task Force Grants	93.447		4,863.68
Children's Services	Promoting Safe and Stable Families	93.556		7,730,367.91
Human Services	Child Support Enforcement	93.563	\$ 20,600,352.97	
Human Services	ARRA-Child Support Enforcement	93.563	<u>22,168,624.00</u>	42,768,976.97
Human Services	Child Support Enforcement Research	93.564		73,911.18
Human Services	Refugee and Entrant Assistance_State Administered Programs	93.566		36,037.67
Human Services	Low-Income Home Energy Assistance	93.568		65,148,233.13
Court System	State Court Improvement Program	93.586		678,194.59
Children's Services	Community-Based Child Abuse Prevention Grants	93.590		723,808.28
Human Services	Grants to States for Access and Visitation Programs	93.597		182,377.00
Children's Services	Chafee Education and Training Vouchers Program (ETV)	93.599		827,357.89
Children's Services	Adoption Incentive Payments	93.603		560,000.00
University of Tennessee State	Mentoring Children of Prisoners	93.616		89,011.46
	Voting Access for Individuals with Disabilities_Grants to State	93.617		180,304.53
Finance and Administration	Developmental Disabilities Basic Support and Advocacy Grants	93.630		1,649,699.00
University of Tennessee	University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632		507,785.95
Children's Services	Children's Justice Grants to States	93.643		307,193.65
Children's Services	Child Welfare Services_State Grants	93.645		95,639.07
University of Tennessee	Child Welfare Research Training or Demonstration	93.648		839,708.25
Children's Services	Foster Care_Title IV-E	93.658	\$ 41,739,046.61	
Children's Services	ARRA-Foster Care_Title IV-E	93.658	<u>1,646,271.60</u>	43,385,318.21
Children's Services	Adoption Assistance	93.659	\$ 36,309,677.09	
Children's Services	ARRA-Adoption Assistance	93.659	<u>2,258,374.37</u>	38,568,051.46
Human Services	Social Services Block Grant	93.667		37,109,809.67
Children's Services	Child Abuse and Neglect State Grants	93.669		282,767.33
Finance and Administration	Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes	93.671		1,704,688.76
Children's Services	Chafee Foster Care Independence Program	93.674		2,567,287.01
Finance and Administration	Children's Health Insurance Program	93.767		111,437,917.87
Commission on Aging and Disability	Center for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	93.779	\$ 657,453.62	
Mental Health and Developmental Disabilities	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	93.779	279,278.15	936,731.77
Finance and Administration	Alternate Non-Emergency Service Providers or Networks	93.790		317,962.72
Finance and Administration	Medicaid Transformation Grants	93.793		226,570.27
University of Tennessee	Cardiovascular Diseases Research	93.837		265,414.57
University of Tennessee	Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		133,195.95
University of Tennessee	Digestive Diseases and Nutrition Research	93.848		8,507.46
University of Tennessee	Microbiology and Infectious Diseases Research	93.856		114,690.20
Tennessee State University	Biomedical Research and Research Training	93.859	\$ 279,987.81	
University of Tennessee	Biomedical Research and Research Training	93.859	60,476.80	340,464.61
East Tennessee State University	Child Health and Human Development Extramural Research	93.865		889.64

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	Vision Research	93.867	12,965.71
East Tennessee State University	Grants for Training in Primary Care Medicine and Dentistry	93.884	475,191.49
Tennessee Technological University	Health Care and Other Facilities	93.887	74,083.75
University of Tennessee	Specially Selected Health Projects	93.888	33,241.20
Health	National Bioterrorism Hospital Preparedness Program	93.889	10,133,413.81
Health	Rural Health Care Services Outreach And Rural Health Network Development Program	93.912	(226.03)
Health	Grants to States for Operation of Offices of Rural Health	93.913	147,700.00
Health	HIV Care Formula Grants	93.917	18,843,382.26
Education	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	93.938	253,632.20
Health	HIV Prevention Activities_Health Department Based	93.940	4,013,450.13
Health	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance	93.944	719,410.84
Health	Assistance Programs for Chronic Disease Prevention and Control	93.945	38,740.12
Health	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs	93.946	108,703.24
Mental Health and Developmental Disabilities	Block Grants for Community Mental Health Services	93.958	8,238,737.93
Mental Health and Developmental Disabilities	Block Grants for Prevention and Treatment of Substance Abuse	93.959	26,992,832.40
Health	Preventive Health Services_Sexually Transmitted Diseases Control Grants	93.977	1,783,467.82
Health	Cooperative Agreements For State- Based Diabetes Control Programs And Evaluation Of Surveillance Systems	93.988	274,950.21
Health	Preventive Health and Health Services Block Grant	93.991	1,414,930.48
Health	Maternal and Child Health Services Block Grant to The States	93.994	9,158,373.63
Subtotal Direct Programs			\$ 493,305,741.58
Passed Through University of North Carolina			
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110 / PUBLIC NUTRITION 07	\$ (1,172.21)
East Tennessee State University	Public Health Training Centers Grant Program	93.249 / W802652	\$ 20,634.95
East Tennessee State University	Public Health Training Centers Grant Program	93.249 / W902166	25,000.00 45,634.95
Passed Through Vanderbilt University			
Tennessee State University	Maternal and Child Health Federal Consolidated Programs	93.110 / 2T83MC00008-53	\$ 31,862.46
Tennessee State University	Maternal and Child Health Federal Consolidated Programs	93.110 / 5T83MC00008-53	(1,501.34)
Tennessee State University	Maternal and Child Health Federal Consolidated Programs	93.110 / 6T73MC00050	11,235.68

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110 / VUMC CA 6915	8,609.17
Tennessee State University	Biomedical Research and Research Training	93.859 / 5R25GM060190	950.67
Passed Through University of Cincinnati			
University of Tennessee	NIEHS Hazardous Waste Worker Health and Safety Training	93.142 / 5U45ES006184-16	236,603.66
Passed Through Howard University			
Tennessee State University	AIDS Education and Training Centers	93.145 / 5H4AH00066-05-00	36,682.33
Passed Through Pacific Institute for Research and Evaluation			
University of Tennessee	Substance Abuse and Mental Health Services-Access to Recovery	93.275 / TN TRI-REGIONAL COAL	500.00
Passed Through Research Triangle Institute International			
Mental Health and Developmental Disabilities	Substance Abuse and Mental Health Services-Access to Recovery	93.275 / 44-312-0209818	110,000.00
Passed Through Alliance for Business and Training, Incorporated			
East Tennessee State University	ARRA-Drug Abuse and Addiction Research Programs	93.279 / 06-12032-ISYOUTH 1	81,828.11
Passed Through Meharry Medical College			
Tennessee State University	Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283 / U84/CCU425037-03	13,320.81
Tennessee State University	Geriatric Education Centers	93.969 / 1D31HP08823-02-01	21,851.43
Passed Through National Collegiate Athletic Association			
Tennessee State University	Community Services Block Grant_Discretionary Awards	93.570 / 93-150	327.39
Passed Through United Way of the Mid South			
University of Memphis	HIV Care Formula Grants	93.917 / UWROYHIV	6,785.35
University of Memphis	HIV Prevention Activities_Health Department Based	93.940 / UWROYHIV	12,332.86
Passed Through National Association of State Boards of Education			
Education	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems	93.938 / U58/DP000447-01	8,072.25
Passed Through Tennessee Independent Colleges and Universities Association			
Tennessee Technological University	Block Grants for Prevention and Treatment of Substance Abuse	93.959 / GR-09-26069-00	6,657.40
Passed Through University of Kentucky Research Foundation			
East Tennessee State University	Geriatric Education Centers	93.969 / 3048104874-09-040	107,095.04

State of Tennessee
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For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Memphis and Shelby County Health Department			
University of Memphis	Preventive Health and Health Services Block Grant	93.991 / CA094386	24,857.42
Passed Through Slippery Rock University			
Tennessee Technological University	I Can Do it, You Can Do It! Upper Cumberland Expansion	N.A. / HHSP233200844EC	2,314.60
Passed Through University of Illinois			
University of Tennessee	Subsidized	N.A. / SUBSIDIZED	104.35
Subtotal Pass-Through Programs			<u>\$ 764,952.38</u>
Subtotal Department of Health and Human Services			<u>\$ 494,070,693.96</u>

Corporation for National and Community Service

Direct Programs			
Finance and Administration	State Commissions	94.003	\$ 211,527.42
Education	Learn and Serve America_School and Community Based Programs	94.004	\$ 469,696.41
Finance and Administration	Learn and Serve America_School and Community Based Programs	94.004	340,701.02
Dyersburg State Community College	AmeriCorps	94.006	\$ 3,810.25
Finance and Administration	AmeriCorps	94.006	2,620,019.72
Finance and Administration	ARRA-AmeriCorps	94.006	19,375.22
Finance and Administration	Program Development and Innovation Grants	94.007	59,959.76
Finance and Administration	Training and Technical Assistance	94.009	78,087.54
Tennessee State University	Volunteers in Service to America	94.013	24,711.13
Subtotal Corporation for National and Community Service			<u>\$ 3,827,888.47</u>

Department of Homeland Security

Direct Programs			
Military	Urban Areas Security Initiative	97.008	\$ 543,028.13
Tennessee Wildlife Resources Agency	Boating Safety Financial Assistance	97.012	2,518,005.00
Economic and Community Development	Community Assistance Program State Support Services Element (CAP-SSSE)	97.023	138,000.00
Labor and Workforce Development	Disaster Unemployment Assistance	97.034	22,666.83
Military	Disaster Grants - Public Assistance (Presidentially Declared Disasters)	97.036	13,759,346.29
Commerce and Insurance	First Responder Counter-Terrorism Training Assistance	97.038	19,759.06
Military	Hazard Mitigation Grant	97.039	5,191,275.18
Environment and Conservation	National Dam Safety Program	97.041	196,716.68
Military	Emergency Management Performance Grants	97.042	5,705,436.73
Military	Pre-Disaster Mitigation	97.047	624,447.23
University of Memphis	Competitive Training Grants	97.068	\$ 1,644,238.58
University of Tennessee	Competitive Training Grants	97.068	437,665.28
Economic and Community Development	Map Modernization Management Support	97.070	50,000.00

**State of Tennessee
Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Safety	Real ID Program	97.089		16,869.08
Tennessee Housing Development Agency	Disaster Housing Assistance Grant	97.109		382,277.60
University of Tennessee	HLS 05GTT5K007 Ag Vulnerability-Thompson	N.A. / 05GTT5K007		304,235.54
University of Tennessee	HLS 08GTT8K017 Nat'l Training-Thompson	N.A. / 08GTT8K017		111,112.22
University of Tennessee	HLS 08GTT8K026 Animal-CVM/Hopkins	N.A. / 2008GTT8K026		122,642.76
Subtotal Direct Programs				\$ 31,787,722.19
Passed Through Louisiana State University				
University of Tennessee	State and Local Homeland Security Training Program	97.005 / 2007-GN-T7-K001	\$ 46,174.55	
University of Tennessee	State and Local Homeland Security Training Program	97.005 / 2008-GN-T8-K009	31,676.75	\$ 77,851.30
Passed Through Eastern Kentucky University				
East Tennessee State University	Competitive Training Grants	97.068 / EKU 07-317		7,184.10
Subtotal Pass-Through Programs				\$ 85,035.40
Subtotal Department of Homeland Security				\$ 31,872,757.59
Other Federal Assistance				
Office of National Drug Control Policy				
Passed Through Laurel County Fiscal Court				
Alcoholic Beverage Commission	Appalachia High Intensity Drug Trafficking Area	N.A. / 15-PAPP501Z	\$ 6,506.71	
Tennessee Bureau of Investigation	Appalachia High Intensity Drug Trafficking Area	N.A. / 15-PAPP501		8,598.00
Tennessee Bureau of Investigation	Appalachia High Intensity Drug Trafficking Area	N.A. / 18-PAPP501		229,304.92
Tennessee Bureau of Investigation	Appalachia High Intensity Drug Trafficking Area	N.A. / 19-PAPP501		201,448.44
Safety	Appalachia High Intensity Drug Trafficking Area	N.A. / 17-PAPP501-2	52,011.13	\$ 497,869.20
Subtotal Office of National Drug Control Policy				\$ 497,869.20
Tennessee Valley Authority				
Direct Programs				
Pellissippi State Community College	Tennessee Valley Region_ Economic Development	62.004		\$ 64,241.27
Environment and Conservation Military	TVA Ocoee Trust Fund	N.A. / TV-63501		58,339.63
University of Tennessee	Radiological Emergency Response	N.A. / 99997954		653,658.59
University of Tennessee	TVA NO98 Stormwater Mgt-Vandergriff	N.A. / RELEASE 80		133.55
University of Tennessee	TVA Release No. 25 - Gangaware	N.A. / #99998950-Rel. No. 25		4,300.90
University of Tennessee	TVA Release No. 55 - Gangaware	N.A. / #99998950-Rel. No. 55		12,221.91
University of Tennessee	TVA Release No. 107 - Gangaware	N.A. / RELEASE # 107 REV #1		20,058.83
University of Tennessee	TVA Samab	N.A. / #99998950-Rel. No. 2		3,158.81
Subtotal Tennessee Valley Authority				\$ 816,113.49

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Nuclear Regulatory Commission				
Direct Programs				
University of Tennessee	U.S. Nuclear Regulatory Commission Nuclear Education Grant Program	77.006	\$	89,794.76
University of Tennessee	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	77.008		132,912.86
Subtotal Nuclear Regulatory Commission			\$	222,707.62
Subtotal Other Federal Assistance			\$	1,536,690.31
Total Unclustered Programs			\$	2,859,209,065.24
Research and Development Cluster				
Department of Agriculture				
Agricultural Marketing Service				
Passed Through Washington State University				
University of Tennessee	Specialty Crop Research Initiative	10.309 / 111341G002428	\$	20,815.56
Subtotal Agricultural Marketing Service			\$	20,815.56
Agricultural Research Service				
Direct Programs				
Tennessee State University	Agricultural Research_Basic and Applied Research	10.001	\$	587,727.51
University of Memphis	Agricultural Research_Basic and Applied Research	10.001		3,170.68
University of Tennessee	Agricultural Research_Basic and Applied Research	10.001	888,460.01	\$ 1,479,358.20
Subtotal Direct Programs			\$	1,479,358.20
Passed Through University of Wisconsin at Madison				
University of Tennessee	Agricultural Research_Basic and Applied Research	10.001 / USDA 5819354450	\$	11,001.26
Subtotal Pass-Through Programs			\$	11,001.26
Subtotal Agricultural Research Service			\$	1,490,359.46
Cooperative State Research, Education, and Extension Service				
Direct Programs				
Tennessee State University	Grants for Agricultural Research, Special Research Grants	10.200	\$	18,194.12
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200	462,679.06	\$ 480,873.18
Tennessee State University	Payments to 1890 Land-Grant Colleges and Tuskegee University	10.205		2,145,042.03
East Tennessee State University	Grants for Agricultural Research_ Competitive Research Grants	10.206	\$	47,279.38

State of Tennessee
Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Tennessee State University	Grants for Agricultural Research_ Competitive Research Grants	10.206	67,827.83	
Tennessee Technological University	Grants for Agricultural Research_ Competitive Research Grants	10.206	194,683.83	
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206	1,414,216.46	1,724,007.50
Tennessee State University	1890 Institution Capacity Building Grants	10.216		532,114.80
University of Tennessee	Higher Education Challenge Grants	10.217		40,156.61
University of Tennessee	Biotechnology Risk Assessment Research	10.219		308,628.63
Tennessee State University	Integrated Programs	10.303		377,742.35
Subtotal Direct Programs				\$ 5,608,565.10
Passed Through South Dakota State University				
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / 3FE042	\$ 123.20	
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / 3TF050	31,763.56	\$ 31,886.76
Passed Through Southern Regional Aquaculture Center				
University of Memphis	Grants for Agricultural Research, Special Research Grants	10.200 / 2005-38500-15815		5,901.41
Passed Through University of Florida				
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / UF IFAS 68759		44,237.78
Passed Through University of Georgia				
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / RD309-061/9039907	\$ 51,606.35	
University of Tennessee	Grants for Agricultural Research, Special Research Grants	10.200 / RD318-215/3500088	5,120.30	56,726.65
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206 / RC2932933504738		1,547.85
Passed Through Cornell University				
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206 / 48718-8060		25,142.92
University of Tennessee	Integrated Programs	10.303 / 459377665		40,037.24
Passed Through Kansas State University				
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206 / S09032		31,345.43
Passed Through University of Delaware				
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206 / SUBGRANT #6181		365.19
Passed Through University of Kentucky Research Foundation				
University of Memphis	Grants for Agricultural Research_ Competitive Research Grants	10.206 / 3048105000-09-275		16,647.74

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through University of Nebraska				
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206 / 2562420086004		10,222.14
Passed Through Virginia Polytechnic Institute and State University				
University of Tennessee	Grants for Agricultural Research_ Competitive Research Grants	10.206 / CR19121428195		20,909.22
University of Tennessee	Integrated Programs	10.303 / CR19121428209		27,322.31
Passed Through North Carolina Agricultural and Technical State University				
Tennessee State University	1890 Institution Capacity Building Grants	10.216 / 2006-38814-17429		35,883.04
Passed Through Tuskegee University				
Tennessee State University	1890 Institution Capacity Building Grants	10.216 / 2007-38820-18523		6,131.87
Passed Through University of North Carolina				
University of Tennessee	Higher Education Challenge Grants	10.217 / 2006134202		69,456.27
Passed Through University of Kentucky				
University of Tennessee	Biotechnology Risk Assessment Research	10.219 / 304803920007119		41,501.37
Passed Through Iowa State University				
University of Tennessee	Integrated Programs	10.303 / 416232		1,397.98
Passed Through North Carolina State University				
University of Tennessee	Integrated Programs	10.303 / 2004150102		5,264.17
Passed Through Texas Agricultural and Mechanical University				
Tennessee State University	Integrated Programs	10.303 / 2004-51130-03114	\$ 8,445.56	
Tennessee State University	Integrated Programs	10.303 / 2008-51130-19537	12,759.94	21,205.50
Subtotal Pass-Through Programs			<u>\$ 493,132.84</u>	
Subtotal Cooperative State Research, Education, and Extension Service			<u>\$ 6,101,697.94</u>	
Economic Research Service				
Direct Programs				
Middle Tennessee State University	Agricultural and Rural Economic Research	10.250	\$ 24,321.41	
Tennessee State University	Agricultural and Rural Economic Research	10.250		12,247.42
University of Tennessee	Agricultural and Rural Economic Research	10.250	15,656.43	\$ 52,225.26
Subtotal Direct Programs			<u>\$ 52,225.26</u>	

State of Tennessee
Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Mississippi State University			
University of Tennessee	Agricultural and Rural Economic Research	10.250 / 018000-321104-02	\$ 11,663.61
Passed Through University of Mississippi			
University of Tennessee	Agricultural and Rural Economic Research	10.250 / 018000-321104-04	18,691.81
Subtotal Pass-Through Programs			<u>\$ 30,355.42</u>
Subtotal Economic Research Service			<u>\$ 82,580.68</u>
Farm Service Agency			
Direct Programs			
University of Tennessee	Long Term Standing Agreements for Storage, Transportation and Lease	10.999	\$ 624,757.44
Subtotal Direct Programs			<u>\$ 624,757.44</u>
Passed Through Pennsylvania State University			
University of Tennessee	Long Term Standing Agreements For Storage, Transportation And Lease	10.999 / 3620-UT-USDA-8710	\$ 5,773.87
Subtotal Pass-Through Programs			<u>\$ 5,773.87</u>
Subtotal Farm Service Agency			<u>\$ 630,531.31</u>
Foreign Agricultural Service			
Direct Programs			
University of Tennessee	Cochran Fellowship Program- International Training-Foreign Participant	10.962	\$ 748.20
Subtotal Foreign Agricultural Service			<u>\$ 748.20</u>
Forest Service			
Direct Programs			
University of Tennessee	Forestry Research	10.652	\$ 313,890.29
University of Memphis	Cooperative Forestry Assistance	10.664	\$ 1,184.83
University of Tennessee	Cooperative Forestry Assistance	10.664	<u>89,860.03</u>
University of Tennessee	Forest Health Protection	10.680	<u>62,172.94</u>
Subtotal Forest Service			<u>\$ 467,108.09</u>
Natural Resources Conservation Service			
Direct Programs			
University of Tennessee	Soil and Water Conservation	10.902	\$ 46,175.46
Subtotal Natural Resources Conservation Service			<u>\$ 46,175.46</u>

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Other Programs			
Direct Programs			
University of Tennessee	NRCS 683A754153 Oak Ecosystem Mgt-Keyser	N.A. / 68-7482-8-398	\$ 22,761.82
University of Tennessee	USDA 085521518799 After School-Moussa	N.A. / 20085521518799	174,291.35
University of Tennessee	USDA ARS 5864357194 Cotton Prop-Vogt	N.A. / 58-6435-7-194-AMEND3	14,934.65
University of Tennessee	USDA CSATN4424 Engry Strtgy 2008-Walsh	N.A. / CASTN4424 2008	12,820.95
University of Tennessee	USDA FS 05CR11330128204 Trends-Franzreb	N.A. / SRS05CR11330128204	6,270.58
University of Tennessee	USDA FS 05PA11083150070 Imdclprd-Grant	N.A. / 05PA11083150070	14,592.96
University of Tennessee	USDA FS 07CR11330134108 Neotrpcl-Franzre	N.A. / 07CR11330134108	4,773.12
University of Tennessee	USDA Household Food Demand-Yen	N.A. / 58-4000-7-0029	16,294.34
University of Tennessee	USDA NCRS 685C168019 Soil Survey-Ammons	N.A. / 685C168019	5,238.82
University of Tennessee	USDA NRCS 683A754136 Biomass-Womac	N.A. / NRCS 68-3A75-4-136	68,154.70
University of Tennessee	USDA NRCS-685C162066-Ammons	N.A. / 68-5C16-2-066	1,327.52
University of Tennessee	USDA UT Soil Characterization-Ammons	N.A. / N.A.	1,450.00
University of Tennessee	USDA-05-PA-11081209-040-Anderson	N.A. / 05-PA-11081209-040	2,531.16
University of Tennessee	USDA-SCA 58-6435-6-085-Bhat	N.A. / SCA-58-6435-06-085	10,414.39
Subtotal Direct Programs			\$ 355,856.36
Passed Through Cumberland County			
University of Tennessee	Cumberland County-Roane State Co-DeCorse	N.A. / PHASE I SURVEY@ROANE	\$ 498.89
Passed Through University of Florida			
University of Tennessee	UN of FL IR-4 Food Use Trials-Thompson	N.A. / 60150000000962	36,151.93
University of Tennessee	UN of FL IR4 Liaison-Performance Thompson	N.A. / UF IFAS 00062585	1,739.07
University of Tennessee	UN of FL Soilbrn Pathogens Tomato-Ownley	N.A. / PO# 6015-705	1,880.36
Subtotal Pass-Through Programs			\$ 40,270.25
Subtotal Other Programs			\$ 396,126.61
Subtotal Department of Agriculture			\$ 9,236,143.31
Department of Commerce			
National Oceanic and Atmospheric Administration			
Direct Programs			
University of Tennessee	Center for Sponsored Coastal Ocean Research_Coastal Ocean Program	11.478	\$ 143,035.86
Subtotal Direct Programs			\$ 143,035.86

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Bowling Green State University			
University of Tennessee	Sea Grant Support	11.417 / NOAA 60013421-02	\$ 28,951.03
Passed Through University of Florida			
University of Tennessee	Sea Grant Support	11.417 / 60630	3,101.84
Passed Through State University of New York			
University of Tennessee	Center for Sponsored Coastal Ocean Research_Coastal Ocean Program	11.478 / 1050638/37516	96,551.01
Subtotal Pass-Through Programs			<u>\$ 128,603.88</u>
Subtotal National Oceanic and Atmospheric Administration			<u>\$ 271,639.74</u>
National Institute for Standards and Technology			
Direct Programs			
Tennessee Technological University	Measurement and Engineering Research and Standards	11.609	\$ 6,947.94
University of Memphis	Measurement and Engineering Research and Standards	11.609	23,333.58
University of Tennessee	Measurement and Engineering Research and Standards	11.609	10,170.18
Subtotal National Institute for Standards and Technology			<u>\$ 40,451.70</u>
Subtotal Department of Commerce			<u>\$ 312,091.44</u>
Department of Defense			
Department of the Army, Office of the Chief of Engineers			
Direct Programs			
University of Tennessee	Collaborative Research and Development	12.114	\$ 106,625.15
Subtotal Direct Programs			<u>\$ 106,625.15</u>
Passed Through Marshall University Research Corporation			
University of Tennessee	Aquatic Plant Control	12.100 / P O # RC-P0901045	\$ 190,221.30
Subtotal Pass-Through Programs			<u>\$ 190,221.30</u>
Subtotal Department of the Army, Office of the Chief of Engineers			<u>\$ 296,846.45</u>
Department of the Navy, Office of the Chief of Naval Research			
Direct Programs			
Tennessee State University	Basic and Applied Scientific Research	12.300	\$ 178,760.35
Tennessee Technological University	Basic and Applied Scientific Research	12.300	223,295.85
University of Memphis	Basic and Applied Scientific Research	12.300	261,237.20
University of Tennessee	Basic and Applied Scientific Research	12.300	894,902.74
Subtotal Direct Programs			<u>\$ 1,558,196.14</u>

**State of Tennessee
Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through Battelle Memorial Institute				
University of Memphis	Basic and Applied Scientific Research	12.300 / TCN 07192	\$	84,078.18
Passed Through Florida Atlantic University				
University of Tennessee	Basic and Applied Scientific Research	12.300 / AGREEMENT #URG23	\$	6,976.00
University of Tennessee	Basic and Applied Scientific Research	12.300 / TRH20 PO# P0909901		72,517.14
				79,493.14
Passed Through University of California				
University of Tennessee	Basic and Applied Scientific Research	12.300 / SA5506-11398		36,944.93
Passed Through University of Connecticut				
University of Tennessee	Basic and Applied Scientific Research	12.300 / ID NO 5472		2,858.90
Subtotal Pass-Through Programs				\$ 203,375.15
Subtotal Department of the Navy, Office of the Chief of Naval Research				\$ 1,761,571.29
U.S. Army Medical Command				
Direct Programs				
East Tennessee State University	Military Medical Research and Development	12.420	\$	11,936.35
Tennessee State University	Military Medical Research and Development	12.420		127,418.97
University of Memphis	Military Medical Research and Development	12.420		663,731.36
University of Tennessee	Military Medical Research and Development	12.420	\$	90,764.82
				893,851.50
Subtotal Direct Programs				\$ 893,851.50
Passed Through The Ohio State University				
University of Tennessee	Military Medical Research and Development	12.420 / W81XWH0710273	\$	77,126.45
Passed Through Regents of the University of Michigan				
East Tennessee State University	Military Medical Research and Development	12.420 / F009464	\$	(2,939.36)
East Tennessee State University	Military Medical Research and Development	12.420 / W81XWH-06-2-0044		415,506.31
				412,566.95
Passed Through University of Connecticut				
University of Tennessee	Military Medical Research and Development	12.420 / PSA 52431		14,195.05
Subtotal Pass-Through Programs				\$ 503,888.45
Subtotal U.S. Army Medical Command				\$ 1,397,739.95
U.S. Army Materiel Command				
Direct Programs				
Tennessee State University	Basic Scientific Research	12.431	\$	429,420.71

**State of Tennessee
Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Tennessee Technological University	Basic Scientific Research	12.431	86,252.32	
University of Memphis	Basic Scientific Research	12.431	284,218.03	
University of Tennessee	Basic Scientific Research	12.431	41,070.32	\$ 840,961.38
Subtotal Direct Programs				\$ 840,961.38
Passed Through Battelle Memorial Institute				
University of Memphis	Basic Scientific Research	12.431 / ARO Via Battelle		\$ 0.01
Passed Through University of California at Berkeley				
University of Memphis	Basic Scientific Research	12.431 / SA5213 11807		10,419.70
Passed Through University of Virginia				
University of Tennessee	Basic Scientific Research	12.431 / GG10829-126149		59,726.07
Subtotal Pass-Through Programs				\$ 70,145.78
Subtotal U.S. Army Materiel Command				\$ 911,107.16
Office of the Secretary of Defense				
Direct Programs				
Tennessee State University	Basic, Applied, and Advanced Research in Science and Engineering	12.630		\$ 377,559.67
Subtotal Office of the Secretary of Defense				\$ 377,559.67
Department of the Air Force, Materiel Command				
Direct Programs				
Tennessee State University	Air Force Defense Research Sciences Program	12.800	\$ 49,849.45	
University of Tennessee	Air Force Defense Research Sciences Program	12.800	300,124.55	\$ 349,974.00
Subtotal Department of the Air Force, Materiel Command				\$ 349,974.00
National Security Agency				
Direct Programs				
Middle Tennessee State University	Mathematical Sciences Grants Program	12.901	\$ 25,368.38	
University of Tennessee	Mathematical Sciences Grants Program	12.901	39,650.22	\$ 65,018.60
University of Memphis	Information Security Grant Program	12.902		18,162.42
Subtotal Direct Programs				\$ 83,181.02
Passed Through University of Texas				
University of Memphis	Language Grant Program	12.900 / UTA07784		\$ 20,968.02
Subtotal Pass-Through Programs				\$ 20,968.02
Subtotal National Security Agency				\$ 104,149.04

**State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Other Programs			
Direct Programs			
Tennessee Technological University	Advanced Portable Power Institute - Phase 2	N.A. / W15P7T-07-C-P218	\$ 391,772.23
Tennessee Technological University	Advanced Portable Power Institute - Phase 3	N.A. / W909MY-08-C-0033 AMEND #P00002	550,965.04
Tennessee Technological University	Ecological Assessment of Wetland Inventory at Fort Campbell, KY	N.A. / W912DY-07-2-0045 MOD. P00002	108,937.36
Tennessee Technological University	Life Modeling of Li-on Cells	N.A. / NRO-000-07-C-0104	10,850.04
Tennessee Technological University	Life Modeling of Li-on Cells-Phase II	N.A. / NRO-000-09-C-0056	62,703.37
University of Memphis	Integration of SCORM and ITS - JADL Co-Operative Agreement	N.A. / N61339-07-2-0001	53,193.29
University of Tennessee	AF AF9101-06-D-0001/0005 Sedrick	N.A. / FA9101-06-D-00010005	63,584.31
University of Tennessee	AF AF9101-06-D-0001/0006 Moeller	N.A. / FA9101-06-D-00010006	24,767.54
University of Tennessee	AF F40600-00-D-0001/0029 Stewart	N.A. / F40600-00-D-00010029	75,981.01
University of Tennessee	AF FA7014-06-D-0019-T3-EPIM	N.A. / FA7014-06-D-0019	6,521,011.77
University of Tennessee	AF FA8650-09-C-7916 - Dongarra	N.A. / FA8650-09-C-7916	23,128.13
University of Tennessee	AF FA8750-06-1-0185 - Peterson	N.A. / FA8750-06-1-0185-003	92,039.01
University of Tennessee	AF FA9101-06-0001-0010 Moeller	N.A. / FA9101-06D-0001-0010	7,134.53
University of Tennessee	AF FA9101-06-D-0001/0001 Moeller	N.A. / FA9101-06-D-0001/001	78,232.76
University of Tennessee	AF FA9101-06-D-0001/0002 Bomar	N.A. / FA9101-06-D-0001/002	91,292.23
University of Tennessee	AF FA9101-06-D-0001/0004 Davenport	N.A. / FA9101-06-D-00010004	42,109.94
University of Tennessee	AF FA9101-06-D-0001/0007 Muratore	N.A. / FA9101-06D-0001/0007	5,417.74
University of Tennessee	AF FA9101-06-D-0001/0008 Moeller	N.A. / FA9101-06D-0001-0008	2,594.81
University of Tennessee	AF FA9550-08-1-0450 - Djouadi	N.A. / FA9550-08-1-0450	36,372.66
University of Tennessee	AF IPA - Balven	N.A. / IPA -AF-BALVEN	224,978.96
University of Tennessee	AF-FA8750-09-1-0185 - Peterson	N.A. / FA8750-09-1-0185	23,980.30
University of Tennessee	AF-FA9101-06-D-0001-DT&E (UTSI) - Miller	N.A. / FA9101-06-D-0001-011	29,416.05
University of Tennessee	AF-FA9101-06-D-0001-DT&E (UTSI) - Miller	N.A. / FA9101-06-D-0001-012	1,113.70
University of Tennessee	Army CERL CESU Vehicle Tracking-Ayers	N.A. / W9132T06Z0005	59,287.00
University of Tennessee	Army CERL Underwater GPS-Ayers	N.A. / W9132T09Z0003	7,326.30
University of Tennessee	Army CERL/CESU Vehicle Dynamics Ayers	N.A. / W9132T-08-2-0004	83,092.48
University of Tennessee	Army Grant W81XHW-05-1-0227	N.A. / W81XHW-05-1-0227	425,000.02
University of Tennessee	Army Grant W81XWH-07-1-0248-Tigyi	N.A. / W81XWH-07-1-0248	223,488.48
University of Tennessee	Army W81XWH0610471 Sand Flies-Moulton	N.A. / W81XWH0610471	25,971.33
University of Tennessee	Army W912HQ-08-C-0009 - Parker	N.A. / W912HQ-08-C-0009	408,928.79
University of Tennessee	Army-CESU Support-Belli	N.A. / W8IEWF-7254-0233	10,000.00
University of Tennessee	FA9101-06-D-0001/0003 Corda	N.A. / FA9101-06-D-0001/003	460,711.69
University of Tennessee	MCSC-M67854-05-D-5109-ADT - Riblett	N.A. / M67854-05-D-5109-T01	31,022.99
University of Tennessee	ONR SP010302D0014 Applesauce-Zivanovic	N.A. / SP010302D0014	62,226.05
University of Tennessee	ONR SP010302D0014 Wet Pack-Mount	N.A. / SP010302D0014	41,642.68
University of Tennessee	ONR SP0302D0014 Cheese Sprd-Zivanovic	N.A. / SP10302D0014	19,769.17
University of Tennessee	ONR SP470108D0014 CORANET Trvl-Zivanovic	N.A. / SP470108D0014	7,985.70
University of Tennessee	ONR SP470108D001402 Vitamins-Zivanovic	N.A. / SP470108D0014-0002	43,304.87
University of Tennessee	US Army Corps-Sparrow Habitat-Buehler	N.A. / W912DY-05-2-0041	22,374.83
University of Tennessee	US Army Evaluate Bacterial Spore-Ye	N.A. / W911QY-09-0184	1,044.00
University of Tennessee	US Army Microbial Inactivation-Ye	N.A. / W911QY07-P-0452	11,990.91

State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	W911NF0810107	N.A. / W911NF0810107	10,397.92
Subtotal Direct Programs			\$ 10,477,141.99
Passed Through Academy of Applied Science			
Tennessee State University	Research and Engineering Apprentice Program	N.A. / DAAH04-93-G-0163	\$ 6,695.30
Passed Through Battelle Memorial Institute			
University of Memphis	Analysis of Large-scale Time-series Data Streams Using Random Matrix Theory-based Correlation Techniques	N.A. / 4000081241	398.00
University of Memphis	The Role of Individual Characteristics in Determining Team Performance	N.A. / TCN 08172 MOD 4	111,543.54
University of Memphis	Class-Based Sailor Assignment Problem	N.A. / TCN 08179	206,322.73
Passed Through Georgia Institute of Technology			
University of Tennessee	Ga Tech - R8429-G1 - Lee	N.A. / R8429-G1	90,630.28
Passed Through Mississippi State University			
University of Tennessee	MSU - PET2 Core Year 7 - Dongarra	N.A. / GS04T01BFC0060	654,480.37
Passed Through North Carolina Central University			
Tennessee State University	Comprehensive Unmanned System for Force Protection Support	N.A. / F4AB017239A001	3,750.85
Passed Through Pennsylvania State University			
Tennessee State University	Network Battlefield Sensors Modeling; Heterogeneous Sensor Networks; and Hybrid Social & Sensor Networks	N.A. / DTRA01-03-D-0010	43,115.43
Passed Through Virginia Polytechnic Institute and State University			
University of Tennessee	Virginia Polytech-CR-19121-430344-Parker	N.A. / CR-19121-430344	68,271.71
Subtotal Pass-Through Programs			\$ 1,185,208.21
Subtotal Other Programs			\$ 11,662,350.20
Subtotal Department of Defense			\$ 16,861,297.76
Department of Housing and Urban Development			
Office of Policy Development and Research			
Direct Programs			
University of Tennessee	General Research and Technology	14.506	\$ 12,249.30
University of Tennessee	Early Doctoral Student Research Grants	14.517	3,622.95
Subtotal Office of Policy Development and Research			\$ 15,872.25
Subtotal Department of Housing and Urban Development			\$ 15,872.25

**State of Tennessee
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For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Department of the Interior			
Office of Surface Mining Reclamation and Enforcement			
Direct Programs			
University of Tennessee	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation	15.255	\$ 157,671.47
Subtotal Direct Programs			\$ 157,671.47
Passed Through American Chestnut Foundation			
University of Tennessee	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation	15.255 / 2008-OSM-UTK	\$ 15,270.26
Subtotal Other Programs			\$ 15,270.26
Subtotal Office of Surface Mining Reclamation and Enforcement			\$ 172,941.73
Fish and Wildlife Service			
Direct Programs			
Tennessee Technological University	Fish and Wildlife Management Assistance	15.608	\$ 34,809.43
Tennessee Technological University	Cooperative Endangered Species Conservation Fund	15.615	361.31
Tennessee Technological University	Conservation Grants Private Stewardship for Imperiled Species	15.632	\$ 43,515.63
University of Tennessee	Conservation Grants Private Stewardship for Imperiled Species	15.632	3,037.21 46,552.84
Tennessee Technological University	Migratory Bird Joint Ventures	15.637	233.10
Tennessee Technological University	Research Grants (Generic)	15.650	28,889.83
Subtotal Direct Programs			\$ 110,846.51
Passed Through Cornell University			
University of Tennessee	Fish and Wildlife Management Assistance	15.608 / 523438613	\$ 10,106.32
Passed Through The Nature Conservancy			
Tennessee Technological University	Cooperative Endangered Species Conservation Fund	15.615 / TNFO-03/01/07-01	\$ (50.79)
Tennessee Technological University	Cooperative Endangered Species Conservation Fund	15.615 / TNFO-07/01/08-01 Amd 2	199,572.62 199,521.83
Subtotal Pass-Through Programs			\$ 209,628.15
Subtotal Fish and Wildlife Service			\$ 320,474.66
Geological Survey			
Direct Programs			
University of Tennessee	Assistance to State Water Resources Research Institutes	15.805	\$ 36,889.82

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Memphis	Earthquake Hazards Reduction Program	15.807		884,252.37
Tennessee Technological University	U.S. Geological Survey_Research and Data Collection	15.808	\$ 57,163.68	
University of Memphis	U.S. Geological Survey_Research and Data Collection	15.808	64,470.46	
University of Tennessee	U.S. Geological Survey_Research and Data Collection	15.808	429,500.69	551,134.83
University of Tennessee	National Cooperative Geologic Mapping Program	15.810		30,713.51
Tennessee Technological University	Cooperative Research Units Program	15.812		39,374.74
Subtotal Direct Programs				\$ 1,542,365.27
Passed Through University of Florida				
University of Tennessee	U.S. Geological Survey_Research and Data Collection	15.808 / 59611	\$ 955.17	
Subtotal Pass-Through Programs				\$ 955.17
Subtotal Geological Survey				\$ 1,543,320.44
National Park Service				
Direct Programs				
University of Memphis	Technical Preservation Services	15.915		\$ 31,226.58
Tennessee Technological University	Outdoor Recreation_Acquisition, Development and Planning	15.916	\$ 56,154.71	
University of Tennessee	Outdoor Recreation_Acquisition, Development and Planning	15.916	199,943.27	256,097.98
University of Tennessee	Rivers, Trails and Conservation Assistance	15.921		5,939.69
Subtotal National Park Service				\$ 293,264.25
Other Programs				
Direct Programs				
University of Tennessee	Fish, Wildlife, and Parks Programs on Indian Lands	15.039	\$ 7,914.69	
Tennessee Technological University	Wildlife Conservation and Appreciation	15.617		21,716.80
Middle Tennessee State University	Archeological Survey and Condition Assessment Project	N.A. / H5000050500		5,235.28
Middle Tennessee State University	Restoration of Cedar Glades and Barrens Designated State Natural Area	N.A. / H5000 03 0300		4,472.58
Tennessee Technological University	Development of a Geo-Referenced Database to Identify and Inventory Wetlands at Little River	N.A. / J2113060007/0001		31,556.48
Tennessee Technological University	Protocol Development for Long-Term Monitoring of Rare Fish at Big South NRRA and Obed Wild	N.A. / H5000050330 / J513060010		17,164.00
Tennessee Technological University	Threatened or Endangered Aquatic Insect Survey	N.A. / H5000050330 / J5640060001		5,094.18
University of Tennessee	08ERAG0013	N.A. / 08ERAG0013		53.09
University of Tennessee	G09AC00437	N.A. / G09AC00437		57,749.55
University of Tennessee	J5028070509	N.A. / J5028070509		10,575.00

**State of Tennessee
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For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	Natl Park Serv Great Smoky Mtn - DeCorse	N.A. / SITE 31 SW 393 SMOKE	5,536.46
University of Tennessee	NIFC-NPS-Grissino-Mayer	N.A. / J5460 06 0108	17,712.76
University of Tennessee	NPS - J5130-07-0019 - Wofford	N.A. / J5130-07-0019	636.86
University of Tennessee	NPS - J5130-07-0021-Hollenbach	N.A. / J5130-07-0021	6,427.60
University of Tennessee	NPS Collembola Survey GWMP-Bernard	N.A. / P3300090100	3,823.56
University of Tennessee	NPS H5530040057 Restoration-Schlarbaum	N.A. / H5530040057	710.59
University of Tennessee	NPS Hemlock Woolly J5460050037-Parkman	N.A. / J5460050037	614.32
University of Tennessee	NPS J2380083534 Mapping 08/09-Ayers	N.A. / J2380083534	25,377.64
University of Tennessee	NPS River Habitat Mapping #3-Ayers	N.A. / H5000055040	8,200.53
University of Tennessee	NPS-CESU Assessing Fuel-Grissino-Mayer	N.A. / CESU ASSESSING FUEL	2,115.17
University of Tennessee	US Dept of Interior NPS-Herrmann	N.A. / 7506Z586B,2006	351.29
University of Tennessee	USDI-NPS-H5000 05 5040 UT CESU - Wofford	N.A. / H5000 05 5040 UT CES	9,400.00
University of Tennessee	USF&W Atlas Project-Buehler	N.A. / 301818G066	26,855.08
University of Tennessee	USF&W Wintering Waterfowl-Gray	N.A. / 401816G063	4,197.81
Subtotal Direct Programs			\$ 273,491.32
Passed Through Organization of American Historians			
Middle Tennessee State University	Women's Rights National Historical Park Administrative History	N.A. / H0400000014	\$ 27,429.72
Passed Through The Discovery Center at Murfree Springs			
Middle Tennessee State University	Chinese Yam Eradication and Site Restoration in the Stones River Watershed	N.A. / P5041060028	9,225.90
Passed Through The Nature Conservancy			
University of Tennessee	NATURE CONSER CNOMEX-042307 Hom	N.A. / CNOMEX-042307	309.83
Subtotal Pass-Through Programs			\$ 36,965.45
Subtotal Other Programs			\$ 310,456.77
Subtotal Department of the Interior			\$ 2,640,457.85
Department of Justice			
Office of Juvenile Justice and Delinquency Prevention			
Passed Through Memphis City Schools			
University of Memphis	Juvenile Justice and Delinquency Prevention_Allocation to States	16.540 / SHAPE	\$ 11,137.54
Subtotal Office of Juvenile Justice and Delinquency Prevention			\$ 11,137.54
National Institute of Justice			
Direct Programs			

State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	\$ 243,068.98
Subtotal National Institute of Justice			\$ 243,068.98
Bureau of Justice Assistance			
Direct Programs			
University of Memphis	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	\$ 815,388.61
Subtotal Direct Programs			\$ 815,388.61
Passed Through Crime and Justice Institute			
University of Memphis	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580 / 2007-MU-BX-K001	\$ 14,229.40
Subtotal Pass-Through Programs			\$ 14,229.40
Subtotal Bureau of Justice Assistance			\$ 829,618.01
Office of Community Oriented Policing Services			
Direct Programs			
Tennessee Technological University	Public Safety Partnership and Community Policing Grants	16.710	\$ 14,766.81
Subtotal Office of Community Oriented Policing Services			\$ 14,766.81
Other Programs			
Direct Programs			
University of Tennessee	FBI J-FBI-06-150 Jantz	N.A. / J-FBI-06-150	\$ 1,359.99
Subtotal Direct Programs			\$ 1,359.99
Passed Through Chattanooga Endeavors			
University of Tennessee	Prisoner Reentry Initiative Demonstration (Offender Reentry)	16.202 / 2008-RE-CX-0011	\$ 6,179.82
Subtotal Pass-Through Programs			\$ 6,179.82
Subtotal Other Programs			\$ 7,539.81
Subtotal Department of Justice			\$ 1,106,131.15
Department of Labor			
Bureau of Labor Statistics			
Direct Programs			
University of Tennessee	Labor Force Statistics	17.002	\$ 123,932.86

State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Subtotal Bureau of Labor Statistics			\$ 123,932.86
Other Programs			
Direct Programs			
University of Tennessee	USDOL-DOLJ089F26523-Schriv	N.A. / J089F26523	\$ 296,645.43
University of Tennessee	USDOL-DOLJ089627656-Schriv	N.A. / DOLJ089627656-0004	898,888.88
Subtotal Other Programs			\$ 1,195,534.31
Subtotal Department of Labor			\$ 1,319,467.17
Department of Transportation			
Federal Aviation Administration			
Passed Through Embry-Riddle Aeronautical University			
Middle Tennessee State University	Air Transportation Centers of Excellence	20.109 / 61054-MTSU	\$ (13,464.95)
Subtotal Federal Aviation Administration			\$ (13,464.95)
Federal Highway Administration			
Direct Programs			
University of Tennessee	Highway Research and Development Program	20.200	\$ 230,125.32
Subtotal Direct Programs			\$ 230,125.32
Passed Through The National Academies			
University of Memphis	Highway Research and Development Program	20.200 / HR24-11(02) CFDA20.200	\$ 42,875.52
Passed Through Knox County Schools			
University of Tennessee	Highway Research and Development Program	20.200 / DTFH61-08-G-00020	12,985.54
Subtotal Pass-Through Programs			\$ 55,861.06
Subtotal Federal Highway Administration			\$ 285,986.38
Federal Transit Administration			
Direct Programs			
University of Tennessee	Public Transportation Research	20.514	\$ 842,064.41
Subtotal Direct Programs			\$ 842,064.41
Passed Through City of Knoxville/Knox County			
University of Tennessee	Federal Transit_Metropolitan Planning Grants	20.505 / Urban Land Use	\$ 5,157.40
Subtotal Pass-Through Programs			\$ 5,157.40

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Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Subtotal Federal Transit Administration			\$ 847,221.81
Research and Special Programs Administration			
Direct Programs			
University of Memphis	University Transportation Centers Program	20.701	\$ 350,777.30
University of Tennessee	University Transportation Centers Program	20.701	1,607,587.38
Subtotal Research and Special Programs Administration			\$ 1,958,364.68
Maritime Administration			
Passed Through National Transportation Research Center, Incorporated			
University of Tennessee	Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle	20.763 / UT-NTRCITASKORDER 11	\$ 68,727.87
Subtotal Maritime Administration			\$ 68,727.87
Other Programs			
Direct Programs			
University of Tennessee	National Academy of Sciences - Urbanik	N.A. / HR 3-66	\$ 204,947.32
University of Tennessee	FTA TN-26-7029 Vakili	N.A. / TN-26-7029	240,749.21
University of Tennessee	USDOT-DTO55907G00050-SUN GRANT-BUCHAN	N.A. / DTOS5907G00050	1,051,438.51
Subtotal Direct Programs			\$ 1,497,135.04
Passed Through Battelle Memorial Institute			
University of Tennessee	Battelle Memorial-TO 600183-2 - Urbanik	N.A. / TASK ORDER 600183-2	\$ 14,734.44
Passed Through Georgia Department of Transportation			
University of Tennessee	Georgia Dept of Transpor-SRS-08-06-Huang	N.A. / SRS-08-06	9,173.63
Passed Through National Transportation Research Center, Incorporated			
University of Tennessee	NTRC-DTRT-06-G-0043-01-U09-06-01-T15-Han	N.A. / DTRT-06-G-0043-1-T15	49,028.66
University of Tennessee	NTRC Task Order No. 007 Chatterjee	N.A. / TASK ORDER NO. 007	6,513.92
University of Tennessee	NTRC Task Order No. 008 Urbanik	N.A. / TASK ORDER NO. 008	13,607.75
University of Tennessee	NTRC Task Order No. 012 Urbanik	N.A. / TASK ORDER NO. 012	23,900.21
University of Tennessee	NTRC Task Order No. 013 Urbanik	N.A. / TASK ORDER NO. 013	65,295.00
University of Tennessee	NTRCI-Task Order No. 016 - Urbanik	N.A. / TASK ORDER NO. 016	52,144.18
University of Tennessee	NTRCI-Task Order No. 017 - Urbanik	N.A. / TASK ORDER NO. 017	28,434.27
University of Tennessee	NTRC-DTRT06-G-0043 Task 14-Bennett	N.A. / UT-NTRCI TASK 14	15,286.92
Passed Through University of Minnesota			
University of Tennessee	Univ Minnesota - Cast-in Place - Ma	N.A. / L5206622101 AMEND 1	50,339.32

**State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Subtotal Pass-Through Programs			\$ 328,458.30
Subtotal Other Programs			\$ 1,825,593.34
Subtotal Department of Transportation			\$ 4,972,429.13
Appalachian Regional Commission			
Direct Programs			
East Tennessee State University	Appalachian Research, Technical Assistance, and Demonstration Projects	23.011	\$ 168,133.26
Subtotal Appalachian Regional Commission			\$ 168,133.26
Library of Congress			
Passed Through University of California			
University of Tennessee	Semiconductor Chip Protection Service	42.008 / KK8127	\$ 70,045.35
Subtotal Library of Congress			\$ 70,045.35
National Aeronautics and Space Administration			
Direct Programs			
East Tennessee State University	Aerospace Education Services Program	43.001	\$ 113,084.68
Tennessee Technological University	Aerospace Education Services Program	43.001	94,606.56
University of Memphis	Aerospace Education Services Program	43.001	(2,883.34)
University of Tennessee	Aerospace Education Services Program	43.001	775,204.79
Tennessee State University	Technology Transfer	43.002	4,968.51
Middle Tennessee State University	Cost Modeling for Telescopes	N.A. / NNX09AG08G	116.75
Middle Tennessee State University	Research on Aviation Training at Middle Tennessee State University	N.A. / NNX08AP03G	420,966.48
Tennessee State University	Minority Institute Sabbatical Program	N.A. / NNA05CS99G	122,341.42
University of Tennessee	JPL 1282418 Moersch	N.A. / SUBCONTRACT 1282418	24,650.90
University of Tennessee	JPL 1273396 Joy	N.A. / CONTRACT NO.1273396	3,916.38
University of Tennessee	JPL Moersch	N.A. / 1242851	45,659.89
University of Tennessee	JPL-IRS Spectra of Basaltic Asteroid Emery	N.A. / RSA NO. 1353476	9,887.41
University of Tennessee	JPL-NASA-RSA # 1367691-22.9% - Emery	N.A. / RSA # 1367691	36,764.25
University of Tennessee	NASA Glenn NNX07AD58A Ranaudo	N.A. / NNX07AD58A	203,583.29
University of Tennessee	NASA JSC NNJ08HC84P Muratore	N.A. / NNJ08HC84P	14,704.36
University of Tennessee	NASA NNA06CN49A Berry	N.A. / NNA06CN49A	7,535.79
University of Tennessee	NASA NNG06GB44G Islam	N.A. / NNG06GB44G-004	128,960.91
University of Tennessee	NASA NNG06GG36G McSween	N.A. / NNG06GG36G	131,801.86
University of Tennessee	NASA NNG06GH18G Moersch	N.A. / NNG06GH18G-000003	24,263.99
University of Tennessee	NASA NNJ06HF30G Taylor	N.A. / NNJ06HF30G	10,321.62
University of Tennessee	NASA NNM08AA13A Taylor	N.A. / NNM08AA13A	13,256.31
University of Tennessee	NASA NNX06AB33G Symes	N.A. / NNX06AB33G	31,529.87
University of Tennessee	NASA NNX06AC32G Townsend	N.A. / NNX06AC32G-02	103,897.81
University of Tennessee	NASA NNX07AC14G Townsend	N.A. / NNX07AC14G	67,464.76
University of Tennessee	NASA NNX07AT76H Saylor	N.A. / NNX07AT76H	3,305.98
University of Tennessee	NASA NNX08AG54G Taylor	N.A. / NNX08AG54G	379,002.96

State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	NASA NNX08AI66G Taylor	N.A. / NNX08AI66G		100,000.00
University of Tennessee	NASA NNX08AM65A Townsend	N.A. / NNX08AM65A		100,859.34
University of Tennessee	NASA NNX08AT78G Townsend	N.A. / NNX08AT78G		129,729.30
University of Tennessee	NASA SHARED SC NNX08TU78P	N.A. / NNX08TU78P		10,289.58
University of Tennessee	NASA-MARSHALL NNM09AB71P	N.A. / NNM09AB71P		6,958.65
University of Tennessee	NASA-NNX08AU47G - Burr	N.A. / NNX08AU47G		14,850.77
University of Tennessee	NASA-NNX08AV93G - Emery	N.A. / NNX08AV93G		55,617.29
University of Tennessee	NASA-NNX08BA24G - Burr	N.A. / NNX08BA24G		11,789.08
University of Tennessee	NASA-NNX08BA78G - Emery	N.A. / NNX08BA78G		22,069.52
University of Tennessee	NASA-NNX08BA81G - Burr	N.A. / NNX08BA81G		6,946.25
University of Tennessee	NASA-NNX09AH14G - Taylor	N.A. / NNX09AH14G		48,924.80
University of Tennessee	NNX09A157A	N.A. / NNX09A157A		15,552.19
Subtotal Direct Programs				\$ 3,292,500.96
Passed Through California Institute of Technology Jet Propulsion Laboratory				
East Tennessee State University	Aerospace Education Services Program	43.001 / 1353778	\$ 21,433.77	
East Tennessee State University	Aerospace Education Services Program	43.001 / 1353814	10,310.78	\$ 31,744.55
Passed Through Eureka Scientific Corporation				
East Tennessee State University	Aerospace Education Services Program	43.001 / 07-0280		19,269.75
Passed Through Smithsonian Astrophysical Observatory				
East Tennessee State University	Aerospace Education Services Program	43.001 / G08-9021A		437.36
University of Memphis	Solar-B X-ray Telescope Phase-E Mission Operations and Data Analysis Program	N.A. / SV7-77005		35,298.00
University of Memphis	Supportive Data Analysis for Solar B X-Ray Telescope	N.A. / SV7-77005 Amend 5		164,619.62
Passed Through Vanderbilt University				
Austin Peay State University	Aerospace Education Services Program	43.001 / 18184-S1		18,562.66
Middle Tennessee State University	Tennessee Space Grant College and Fellowship Program	N.A. / 18184-S4		17,999.19
University of Memphis	Development and Automated Drinking Water Disinfection System	N.A. / 20343-S1		81,639.07
University of Tennessee	Vanderbilt - 18184-S10 - Taylor	N.A. / 18184-S10		84,903.65
University of Tennessee	Vanderbilt Univ Sub#18184-S11 Flandro	N.A. / SUB#18184-S11		23,010.96
University of Memphis	Simulation and Prediction of Magnetic Positive Positioning of LOX in Reduced Gravity	N.A. / 18184 S8 AMEND 04		8,406.80
Passed Through Cornell University				
University of Tennessee	Technology Transfer	43.002 / OSP39361-6446		34,657.91
Passed Through Regents of the University of California at Los Angeles				
University of Tennessee	Technology Transfer	43.002 / 2090-S-JB694 AMEND10		17,232.73
Passed Through Arizona State University				
University of Tennessee	Arizona State 01-082 McSween	N.A. / 01-082 MOD # 15		83,383.74

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For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	Arizona State Univ-Spectral Map-Moersch	N.A. / 1228404 JPL/10-254	7,166.94
Passed Through Boston University			
University of Tennessee	Boston Univ - Lunar Orbiter - Townsend	N.A. / GC 189769 NGA	43,175.13
Passed Through Brown University			
University of Tennessee	Brown Univ - PO#988930 - Taylor	N.A. / PO#988930	23,735.75
Passed Through George Mason University			
Middle Tennessee State University	Reason Can-NASA EOS Higher Education Alliance: Mobilization of NASA EOS Data and Information through Web Services and Knowledge Management Technologies for Higher Education Teaching and Research	N.A. / E600168A	2,352.44
Passed Through Georgia Institute of Technology			
University of Tennessee	GA Tech - R7183-S6 - Blalock	N.A. / R7183-S6	148,197.89
Passed Through Indiana University			
University of Tennessee	Indiana University - Pfiffner	N.A. / PO # 10411-0117 - 07	93,264.29
Passed Through Johns Hopkins University			
University of Tennessee	Johns Hopkins Univ Applied Phy-Heilbronn	N.A. / 946259	27,090.35
Passed Through National Space Biomedical Research Institute			
University of Tennessee	Natl Space Biomed Research Ins-Heilbronn	N.A. / NCC 9-58-152	11,481.85
Passed Through National Space Grant Foundation			
University of Tennessee	NATL SPACE GRAN F 2009-SYSENG01 Muratore	N.A. / 2009-SYSENG01	698.36
Passed Through Pennsylvania State University			
University of Tennessee	Penn State Univ-3345-UTNASAC58A-DeSmidt	N.A. / 3345-UT-NASA-C58A-1	57,801.68
Passed Through University of Connecticut			
Tennessee Technological University	Defining Optimality Criteria for the Effective Use of Satellite Precipitation Datasets in Land Surface Hydrology	N.A. / NNX07AE31G	37,204.44
Passed Through University of Mississippi			
Tennessee Technological University	Validating Prototype Global Precipitation Measurement Data Product in the SERVIR System	N.A. / UM SUBCONTRACT NO 08-09-029	2,308.74

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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Tennessee Technological University	Integration of Global Precipitation Measurement Data Product with the Hydrologic Engineering Center Hydrologic Modeling System	N.A. / USM-MRCSSC-2152007	(30.98)
Passed Through Vanderbilt University Medical Center			
Tennessee Technological University	Tennessee Space Grant Consortium Award (Tennessee Space Grant College and Fellowship Program)	N.A. / 18184-S7 Amendment 8	26,390.23
Subtotal Pass-Through Programs			<u>\$ 1,102,003.10</u>
Subtotal National Aeronautics and Space Administration			<u>\$ 4,394,504.06</u>
National Endowment for the Humanities			
Direct Programs			
Tennessee Technological University	Promotion of the Humanities_ Fellowships and Stipends	45.160	\$ 16,111.83
University of Tennessee	Promotion of the Humanities_ Research	45.161	78,942.81
Subtotal Direct Programs			<u>\$ 95,054.64</u>
Passed Through University of Maryland			
Middle Tennessee State University	Promotion of the Humanities_ Research	45.161 / Z916701	\$ 4,036.86
Subtotal Pass-Through Programs			<u>\$ 4,036.86</u>
Subtotal National Endowment for the Humanities			<u>\$ 99,091.50</u>
Institute of Museum and Library Services			
Direct Programs			
University of Tennessee	National Leadership Grants	45.312	\$ 41,419.81
Subtotal Direct Programs			<u>\$ 41,419.81</u>
Passed Through Johns Hopkins University			
University of Tennessee	Laura Bush 21st Century Librarian Program	45.313 / RE-03-05-0020-05	\$ 17,174.75
Subtotal Pass-Through Programs			<u>\$ 17,174.75</u>
Subtotal Institute of Museum and Library Services			<u>\$ 58,594.56</u>
National Science Foundation			
Direct Programs			
Tennessee Technological University	Engineering Grants	47.041	\$ 400,220.64
University of Memphis	Engineering Grants	47.041	33,705.64
University of Tennessee	Engineering Grants	47.041	1,916,994.83
			<u>\$ 2,350,921.11</u>
East Tennessee State University	Mathematical and Physical Sciences	47.049	\$ 33,503.22
Tennessee State University	Mathematical and Physical Sciences	47.049	118,580.96

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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Tennessee Technological University	Mathematical and Physical Sciences	47.049		167,299.48
University of Memphis	Mathematical and Physical Sciences	47.049		475,017.98
University of Tennessee	Mathematical and Physical Sciences	47.049		2,810,738.97
				3,605,140.61
East Tennessee State University	Geosciences	47.050	\$	33,464.09
Tennessee State University	Geosciences	47.050		40,905.79
University of Memphis	Geosciences	47.050		219,692.83
University of Tennessee	Geosciences	47.050		1,074,592.80
				1,368,655.51
Middle Tennessee State University	Computer and Information Science and Engineering	47.070	\$	53,022.61
Tennessee Technological University	Computer and Information Science and Engineering	47.070		97,449.42
University of Memphis	Computer and Information Science and Engineering	47.070		1,001,421.14
University of Tennessee	Computer and Information Science and Engineering	47.070		1,251,132.49
				2,403,025.66
East Tennessee State University	Biological Sciences	47.074	\$	218,462.78
Middle Tennessee State University	Biological Sciences	47.074		138,273.69
Tennessee Technological University	Biological Sciences	47.074		10,200.51
University of Memphis	Biological Sciences	47.074		173,499.57
University of Tennessee	Biological Sciences	47.074		3,720,184.42
				4,260,620.97
University of Memphis	Social, Behavioral, and Economic Sciences	47.075	\$	283,337.04
University of Tennessee	Social, Behavioral, and Economic Sciences	47.075		242,897.52
				526,234.56
East Tennessee State University	Education and Human Resources	47.076	\$	743,028.81
Middle Tennessee State University	Education and Human Resources	47.076		195,197.36
Tennessee State University	Education and Human Resources	47.076		952,710.67
Tennessee Technological University	Education and Human Resources	47.076		538,505.93
University of Memphis	Education and Human Resources	47.076		567,402.97
University of Tennessee	Education and Human Resources	47.076		1,124,769.66
				4,121,615.40
East Tennessee State University	Polar Programs	47.078	\$	27,004.45
University of Memphis	Polar Programs	47.078		16,440.64
Tennessee Technological University	International Science and Engineering (OISE)	47.079		
				43,445.09
University of Tennessee	Office of Cyberinfrastructure	47.080		239,830.33
University of Memphis	ARRA-Trans-NSF Recovery Act Research Support	47.082		43,188.74
University of Tennessee	NSF-8-MOR-1333- Boake	N.A. / 8-MOR-1333		19,507.05
University of Tennessee	OCI-0711134	N.A. / OCI-0711134		36,447,649.24
				36,447,649.24
Subtotal Direct Programs				\$ 55,429,834.28
Passed Through Northwestern University				
University of Tennessee	Engineering Grants	47.041 / PROJ0000075	\$	28,170.29
University of Tennessee	Engineering Grants	47.041 / PROJ0000147-01		64,584.80
				92,755.09
Passed Through Research Foundation of State University of New York				
University of Memphis	Engineering Grants	47.041 / R281708		1,914.79
Passed Through University of California				
University of Tennessee	Engineering Grants	47.041 / 012188-01-UTSI		1,568.07
University of Tennessee	Biological Sciences	47.074 / S-0000336		10,584.00

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through University of Illinois			
University of Memphis	Engineering Grants	47.041 / 2003 01053 03 AMEND	260.53
University of Memphis	Geosciences	47.050 / 2003 01053 03 AMEND	7,197.48
University of Tennessee	Office of Cyberinfrastructure	47.080 / 2005-6198-04	(1,641.95)
Passed Through University of Michigan			
Tennessee Technological University	Engineering Grants	47.041 / Subcontract No F013361	61,342.71
University of Tennessee	Mathematical and Physical Sciences	47.049 / 3000844970	15,488.87
Passed Through West Virginia University			
University of Tennessee	Engineering Grants	47.041 / 01-589L-UTK	\$ 17,500.21
University of Tennessee	Engineering Grants	47.041 / 01-589P-UTK	3,704.44
University of Tennessee	Engineering Grants	47.041 / PO #50054800	<u>7,229.59</u>
Passed Through California Institute of Technology			
University of Tennessee	Mathematical and Physical Sciences	47.049 / 7E-1082277-07	239,313.29
Passed Through Emory University			
University of Tennessee	Mathematical and Physical Sciences	47.049 / LTR 04/03/07	(5,444.21)
Passed Through Kansas State University			
University of Tennessee	Mathematical and Physical Sciences	47.049 / Subaward No. S05029	128.28
Passed Through Murray State University			
University of Tennessee	Mathematical and Physical Sciences	47.049 / DMS-0813563	7,611.32
Passed Through University of Minnesota			
East Tennessee State University	Mathematical and Physical Sciences	47.049 / R5286056127	29,443.00
Passed Through University of Texas			
University of Tennessee	Mathematical and Physical Sciences	47.049 / UTA06-704	138,061.92
Passed Through Virginia Polytechnic Institute and State University			
University of Tennessee	Mathematical and Physical Sciences	47.049 / CR-19121-477512	38,089.05
Passed Through Louisiana State University			
University of Tennessee	Geosciences	47.050 / SUBCONTRACT #12073	2,285.08
University of Tennessee	Education and Human Resources	47.076 / 22714	4,930.71
Passed Through Pennsylvania State University			
University of Tennessee	Geosciences	47.050 / 3687-UT-NSF-5019	4,409.44
Passed Through Boston University			
University of Tennessee	Computer and Information Science and Engineering	47.070 / PO # GC185794N-GA	(22,429.98)
Passed Through Mid-South Community College			
University of Memphis	Computer and Information Science and Engineering	47.070 / DUE-0603273	22,989.29

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through National Science Foundation			
Austin Peay State University	Computer and Information Science and Engineering	47.070 / CNS-0722890	237,081.80
Passed Through Rice University			
University of Tennessee	Computer and Information Science and Engineering	47.070 / R3871A-73900004	82,693.19
Passed Through University of California at Berkeley			
University of Tennessee	Computer and Information Science and Engineering	47.070 / SA4617-10391PG	(0.03)
Passed Through University of Chicago			
University of Tennessee	Computer and Information Science and Engineering	47.070 / SUBAWARD # 30085-S	65,613.74
Passed Through University of Louisville			
University of Memphis	Computer and Information Science and Engineering	47.070 / ULRF 05 0768	(7.50)
Passed Through University of North Carolina			
University of Tennessee	Computer and Information Science and Engineering	47.070 / 2975-07-0580-UTK	34,517.65
Passed Through Appalachian State University			
University of Tennessee	Biological Sciences	47.074 / SERNEC #553464	18,399.90
Passed Through Carnegie Museum of Natural History			
University of Tennessee	Biological Sciences	47.074 / SUBGRANT #1	6,190.45
Passed Through University of California at Davis			
University of Tennessee	Biological Sciences	47.074 / K014801-02	11,035.09
Passed Through University of Wisconsin at Madison			
University of Tennessee	Biological Sciences	47.074 / F193406	1,140.15
Passed Through University of Oklahoma			
University of Tennessee	Social, Behavioral, and Economic Sciences	47.075 / 2007-28	1,570.83
Passed Through Alignment Nashville			
Tennessee Technological University	Education and Human Resources	47.076 / DRL-0833643	8,309.13
Passed Through Purdue University			
University of Tennessee	Education and Human Resources	47.076 / 4101-25419	39,070.38
Passed Through Saddleback College			
Tennessee Technological University	Education and Human Resources	47.076 / DUE-0501527	27,590.81

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through San Diego State University Foundation			
Tennessee Technological University	Education and Human Resources	47.076 / 55158A P1623 7804 211	20,202.50
Passed Through University of Alabama at Birmingham			
University of Memphis	Education and Human Resources	47.076 / ESI 0353440	11,554.49
Passed Through University of Kansas			
Tennessee State University	Education and Human Resources	47.076 / HRD-0624720	14,754.35
Passed Through University of Nebraska			
University of Tennessee	Education and Human Resources	47.076 / 25-0536-0004-003	2,916.43
Passed Through Civil Research and Development			
University of Tennessee	International Science and Engineering (OISE)	47.079 / RUPI-2892-MO-07	2,999.35
Passed Through University of Oregon			
University of Tennessee	Office of Cyberinfrastructure	47.080 / 207401C-03	104,689.58
Passed Through Fort Valley State University			
University of Tennessee	Fort Valley State University	N.A. / 2009 HBCU-UP	5,972.76
Passed Through Johns Hopkins University			
University of Tennessee	Johns Hopkins APL 946966 Murray	N.A. / 946966	55,537.95
Passed Through Vanderbilt University			
University of Tennessee	Vanderbilt Univ Sub 18890-S1 L	N.A. / 18890-S1	7,757.51
Subtotal Pass-Through Programs			<u>\$ 1,436,881.53</u>
Subtotal National Science Foundation			<u>\$ 56,866,715.81</u>
Small Business Administration			
Direct Programs			
University of Tennessee	SBAHQ-06-I-0026	N.A. / SBAHQ-06-I-0026	<u>\$ 386,444.36</u>
Subtotal Direct Programs			<u>\$ 386,444.36</u>
Passed Through University of Kentucky			
University of Tennessee	3048065700-07-209	N.A. / 3048065700-07-209	<u>\$ 33,240.16</u>
Subtotal Pass-Through Programs			<u>\$ 33,240.16</u>
Subtotal Small Business Administration			<u>\$ 419,684.52</u>
Department of Veterans Affairs			
Veterans Health Administration			
Direct Programs			

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
East Tennessee State University	Veterans Home Based Primary Care	64.022	\$	40,515.67
Subtotal Veterans Health Administration			\$	40,515.67
Subtotal Department of Veterans Affairs			\$	40,515.67
Environmental Protection Agency				
Office of Water				
Direct Programs				
Austin Peay State University	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act	66.436	\$	48,190.76
Subtotal Direct Programs			\$	48,190.76
Passed Through Blount County Soil Conservation District				
University of Tennessee	Targeted Watersheds Grants	66.439 / Field Monitoring	\$	3,606.24
Subtotal Pass-Through Programs			\$	3,606.24
Subtotal Office of Water			\$	51,797.00
Office of Research and Development				
Direct Programs				
University of Tennessee	Science To Achieve Results (STAR) Research Program	66.509	\$	478,689.84
University of Tennessee	Office of Research and Development Consolidated Research/Training/ Fellowships	66.511		23,605.37
Austin Peay State University	P3 Award: National Student Design Competition for Sustainability	66.516	\$	9,934.26
University of Tennessee	P3 Award: National Student Design Competition for Sustainability	66.516	24,248.90	34,183.16
Subtotal Direct Programs			\$	536,478.37
Passed Through Harvard University				
University of Tennessee	Science To Achieve Results (STAR) Research Program	66.509 / SUB # 123392	\$	31,409.65
Subtotal Pass-Through Programs			\$	31,409.65
Subtotal Office of Research and Development			\$	567,888.02
Office of Administration				
Direct Programs				
Middle Tennessee State University	Congressionally Mandated Projects	66.202	\$	47,956.46
University of Memphis	Congressionally Mandated Projects	66.202	52,978.79	100,935.25
University of Memphis	Greater Research Opportunities (GRO) Fellowships for Undergraduate Environmental Study	66.513		8,861.41

**State of Tennessee
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For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
University of Tennessee	Greater Research Opportunities (GRO) Research Program	66.515	14,128.99
Subtotal Office of Administration			\$ 123,925.65

Office of Prevention, Pesticides and Toxic Substances
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Direct Programs

University of Tennessee	Source Reduction Assistance	66.717	\$ 151,134.99
Subtotal of Prevention, Pesticides and Toxic Substances			\$ 151,134.99

Other Programs

Direct Programs

University of Tennessee	Environmental Protection_ Consolidated Research	66.500	\$ 24,424.94
University of Tennessee	EPA-Nat'l Resource Policy Ctr- Schwartz	N.A. / EM-83298901-1	260,355.70
University of Tennessee	RD832849010	N.A. / RD832849010	133,993.31
Subtotal Direct Programs			\$ 418,773.95

Passed Through Consortium for Plant Biotechnology Research

University of Tennessee	Environmental Protection_ Consolidated Research	66.500 / EPA82947901-208	\$ 1,368.23
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Passed Through Research Triangle Institute

University of Tennessee	RTI Intl - 1-321-0210288 - Fu	N.A. / 1-321-0210288-04	81,429.16
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Passed Through Water Environment Research Foundation

University of Tennessee	WERF Project Costs Wstwater Mgt- Buchanan	N.A. / X-83085101-0	14,333.23
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Subtotal Pass-Through Programs			\$ 97,130.62
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Subtotal Other Programs			\$ 515,904.57
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Subtotal Environmental Protection Agency			\$ 1,410,650.23
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Department of Energy

Direct Programs

Tennessee Technological University	Office of Science Financial Assistance Program	81.049	\$ 102,471.84
University of Tennessee	Office of Science Financial Assistance Program	81.049	3,534,046.11
			\$ 3,636,517.95
Tennessee Technological University	University Coal Research	81.057	\$ 152,916.87
University of Tennessee	University Coal Research	81.057	658.98
			\$ 153,575.85
Tennessee Technological University	Conservation Research and Development	81.086	269,188.04
University of Tennessee	Renewable Energy Research and Development	81.087	70,133.92

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Tennessee State University	Fossil Energy Research and Development	81.089	13,115.78
University of Tennessee	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	81.117	32,396.63
University of Tennessee	Nuclear Energy Research, Development and Demonstration	81.121	779,435.82
Tennessee Technological University	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program	81.123	51,122.89
Roane State Community College	Miscellaneous Federal Activities	81.502	12,685.51
Tennessee Technological University	Theory and Application of Hierarchical Matrices in Multiscale Problems	N.A. / DE-FG02-04ER25649	15,050.93
University of Tennessee	61500-001-08	N.A. / 61500-001-08	19,996.18
University of Tennessee	DE-FG02-07ER46370-02	N.A. / DE-FG02-07ER46370-02	45,937.48
University of Tennessee	DE-FG02-08ER64667	N.A. / DE-FG02-08ER64667	20,169.75
University of Tennessee	DE-FG05-88ER13859	N.A. / DE-FG05-88ER13859	80,542.67
University of Tennessee	DOE DE-FC02-06ER25761 Dongarra	N.A. / DE-FC02-06ER25761	183,814.77
University of Tennessee	DOE DE-FC02-06ER25778 Huang	N.A. / DE-FC02-06ER25778	163,953.97
University of Tennessee	DOE DE-FC02-06ER54858 Beck	N.A. / DE-FC02-06ER54858-02	30,485.53
University of Tennessee	DOE DE-FC02-07ER25801 Dongarra	N.A. / DE-FC02-07ER25801-01	155,372.14
University of Tennessee	DOE DE-FC07-05ID14659 Bruns	N.A. / DE-FC07-05ID14659-08	57,147.42
University of Tennessee	DOE DE-FG02-01ER45885 Musfeldt	N.A. / DE-FG02-01ER45885-09	90,519.98
University of Tennessee	DOE DE-FG02-03ER25584 Dongarra	N.A. / DE-FG02-03ER25584	112,165.92
University of Tennessee	DOE DE-FG02-03ER25586 Schulze	N.A. / DE-FG02-03ER25586-05	4,341.01
University of Tennessee	DOE DE-FG02-04ER25651 Beck	N.A. / DE-FG02-04ER25651	25,788.49
University of Tennessee	DOE DE-FG02-05ER15723 Keffer	N.A. / DE-FG02-05ER15723	200,877.17
University of Tennessee	DOE DE-FG02-05ER46202 Dai	N.A. / DE-FG02-05ER46202	243,848.56
University of Tennessee	DOE DE-FG02-05ER64076 Whitfield	N.A. / DE-FG02-05ER64076	60,726.80
University of Tennessee	DOE DE-FG02-06ER46266 Keppens	N.A. / DE-FG02-06ER46266	173,455.04
University of Tennessee	DOE DE-FG02-06ER46338 Nieh	N.A. / DE-FG02-06ER46338-02	114,695.02
University of Tennessee	DOE DE-FG02-07ER46363 Langston	N.A. / DE-FG02-07ER46363-01	119,825.44
University of Tennessee	DOE DE-FG02-96ER40982 Sorensen	N.A. / DE-FG02-96ER40982	208,062.58
University of Tennessee	DOE DE-FG03-03NA00083 Nazarewicz	N.A. / DE-FG52-03NA00083	132,031.04
University of Tennessee	DOE DE-FG05-91ER40627 Task T Siopsis	N.A. / DE-FG05-91ER40627	452,801.24
University of Tennessee	DOE DE-FG07-02ID14368-Dodds	N.A. / DE-FG07-02ID14368	3,308.99
University of Tennessee	DOE DE-FG26-06NT42732 Liaw	N.A. / DE-FG26-06NT42732	156,487.34
University of Tennessee	DOE DE-FG36-06GO16037 Mays	N.A. / DE-FG36-06GO16037-A8	176,722.34
University of Tennessee	DOE Grant DE-FG02-05ER64103	N.A. / DE-FG02-05ER64103	174,845.95
University of Tennessee	DOE UT Switchgrass Project-English	N.A. / DEFG3604G014219	240,970.39
University of Tennessee	DOE-DE-FG02-08ER64678 - Melcher	N.A. / DE-FG02-08ER64678	192,128.16
University of Tennessee	DOE-DE-FG05-08OR23333-Dongarra	N.A. / DE-FG05-08OR23333	21,080.80
University of Tennessee	DOE-DE-SC0000019 - Murray 09	N.A. / DE-SC0000019	19,099.62
University of Tennessee	DOE-Minimize SystemNoise Effects-Dongarra	N.A. / DE-FG02-08ER25845	73,285.66
Subtotal Direct Programs			\$ 8,787,710.77
Passed Through North Carolina State University			
University of Tennessee	Office of Science Financial Assistance Program	81.049 / 2007-0921-01	\$ 33,007.53
University of Tennessee	University Reactor Infrastructure and Education Support	81.114 / 2002-1391-06	3,124.94
University of Tennessee	NCSU-2007-1694-03 - Sanders	N.A. / 2007-1694-03	6,763.44

**State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through Oak Ridge National Laboratory				
University of Memphis	Office of Science Financial Assistance Program	81.049 / 4000056349	\$ 5,238.81	
University of Memphis	Office of Science Financial Assistance Program	81.049 / 4000065223 - MOD 1	39,284.88	44,523.69
Passed Through University of Massachusetts				
University of Tennessee	Office of Science Financial Assistance Program	81.049 / DOE-03001804D-00		123,229.94
Passed Through University of Washington				
University of Tennessee	Office of Science Financial Assistance Program	81.049 / Subcontract #371991-2		178,549.87
Passed Through South Dakota State University				
University of Tennessee	Regional Biomass Energy Programs	81.079 / 3TA157		55,139.43
Passed Through Consortium for Plant Biotechnology Research				
University of Tennessee	Renewable Energy Research and Development	81.087 / G012026245		5,623.68
Passed Through Rutgers, The State University of New Jersey				
University of Tennessee	Stewardship Science Grant Program	81.112 / SUB#3538 PO#S1135633		130,298.88
University of Tennessee	SUB#2215 PO#S776716	N.A. / SUB#2215 PO#S776716		109,631.22
Passed Through Southern Methodist University				
University of Tennessee	Defense Nuclear Nonproliferation Research	81.113 / Subcontract #20499		31,334.58
Passed Through University of Mississippi				
University of Tennessee	Defense Nuclear Nonproliferation Research	81.113 / SUB # 07-11-040		3,445.97
Passed Through University of Maryland				
Tennessee Technological University	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	81.117 / Subcontract No Q202301		17,477.74
Passed Through University of Cincinnati				
University of Tennessee	Nuclear Energy Research, Development and Demonstration	81.121 / CO-000888/SA-1003444		50,551.42
Passed Through Virginia Polytechnic Institute and State University				
University of Tennessee	Nuclear Energy Research, Development and Demonstration	81.121 / CR-19121-429226-03		135,644.79
Passed Through Washington State University				
Tennessee Technological University	Nuclear Energy Research, Development and Demonstration	81.121 / 108880_G002296 Amend No 001		20,023.52

State of Tennessee
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For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Alliance for Sustainable Energy, Limited Liability Company			
University of Tennessee	Alliance for Sustainable Energy- Boulet	N.A. / NEE-9-99407-01	14,362.40
Passed Through Ames Laboratory			
University of Tennessee	Ames Laboratory-SC-09-323-Zhenyu Zhang	N.A. / NO. SC-09-323	403.20
Passed Through Argonne National Laboratory			
Middle Tennessee State University	Research in Collaboration	N.A. / 7F-01661	44,065.29
University of Tennessee	9F-30061	N.A. / 9F-30061	5,789.80
Passed Through Brookhaven National Laboratory			
University of Tennessee	Brookhaven Natl Lab-Spacecraft- Heilbronn	N.A. / CONTRACT # 138826	43,044.22
Passed Through Fermi Research Alliance, Limited Liability Company			
University of Tennessee	Fermi Research Alliance, Limited Liability Company-Spanier	N.A. / P. O. # 580849	3,982.68
Passed Through International Association of STM			
University of Tennessee	International Association of STM- Suttles	N.A. / Publishing Research	3,713.21
Passed Through Oak Ridge Associated Universities			
University of Tennessee	ORAU-Direct Reaction-Gryzwacz- Jones	N.A. / PO # 8-17224	2,832.24
University of Tennessee	ORAU-Purchase Order # 8-18173- Bingham	N.A. / PO # 8-18173	66,208.24
Passed Through Oak Ridge Institute for Science and Education			
Middle Tennessee State University	U.S. Department of Energy (DOE) Higher Education Research Experiences (HERE)	N.A. / DE-AC05-06OR23100	7,881.83
Passed Through Sandia National Laboratories			
University of Memphis	Automated Performance Assessment for After-Action Review with Individuals and Teams	N.A. / 721906	24,856.60
University of Memphis	Robust Automated Knowledge Capture	N.A. / PO 870235	37,710.41
Passed Through University of Texas			
University of Tennessee	Univ of Texas-Austin-UTA08-929- Zhang	N.A. / UTA08-929	66,012.82
Passed Through UT-Battelle, Limited Liability Company			
Middle Tennessee State University	Bio-Sensor Detection Research	N.A. / 4000071940	110,520.84
Tennessee Technological University	Alumina Forming Coatings for Power Generation Applications	N.A. / 4000071336 MOD #2	15,958.48
Tennessee Technological University	Comprehensive Analysis and Benchmarking of Virtual I/O for HPC	N.A. / 4000076140	8,640.00

**State of Tennessee
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For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Tennessee Technological University	Molecular Photoredox Chemistry of Mercury in Aquatic Systems: Kinetics, Mechanism and Environmental Implication	N.A. / 4000069118 MODIFICATION NO. 2	31,293.84
Tennessee Technological University	Optimization of High Voltage Lines - Power System Application Development Using FNET Data	N.A. / 4000051155 MOD 3	361,498.09
Tennessee Technological University	Separation Studies of /f/-Elements	N.A. / 4000040881-MOD6	1,301.44
University of Tennessee	UT-Battelle	N.A. / B0199BTL	18,370,121.27
Subtotal Pass-Through Programs			\$ 20,168,567.54
Subtotal Department of Energy			\$ 28,956,278.31
Department of Education			
Office of Special Education and Rehabilitative Services			
Direct Programs			
University of Tennessee	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	84.325	\$ 244,705.38
Subtotal Direct Programs			\$ 244,705.38
Passed Through Southern University			
University of Memphis	National Institute on Disability and Rehabilitation Research	84.133 / P704730	\$ 25,687.13
Passed Through Siskin Children's Institute			
Middle Tennessee State University	Research in Special Education	84.324 / R 324 B070003	31,966.77
Passed Through Vanderbilt University			
Middle Tennessee State University	Research in Special Education	84.324 / R 324 B070266	(14,990.65)
Passed Through Virginia Commonwealth University			
East Tennessee State University	Research in Special Education	84.324 / PT090338-SC100054	10,681.98
Subtotal Pass-Through Programs			\$ 53,345.23
Subtotal Office of Special Education and Rehabilitative Services			\$ 298,050.61
Office of Elementary and Secondary Education			
Passed Through Old Dominion University			
University of Tennessee	Safe and Drug-Free Schools and Communities_National Programs	84.184 / 08-133-362791-AMEND1	\$ 14,903.52
Passed Through Shelby County Schools			
University of Memphis	Safe and Drug-Free Schools and Communities_National Programs	84.184 / Q184L050266	39,877.83
Passed Through Memphis City Schools			
University of Memphis	Striving Readers	84.371 / S371A060098	620,412.14

State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Subtotal Office of Elementary and Secondary Education			\$ 675,193.49
Office of Educational Research and Improvement			
Direct Programs			
University of Memphis	Education Research, Development and Dissemination	84.305	\$ 1,046,002.84
Subtotal Direct Programs			\$ 1,046,002.84
Passed Through Hawkins County Schools			
East Tennessee State University	Fund for the Improvement of Education	84.215 / 72120-399-961	\$ 13,259.98
Passed Through Virginia Department of Education			
University of Memphis	Twenty-First Century Community Learning Centers	84.287 / 21CCLC2008	\$ 11,130.85
University of Memphis	Twenty-First Century Community Learning Centers	84.287 / E080104540048	26,585.68
			<u>37,716.53</u>
Passed Through Northern Illinois University			
University of Memphis	Education Research, Development and Dissemination	84.305 / PO 89595	96,599.68
Subtotal Pass-Through Programs			\$ 147,576.19
Subtotal Office of Educational Research and Improvement			\$ 1,193,579.03
Office of Postsecondary Education			
Direct Programs			
University of Tennessee	Fund for the Improvement of Postsecondary Education	84.116	\$ 14,022.64
University of Memphis	Center for International Business Education	84.220	302,697.09
University of Memphis	Teacher Quality Partnership Grants	84.336	292,261.47
Subtotal Direct Programs			\$ 608,981.20
Passed Through University of Maryland			
University of Tennessee	Fund for the Improvement of Postsecondary Education	84.116 / Subaward No. Z203501	\$ 22,662.64
Subtotal Pass-Through Programs			\$ 22,662.64
Subtotal Office of Postsecondary Education			\$ 631,643.84
Other Programs			
Direct Programs			
University of Memphis	Bilingual Education Support Services	84.194	\$ 262,823.98
Subtotal Direct Programs			\$ 262,823.98

**State of Tennessee
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Hamilton County Department of Education			
University of Memphis	Early Reading First	N.A. / C008214	\$ 40,921.11
Passed Through Nevada Department of Education			
University of Memphis	Supplemental Education Services Evaluations	N.A. / Nevada SES Evaluation	3,450.00
Subtotal Pass-Through Programs			<u>\$ 44,371.11</u>
Subtotal Other Programs			<u>\$ 307,195.09</u>
Subtotal Department of Education			<u>\$ 3,105,662.06</u>
National Archives and Records Administration			
Direct Programs			
University of Tennessee	National Historical Publications and Records Grants	89.003	\$ 81,732.88
Subtotal National Archives and Records Administration			<u>\$ 81,732.88</u>
Department of Health and Human Services			
Office of Disease Prevention and Health Promotion			
Direct Programs			
University of Tennessee	National Health Promotion	93.990	\$ 128,037.35
Subtotal Office of Disease Prevention and Health Promotion			<u>\$ 128,037.35</u>
Agency for Health Care Policy and Research			
Direct Programs			
Finance and Administration	Research on Healthcare Costs, Quality and Outcomes	93.226	\$ 1,246,359.89
University of Tennessee	Research on Healthcare Costs, Quality and Outcomes	93.226	397,936.28
Subtotal Direct Programs			<u>\$ 1,644,296.17</u>
Passed Through Northwestern University			
University of Tennessee	Research on Healthcare Costs, Quality and Outcomes	93.226 / HS10040	\$ (5,435.28)
Subtotal Pass-Through Programs			<u>\$ (5,435.28)</u>
Subtotal Agency for Health Care Policy and Research			<u>\$ 1,638,860.89</u>
Centers for Medicare and Medicaid Services			
Direct Programs			
Tennessee State University	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	93.779	\$ 122,746.97

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Subtotal Centers for Medicare and Medicaid Services			\$ 122,746.97
Food and Drug Administration			
Direct Programs			
University of Tennessee	Food and Drug Administration_ Research	93.103	\$ 103,394.03
Subtotal Food and Drug Administration			\$ 103,394.03
Centers for Disease Control			
Direct Programs			
University of Memphis	Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	\$ 36,684.13
University of Tennessee	Research, Treatment and Education Programs on Lyme Disease in the United States	93.942	675,137.72
Subtotal Direct Programs			\$ 711,821.85
Passed Through Meharry Medical College			
Tennessee State University	Injury Prevention and Control Research and State and Community Based Programs	93.136 / 5U49 CE001022-03	\$ 14,493.14
Passed Through University of Wisconsin at Madison			
University of Tennessee	Immunization Research, Demonstration, Public Information and Education_ Training and Clinical Skills Improvement Projects	93.185 / IP000060	9,677.92
Passed Through University of Alabama			
University of Tennessee	Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283 / 286477-Subcode 004	8,959.27
Passed Through University of Alabama at Birmingham			
East Tennessee State University	Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283 / 286477-Subcode 003	6,812.52
Passed Through University of North Carolina			
University of Tennessee	Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283 / DD000199	10,067.76
Subtotal Pass-Through Programs			\$ 50,010.61
Subtotal Centers for Disease Control			\$ 761,832.46
Health Resources and Services Administration			
Direct Programs			

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110		\$ (0.07)
East Tennessee State University	Health Care and Other Facilities	93.887	\$ 236,367.00	
University of Tennessee	Health Care and Other Facilities	93.887	236,367.00	472,734.00
University of Tennessee	Specially Selected Health Projects	93.888		47,328.79
Subtotal Direct Programs				\$ 520,062.72
Passed Through American Nurses Foundation				
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110 / 2007-123		\$ 989.82
Passed Through Genetic Alliance, Incorporated				
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110 / MC06836		6,295.46
Passed Through University of North Carolina				
University of Tennessee	Maternal and Child Health Federal Consolidated Programs	93.110 / MC05053		26,192.39
Passed Through Delta Health Alliance				
University of Tennessee	Rural Health Care Services Outreach and Rural Health Network Development Program	93.912 / RH08555		64,402.96
Subtotal Pass-Through Programs				\$ 97,880.63
Subtotal Health Resources and Services Administration				\$ 617,943.35
Indian Health Service				
Passed Through United South and Eastern Tribes, Incorporated				
Middle Tennessee State University	Epidemiology Cooperative Agreements	93.231 / U1B9400009/07		\$ 32,026.92
Subtotal Indian Health Service				\$ 32,026.92
Substance Abuse and Mental Health Services Administration				
Direct Programs				
University of Tennessee	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		\$ 76,758.98
Subtotal Substance Abuse and Mental Health Services Administration				\$ 76,758.98
National Institutes of Health				
Direct Programs				
East Tennessee State University	Environmental Health	93.113	\$ 35,157.48	
University of Memphis	Environmental Health	93.113	124,983.19	\$ 160,140.67
East Tennessee State University	Research Related to Deafness and Communication Disorders	93.173	\$ 79,409.11	

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Memphis	Research Related to Deafness and Communication Disorders	93.173		289,872.30
University of Tennessee	Research Related to Deafness and Communication Disorders	93.173		1,284,607.76
				1,653,889.17
East Tennessee State University	Mental Health Research Grants	93.242	\$	333,391.67
Tennessee State University	Mental Health Research Grants	93.242		453,159.93
University of Memphis	Mental Health Research Grants	93.242		371,233.73
University of Tennessee	Mental Health Research Grants	93.242		339,658.28
				1,497,443.61
University of Memphis	Alcohol Research Programs	93.273	\$	257,845.93
University of Tennessee	Alcohol Research Programs	93.273		1,576,233.44
				1,834,079.37
East Tennessee State University	Drug Abuse and Addiction Research Programs	93.279	\$	187,053.15
University of Memphis	Drug Abuse and Addiction Research Programs	93.279		745,289.59
University of Tennessee	Drug Abuse and Addiction Research Programs	93.279		2,850,197.72
				3,782,540.46
University of Tennessee	Mental Health Research	93.281		129,097.28
University of Tennessee	Career/Scientist Development Awards	93.286		729,395.60
University of Tennessee	Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		729,395.60
East Tennessee State University	Minority Health and Health Disparities Research	93.307	\$	25,877.21
Tennessee State University	Minority Health and Health Disparities Research	93.307		54,871.38
				80,748.59
University of Tennessee	Nursing Research	93.361		846,434.85
University of Tennessee	National Center for Research Resources	93.389		882,531.36
East Tennessee State University	Cancer Cause and Prevention Research	93.393	\$	105,779.14
University of Tennessee	Cancer Cause and Prevention Research	93.393		374,636.17
				480,415.31
University of Tennessee	Cancer Detection and Diagnosis Research	93.394		119,916.07
University of Memphis	Cancer Treatment Research	93.395	\$	35,078.42
University of Tennessee	Cancer Treatment Research	93.395		1,258,846.07
				1,293,924.49
University of Tennessee	Cancer Biology Research	93.396		495,759.28
East Tennessee State University	Cancer Research Manpower	93.398		49,721.37
University of Tennessee	Cancer Control	93.399		270,623.47
University of Tennessee	Lung Diseases Research	93.838		1,038,997.81
University of Tennessee	Blood Diseases and Resources Research	93.839		41,631.07
University of Memphis	Arthritis, Musculoskeletal and Skin Diseases Research	93.846	\$	28,956.02
University of Tennessee	Arthritis, Musculoskeletal and Skin Diseases Research	93.846		1,059,844.30
				1,088,800.32
East Tennessee State University	Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	\$	389,543.30
University of Tennessee	Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		2,892,096.01
				3,281,639.31
East Tennessee State University	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	\$	115,865.06
University of Memphis	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		69,021.46

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	3,367,238.88	3,552,125.40
East Tennessee State University	Allergy, Immunology and Transplantation Research	93.855	\$ 892,584.27	
University of Tennessee	Allergy, Immunology and Transplantation Research	93.855	3,419,582.38	4,312,166.65
University of Tennessee	Microbiology and Infectious Diseases Research	93.856		2,576,843.28
East Tennessee State University	Biomedical Research and Research Training	93.859	\$ 455,137.32	
Middle Tennessee State University	Biomedical Research and Research Training	93.859	25,993.09	
Tennessee State University	Biomedical Research and Research Training	93.859	358,067.33	
University of Tennessee	Biomedical Research and Research Training	93.859	1,975,003.51	2,814,201.25
East Tennessee State University	Child Health and Human Development Extramural Research	93.865	\$ 24,534.13	
Middle Tennessee State University	Child Health and Human Development Extramural Research	93.865	14,743.02	
University of Memphis	Child Health and Human Development Extramural Research	93.865	427,930.85	
University of Tennessee	Child Health and Human Development Extramural Research	93.865	1,961,960.34	2,429,168.34
East Tennessee State University	Aging Research	93.866	\$ 75,356.59	
University of Tennessee	Aging Research	93.866	299,284.97	374,641.56
University of Tennessee	Vision Research	93.867		2,251,869.48
University of Memphis	International Research and Research Training	93.989		40,846.49
Subtotal Direct Programs			\$	38,109,591.91
Passed Through Kirkwood Community College				
University of Tennessee	NIEHS Hazardous Waste Worker Health and Safety Training	93.142 / 2 U45 ES010658-10	\$	107,940.53
Passed Through University of North Carolina				
University of Tennessee	Human Genome Research	93.172 / #5-34534		307,572.76
University of Tennessee	Cancer Biology Research	93.396 / CA105417		113,236.00
University of Tennessee	Allergy, Immunology and Transplantation Research	93.855 / A1057157		330,243.04
Passed Through Cornell University				
University of Tennessee	Research Related to Deafness and Communication Disorders	93.173 / DC-008702		144,949.39
Passed Through Massachusetts General Hospital				
East Tennessee State University	Research Related to Deafness and Communication Disorders	93.173 / 206770		34,322.94
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS052592		6,957.41
Passed Through Northwestern University				
University of Tennessee	Mental Health Research Grants	93.242 / MH-61915		196.18

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS047085		166,079.07
Passed Through University of Illinois at Chicago				
University of Tennessee	Mental Health Research Grants	93.242 / 5P20MH078458-02		58,899.02
Passed Through Butler Hospital				
University of Tennessee	Alcohol Research Programs	93.273 / 9003-8324		43,041.24
Passed Through Massachusetts School Fiscal Services				
University of Tennessee	Alcohol Research Programs	93.273 / AA-12054		11.01
Passed Through San Diego State University				
University of Tennessee	Alcohol Research Programs	93.273 / AA015741		7,634.46
Passed Through University of British Columbia				
University of Memphis	Alcohol Research Programs	93.273 / 20R48679	\$ 13,292.04	
University of Tennessee	Alcohol Research Programs	93.273 / AA016666	102,215.95	
			<hr/>	115,507.99
University of Memphis	Drug Abuse and Addiction Research Programs	93.279 / 7R01DA0206077-03	\$ 194,334.29	
University of Tennessee	Drug Abuse and Addiction Research Programs	93.279 / 20R 48661	99,251.94	
University of Tennessee	Drug Abuse and Addiction Research Programs	93.279 / DA020677	181,613.03	
			<hr/>	475,199.26
Passed Through Virginia Commonwealth University				
University of Tennessee	Alcohol Research Programs	93.273 / PT100580-SC100569		88,193.14
Passed Through Louisiana State University				
University of Tennessee	Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286 / 1R01EB006639-01A1		102,611.74
Passed Through University of California				
University of Tennessee	National Center for Research Resources	93.389 / RR-021760		219,746.49
Passed Through Duke University				
University of Tennessee	Cancer Cause and Prevention Research	93.393 / SUBAWARD # 150508		17,708.94
University of Tennessee	Microbiology and Infectious Diseases Research	93.856 / AI05157		0.02
Passed Through The Miriam Hospital				
University of Tennessee	Cancer Cause and Prevention Research	93.393 / TMH-710-7207		274.29
Passed Through University of Connecticut				
University of Tennessee	Cancer Cause and Prevention Research	93.393 / PSA 524699		2,768.82
University of Tennessee	Cardiovascular Diseases Research	93.837 / PSA 524652		26,806.85

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Duke University Medical Center			
University of Tennessee	Cancer Treatment Research	93.395 / CA112519	40,078.57
Passed Through Fred Hutchinson Cancer Research Center			
University of Tennessee	Cancer Treatment Research	93.395 / CA119171	7,949.64
Passed Through National Childhood Cancer Foundation			
East Tennessee State University	Cancer Treatment Research	93.395 / 98543-1033	4,111.44
Passed Through The Ohio State University			
University of Tennessee	Cancer Treatment Research	93.395 / CA066531	(8,120.32)
University of Tennessee	Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847 / DK-59800	114,448.76
Passed Through CTRC Research Foundation			
East Tennessee State University	Cancer Control	93.399 / CA37429	13,161.65
Passed Through Johns Hopkins University			
University of Memphis	Cancer Control	93.399 / R01CA039416	100,980.32
Passed Through University of Washington			
University of Tennessee	Cardiovascular Diseases Research	93.837 / HL077863	15,813.86
Passed Through Columbus Children's Research Institute			
University of Tennessee	Lung Diseases Research	93.838 / HL075261	72,584.04
Passed Through University of Chicago			
University of Tennessee	Lung Diseases Research	93.838 / HL080417	66,787.69
Passed Through University of Michigan			
University of Tennessee	Lung Diseases Research	93.838 / HL074024	5,016.93
Passed Through Wake Forest University			
University of Tennessee	Lung Diseases Research	93.838 / HL074104	20,537.91
Passed Through University of Kansas			
University of Tennessee	Arthritis, Musculoskeletal and Skin Diseases Research	93.846 / DP00187-QW817980	342,488.81
Passed Through Wayne State University			
University of Tennessee	Arthritis, Musculoskeletal and Skin Diseases Research	93.846 / AR042541	26,848.89
Passed Through Emory University			
University of Tennessee	Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847 / 540030G1-DK073190	427.09

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through University of Alabama at Birmingham				
University of Tennessee	Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847 / DK-082753		2,705.04
University of Tennessee	Child Health and Human Development Extramural Research	93.865 / HD39939		312.87
Passed Through Children's Hospital Research Foundation				
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS045911		7,955.00
Passed Through Columbia University				
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS036630		43.71
University of Tennessee	International Research and Research Training	93.989 / 2 (ACCT.#5-28731)-01		105,878.62
Passed Through Cornell Medical Center				
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS50324		237.87
Passed Through Howard University				
East Tennessee State University	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / 632362-H041544		19,149.17
Passed Through University of Pittsburgh				
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS052478		37,348.25
University of Tennessee	Aging Research	93.866 / AG028050		163,020.17
Passed Through University of Rochester				
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS37167	\$	6,044.42
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS050095		(313.26)
Passed Through Yale University				
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS053865	\$	5,610.43
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS044876-03		(68.97)
University of Tennessee	Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853 / NS044876		(128.56)

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Passed Through Seattle Children's Hospital				
University of Tennessee	Allergy, Immunology and Transplantation Research	93.855 / AI071163		5,057.57
Passed Through St. Jude Children's Research Hospital				
University of Tennessee	Allergy, Immunology and Transplantation Research	93.855 / AI069529	\$ 128,724.89	
University of Tennessee	Allergy, Immunology and Transplantation Research	93.855 / AI070721	209,998.97	338,723.86
University of Tennessee	Child Health and Human Development Extramural Research	93.865 / HD052239		16,313.56
Passed Through University of Arkansas				
University of Memphis	Allergy, Immunology and Transplantation Research	93.855 / 1 RO1 AI069087 01A1		13,916.37
Passed Through Colorado State University				
University of Tennessee	Microbiology and Infectious Diseases Research	93.856 / G-4666-1		203,575.87
Passed Through University of Iowa				
University of Tennessee	Microbiology and Infectious Diseases Research	93.856 / AI-30040		219,558.26
Passed Through Iowa State University				
University of Memphis	Biomedical Research and Research Training	93.859 / 430 46 06A		18,051.74
Passed Through Meharry Medical College				
Tennessee State University	Biomedical Research and Research Training	93.859 / 5U54CA091408-08	\$ 185,328.90	
Tennessee State University	Biomedical Research and Research Training	93.859 / 3P20MD000516-04S1	12,096.10	197,425.00
Passed Through University of Central Florida				
Tennessee Technological University	Biomedical Research and Research Training	93.859 / Encumbrance #133662		31,057.52
Passed Through University of Florida				
University of Tennessee	Aging Research	93.866 / AG025285		14,805.27
Passed Through University of California at Santa Barbara				
University of Tennessee	Vision Research	93.867 / EY01087	\$ 83,173.44	
University of Tennessee	Vision Research	93.867 / EY013198	29,057.30	112,230.74
Passed Through University of Louisville				
University of Tennessee	Medical Library Assistance	93.879 / LM-09315-01		2,036.30
Passed Through Michigan State University				
University of Tennessee	International Research and Research Training	93.989 / 610762UT		34,195.43

State of Tennessee
Schedule of Expenditures of Federal Awards
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State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Subtotal Pass-Through Programs			\$	4,747,758.12
Subtotal National Institutes of Health			\$	42,857,350.03
Other Programs				
Direct Programs				
Middle Tennessee State University	Chronic Diseases: Research, Control, and Prevention	93.068	\$	91,716.49
University of Memphis	Academic Research Enhancement Award	93.390		20,237.90
University of Memphis	ARRA-Trans-NIH Recovery Act Research Support	93.701	\$	2,991.13
University of Tennessee	ARRA-Trans-NIH Recovery Act Research Support	93.701		48,606.36
East Tennessee State University	Cardiovascular Diseases Research	93.837	\$	818,424.50
University of Memphis	Cardiovascular Diseases Research	93.837		944,144.89
University of Tennessee	Cardiovascular Diseases Research	93.837		6,876,446.71
University of Tennessee	Digestive Diseases and Nutrition Research	93.848		8,639,016.10
University of Tennessee	Kidney Diseases, Urology and Hematology Research	93.849		3,896,207.09
East Tennessee State University	Academic Research Enhancement Award	N.A. / 1R15EY017997	\$	75,139.79
East Tennessee State University	Academic Research Enhancement Award	N.A. / 1R15HL087271		79,577.39
East Tennessee State University	Academic Research Enhancement Award	N.A. / 1R15HL091502		75,184.62
East Tennessee State University	Academic Research Enhancement Award	N.A. / 1R15HL091770		54,561.65
University of Tennessee	DHHS/CDC/NIOSH-Access # 19958 - Baker	N.A. / PO#254-2008-M-27261		19,999.03
University of Tennessee	NIH-NIA PO HHSN311200700097P	N.A. / HHSN311200700097P		14,284.66
University of Tennessee	COTC 006	N.A. / COTC 006		19,655.75
University of Tennessee	2R01AI01436725A2	N.A. / 2R01AI01436725A2		395,471.31
University of Tennessee	1R21CA129772-01A2	N.A. / 1R21CA129772-01A2		81,843.66
Subtotal Direct Programs			\$	13,716,135.03
Passed Through State University of New York				
University of Tennessee	Applied Toxicological Research and Testing	93.114 / 26880	\$	14,346.01
Passed Through University of Medicine and Dentistry of New Jersey				
University of Tennessee	Digestive Diseases and Nutrition Research	93.848 / DK60438		384.63
Passed Through University of Mississippi				
University of Tennessee	Digestive Diseases and Nutrition Research	93.848 / DK-52238		1,464.15
Passed Through Children's Mercy Hospital				
University of Tennessee	Kidney Diseases, Urology and Hematology Research	93.849 / DK066143		530.24

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Medical College of Georgia			
University of Tennessee	Kidney Diseases, Urology and Hematology Research	93.849 / DK074957	5,762.57
Passed Through Emory University			
University of Tennessee	Emory Subcont HHSN275200800024C	N.A. / HHSN275200800024C	37.80
Passed Through National Cancer Institute of Canada			
University of Tennessee	Natl Cancer Institute-Canada-MAP3	N.A. / NCIC CTG MAP3	112,156.44
Passed Through University of Rochester			
University of Tennessee	Univ Rochester-Influenza Res-Sangster	N.A. / PO#413944-G	73,024.39
Passed Through University of Toledo			
University of Tennessee	HHSN261200433000C	N.A. / HHSN261200433000C	218,571.10
Passed Through Vanderbilt University Medical Center			
Tennessee Technological University	VEHI Subcontract w/ Vanderbilt - Amendment #4	N.A. / VUMC31882-R - AMENDMENT NO 4	31,405.25
Subtotal Pass-Through Programs			<u>\$ 457,682.58</u>
Subtotal Other Programs			<u>\$ 14,173,817.61</u>
Subtotal Department of Health and Human Services			<u>\$ 60,512,768.59</u>
Department of Homeland Security			
Direct Programs			
University of Tennessee	HLS 08GTT8K021 Food-CVM/ Draughn	N.A. / 2008GTT8K021	\$ 143,930.95
Subtotal Direct Programs			<u>\$ 143,930.95</u>
Passed Through Washington State University			
Tennessee Technological University	Research Projects	97.002 / 109446_G002336	\$ 43,738.26
Passed Through University of Texas			
University of Memphis	Centers for Homeland Security	97.061 / UTAA08063	89,173.40
Subtotal Pass-Through Programs			<u>\$ 132,911.66</u>
Subtotal Department of Homeland Security			<u>\$ 276,842.61</u>

Other Federal Assistance

Tennessee Valley Authority

Direct Programs

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Middle Tennessee State University	Coal Combustion By-Product Utilization Projects	N.A. / 00027446	\$ (531.78)
Tennessee Technological University	12 kW Photovoltaic Solar Power Generation at TTU	N.A. / AGREEMENT NUMBER 71645	1,694.38
Tennessee Technological University	Collaborative Research Between TVA and TTU and Graduate Student Support	N.A. / RELEASE NO. 11	24,945.00
Tennessee Technological University	Manufacturing of Lightweight Aggregate from Fly-Ash	N.A. / 0023309-8	9,096.81
Tennessee Technological University	Methodology for Estimation of Loss of Life of a Wave Trap	N.A. / RELEASE NO. 12	40,000.00
University of Tennessee	RMC-Federal	N.A. / 97 RKW-	32,792.73
University of Tennessee	TVA - Release No. 96 - Burton	N.A. / REL 99998950 NO.96	6,217.84
University of Tennessee	TVA Rel #85 Drawdown Responses KY-Gray	N.A. / RELEASE 85	17,937.38
University of Tennessee	TVA Release #81 Tanasi Lagoon-Rogers	N.A. / RELEASE #81	1,046.15
University of Tennessee	TVA Release #88 Sedrick	N.A. / RELEASE NO: 88	39,017.18
University of Tennessee	TVA Release 45 Wastewater Mgt-Buchanan	N.A. / 83722	28.79
University of Tennessee	TVA- Release No. 106 - DeCorse	N.A. / RELEASE NO. 106	9,559.92
University of Tennessee	TVA Release No. 69 - Herrmann	N.A. / #99998950-REL.NO.69	33,104.92
University of Tennessee	TVA Release No. 72 Ruggles	N.A. / #99998950-REL.NO.72	54,651.78
University of Tennessee	TVA Rls 71-Reservoir Impact YR 2 Ag Econ	N.A. / RELEASE 71 YR2	54,801.13
University of Tennessee	TVA XRD Analyses Mies	N.A. / RELEASE #17 REV. #1	1,413.33
University of Tennessee	TVA-99998950 Rel # 103 - DeCorse	N.A. / 99998950 REL# 102-02	13,980.33
University of Tennessee	TVA-99998950 Rel # 104 - DeCorse	N.A. / 99998950 REL # 104	2,368.98
University of Tennessee	TVA-99998950 Release No. 105 - Gage	N.A. / 99998950 RELEASE#105	159,967.53
University of Tennessee	TVA-99998950 Release No. 109 - Gage	N.A. / 99998950 RELEASE#109	639.51
University of Tennessee	TVA-99998950 Release No.100 - Herrmann	N.A. / 99998950 REL. # 100	10,402.67
Subtotal Tennessee Valley Authority			\$ 513,134.58
Nuclear Regulatory Commission			
Direct Programs			
University of Tennessee	U.S. Nuclear Regulatory Commission Nuclear Education Grant Program	77.006	\$ 182,604.39
University of Tennessee	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	77.008	25,913.64
University of Tennessee	NRC 02-06-015 Pevey	N.A. / NRC-02-06-015	16,805.01
University of Tennessee	NRC 04-06-066 Stewart	N.A. / NRC-04-06-066	199,431.78
Subtotal Nuclear Regulatory Commission			\$ 424,754.82
Subtotal Other Federal Assistance			\$ 937,889.40
Total Research and Development Cluster			\$ 193,862,998.87
Student Financial Assistance Cluster			
Department of Education			
Direct Programs			
Austin Peay State University	Federal Supplemental Educational Opportunity Grants	84.007	\$ 294,511.36

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Chattanooga State Technical Community College	Federal Supplemental Educational Opportunity Grants	84.007	154,285.00	
Cleveland State Community College	Federal Supplemental Educational Opportunity Grants	84.007	53,310.00	
Columbia State Community College	Federal Supplemental Educational Opportunity Grants	84.007	116,360.00	
Dyersburg State Community College	Federal Supplemental Educational Opportunity Grants	84.007	60,639.00	
East Tennessee State University	Federal Supplemental Educational Opportunity Grants	84.007	628,196.00	
Jackson State Community College	Federal Supplemental Educational Opportunity Grants	84.007	196,449.67	
Middle Tennessee State University	Federal Supplemental Educational Opportunity Grants	84.007	474,188.00	
Motlow State Community College	Federal Supplemental Educational Opportunity Grants	84.007	104,046.98	
Nashville State Community College	Federal Supplemental Educational Opportunity Grants	84.007	172,948.90	
Northeast State Community College	Federal Supplemental Educational Opportunity Grants	84.007	125,909.25	
Pellissippi State Community College	Federal Supplemental Educational Opportunity Grants	84.007	160,171.55	
Roane State Community College	Federal Supplemental Educational Opportunity Grants	84.007	148,750.00	
Southwest Tennessee Community College	Federal Supplemental Educational Opportunity Grants	84.007	503,342.08	
Tennessee State University	Federal Supplemental Educational Opportunity Grants	84.007	974,719.89	
Tennessee Technological University	Federal Supplemental Educational Opportunity Grants	84.007	234,421.38	
University of Tennessee	Federal Supplemental Educational Opportunity Grants	84.007	1,192,786.00	
Volunteer State Community College	Federal Supplemental Educational Opportunity Grants	84.007	150,960.10	
Walters State Community College	Federal Supplemental Educational Opportunity Grants	84.007	165,101.00	\$ 5,911,096.16
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Austin Peay State University	Federal Family Education Loans	84.032	\$ 58,143,896.10	
Chattanooga State Technical Community College	Federal Family Education Loans	84.032	20,578,489.65	
Cleveland State Community College	Federal Family Education Loans	84.032	3,233,333.42	
Dyersburg State Community College	Federal Family Education Loans	84.032	2,930,948.43	
East Tennessee State University	Federal Family Education Loans	84.032	44,370,973.25	
Middle Tennessee State University	Federal Family Education Loans	84.032	98,789,054.72	
Northeast State Community College	Federal Family Education Loans	84.032	4,925,167.58	
Pellissippi State Community College	Federal Family Education Loans	84.032	9,822,350.00	
Roane State Community College	Federal Family Education Loans	84.032	5,040,828.00	
Tennessee State University	Federal Family Education Loans	84.032	48,193,742.17	
Tennessee Technological University	Federal Family Education Loans	84.032	1,090,374.21	
University of Tennessee	Federal Family Education Loans	84.032	217,231,960.00	
Volunteer State Community College	Federal Family Education Loans	84.032	5,376,317.59	
Walters State Community College	Federal Family Education Loans	84.032	2,905,337.89	522,632,773.01
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Austin Peay State University	Federal Work-Study Program	84.033	\$ 304,951.50	
Chattanooga State Technical Community College	Federal Work-Study Program	84.033	223,017.77	
Cleveland State Community College	Federal Work-Study Program	84.033	50,955.02	
Columbia State Community College	Federal Work-Study Program	84.033	94,873.70	

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Dyersburg State Community College	Federal Work-Study Program	84.033	89,134.25	
East Tennessee State University	Federal Work-Study Program	84.033	1,106,686.37	
Jackson State Community College	Federal Work-Study Program	84.033	122,748.85	
Middle Tennessee State University	Federal Work-Study Program	84.033	753,725.00	
Motlow State Community College	Federal Work-Study Program	84.033	64,021.34	
Nashville State Community College	Federal Work-Study Program	84.033	90,341.38	
Northeast State Community College	Federal Work-Study Program	84.033	195,206.43	
Pellissippi State Community College	Federal Work-Study Program	84.033	184,897.40	
Roane State Community College	Federal Work-Study Program	84.033	208,279.35	
Southwest Tennessee Community College	Federal Work-Study Program	84.033	383,927.07	
Tennessee State University	Federal Work-Study Program	84.033	1,106,243.41	
Tennessee Technological University	Federal Work-Study Program	84.033	447,535.00	
University of Memphis	Federal Work-Study Program	84.033	1,459,032.91	
University of Tennessee	Federal Work-Study Program	84.033	1,700,048.01	
Volunteer State Community College	Federal Work-Study Program	84.033	28,237.64	
Walters State Community College	Federal Work-Study Program	84.033	200,721.31	8,814,583.71
Austin Peay State University	Federal Perkins Loan	84.038	\$ 1,320,639.06	
East Tennessee State University	Federal Perkins Loan	84.038	6,744,465.19	
Jackson State Community College	Federal Perkins Loan	84.038	138,119.61	
Middle Tennessee State University	Federal Perkins Loan	84.038	3,334,367.54	
Tennessee State University	Federal Perkins Loan	84.038	2,160,295.83	
Tennessee Technological University	Federal Perkins Loan	84.038	2,325,416.58	
University of Memphis	Federal Perkins Loan	84.038	3,667,081.38	
University of Tennessee	Federal Perkins Loan	84.038	31,883,438.21	51,573,823.40
Austin Peay State University	Federal Pell Grant Program	84.063	\$ 11,515,702.29	
Chattanooga State Technical Community College	Federal Pell Grant Program	84.063	11,798,183.02	
Cleveland State Community College	Federal Pell Grant Program	84.063	4,330,790.88	
Columbia State Community College	Federal Pell Grant Program	84.063	5,236,728.04	
Dyersburg State Community College	Federal Pell Grant Program	84.063	3,734,440.55	
East Tennessee State University	Federal Pell Grant Program	84.063	11,591,369.50	
Jackson State Community College	Federal Pell Grant Program	84.063	10,106,601.63	
Middle Tennessee State University	Federal Pell Grant Program	84.063	19,698,834.69	
Motlow State Community College	Federal Pell Grant Program	84.063	5,495,016.05	
Nashville State Community College	Federal Pell Grant Program	84.063	10,327,934.05	
Northeast State Community College	Federal Pell Grant Program	84.063	8,003,107.85	
Pellissippi State Community College	Federal Pell Grant Program	84.063	9,084,840.48	
Roane State Community College	Federal Pell Grant Program	84.063	8,727,185.03	
Southwest Tennessee Community College	Federal Pell Grant Program	84.063	22,037,217.60	
Tennessee State University	Federal Pell Grant Program	84.063	12,046,130.45	
Tennessee Technological University	Federal Pell Grant Program	84.063	8,500,744.51	
University of Memphis	Federal Pell Grant Program	84.063	22,304,388.88	
University of Tennessee	Federal Pell Grant Program	84.063	30,865,416.13	
Volunteer State Community College	Federal Pell Grant Program	84.063	7,711,215.50	
Walters State Community College	Federal Pell Grant Program	84.063	8,517,390.83	231,633,237.96
Middle Tennessee State University	Federal Direct Student Loans	84.268	\$ 448,598.57	
Motlow State Community College	Federal Direct Student Loans	84.268	1,938,160.00	
Tennessee State University	Federal Direct Student Loans	84.268	2,873,084.00	
Tennessee Technological University	Federal Direct Student Loans	84.268	25,130,523.00	
University of Memphis	Federal Direct Student Loans	84.268	101,736,344.00	
University of Tennessee	Federal Direct Student Loans	84.268	8,000.00	132,134,709.57
Austin Peay State University	Academic Competitiveness Grants	84.375	\$ 203,717.00	

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Chattanooga State Technical Community College	Academic Competitiveness Grants	84.375	141,763.00	
Cleveland State Community College	Academic Competitiveness Grants	84.375	50,433.00	
Columbia State Community College	Academic Competitiveness Grants	84.375	113,450.10	
Dyersburg State Community College	Academic Competitiveness Grants	84.375	27,450.00	
East Tennessee State University	Academic Competitiveness Grants	84.375	350,995.00	
Jackson State Community College	Academic Competitiveness Grants	84.375	184,216.00	
Middle Tennessee State University	Academic Competitiveness Grants	84.375	786,777.22	
Motlow State Community College	Academic Competitiveness Grants	84.375	22,625.00	
Nashville State Community College	Academic Competitiveness Grants	84.375	36,092.00	
Northeast State Community College	Academic Competitiveness Grants	84.375	90,375.00	
Pellissippi State Community College	Academic Competitiveness Grants	84.375	66,700.00	
Roane State Community College	Academic Competitiveness Grants	84.375	158,459.50	
Southwest Tennessee Community College	Academic Competitiveness Grants	84.375	217,825.00	
Tennessee State University	Academic Competitiveness Grants	84.375	128,550.00	
Tennessee Technological University	Academic Competitiveness Grants	84.375	440,060.00	
University of Tennessee	Academic Competitiveness Grants	84.375	1,501,649.00	
Volunteer State Community College	Academic Competitiveness Grants	84.375	67,025.00	
Walters State Community College	Academic Competitiveness Grants	84.375	34,163.00	4,622,324.82
Austin Peay State University	National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376	\$ 123,996.00	
East Tennessee State University	National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376	177,411.00	
Middle Tennessee State University	National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376	370,282.00	
Tennessee State University	National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376	85,115.00	
Tennessee Technological University	National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376	362,906.00	
University of Tennessee	National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376	675,972.00	1,795,682.00
Chattanooga State Technical Community College	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379	\$ 8,000.00	
Middle Tennessee State University	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379	131,500.00	
Tennessee State University	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379	16,000.00	
Tennessee Technological University	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379	167,508.00	
University of Tennessee	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379	12,000.00	335,008.00
Subtotal Direct Programs			\$ 959,453,238.63	

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Passed Through Elm Resources Corporation			
Columbia State Community College	Federal Family Education Loans	84.032 / N.A.	\$ 4,970,219.75
Subtotal Pass-Through Programs			\$ 4,970,219.75
Subtotal Department of Education			\$ 964,423,458.38

Department of Health and Human Services
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Direct Programs			
University of Tennessee	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	93.342	\$ 2,288,034.66
University of Tennessee	Nursing Student Loans	93.364	107,312.55
Austin Peay State University	Scholarships for Health Professions Students from Disadvantaged Backgrounds	93.925	\$ 2,004.50
Dyersburg State Community College	Scholarships for Health Professions Students from Disadvantaged Backgrounds	93.925	53,425.00
Middle Tennessee State University	Scholarships for Health Professions Students from Disadvantaged Backgrounds	93.925	21,287.00
University of Tennessee	Scholarships for Health Professions Students from Disadvantaged Backgrounds	93.925	370,840.00
Subtotal Department of Health and Human Services			\$ 2,842,903.71
Total Student Financial Assistance Cluster			\$ 967,266,362.09

Rural Rental Housing Cluster

Department of Agriculture

Direct Programs			
University of Tennessee	Rural Rental Housing Loans	10.415	\$ 5,460.43
Subtotal Department of Agriculture			\$ 5,460.43
Total Rural Rental Housing Cluster			\$ 5,460.43

SNAP Cluster

Department of Agriculture

Direct Programs			
Human Services	Supplemental Nutrition Assistance Program (Noncash Award)	10.551	\$ 1,367,471,611.90
Human Services	ARRA-Supplemental Nutrition Assistance Program	10.551	52,379,207.00
			\$ 1,419,850,818.90
Human Services	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	\$ 46,787,282.62

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Human Services	ARRA-State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	2,352,247.86	
Labor and Workforce Development	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	5,165,595.98	54,305,126.46
Subtotal Department of Agriculture			\$ 1,474,155,945.36	
Total SNAP Cluster			\$ 1,474,155,945.36	

Child Nutrition Cluster

Department of Agriculture

Direct Programs

Education	School Breakfast Program	10.553		\$ 64,280,448.18
Agriculture	National School Lunch Program (Noncash Award)	10.555	\$ 30,170,007.00	
Education	National School Lunch Program	10.555	191,732,432.59	221,902,439.59
Education	Special Milk Program for Children	10.556		34,581.05
Human Services	Summer Food Service Program for Children	10.559		8,533,320.40
Subtotal Department of Agriculture			\$ 294,750,789.22	
Total Child Nutrition Cluster			\$ 294,750,789.22	

Emergency Food Assistance Cluster
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Department of Agriculture

Direct Programs

Agriculture	Emergency Food Assistance Program (Administrative Costs)	10.568		\$ 965,438.44
Agriculture	Emergency Food Assistance Program (Food Commodities) (Noncash Award)	10.569		10,865,567.00
Subtotal Department of Agriculture			\$ 11,831,005.44	
Total Emergency Food Assistance Cluster			\$ 11,831,005.44	

Schools and Roads Cluster

Department of Agriculture

Direct Programs

Finance and Administration	Schools and Roads_Grants to States	10.665		\$ 1,615,005.47
Subtotal Department of Agriculture			\$ 1,615,005.47	
Total Schools and Roads Cluster			\$ 1,615,005.47	

Section 8 Project-Based Cluster
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Department of Housing and Urban Development
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**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Direct Programs			
Tennessee Housing Development Agency	Section 8 Housing Assistance Payments Program	14.195	\$ 140,789,731.12
Subtotal Department of Housing and Urban Development			\$ 140,789,731.12
Total Section 8 Project-Based Cluster			\$ 140,789,731.12

CDBG - Entitlement Grants Cluster

Department of Housing and Urban Development

Passed Through City of Johnson City

East Tennessee State University	Community Development Block Grants/Entitlement Grants	14.218 / 07-0175	\$ 1,994.46
East Tennessee State University	Community Development Block Grants/Entitlement Grants	14.218 / 08-0204	11,968.05 \$ 13,962.51
Subtotal Department of Housing and Urban Development			\$ 13,962.51
Total CDBG - Entitlement Grants Cluster			\$ 13,962.51

CDBG - State-Administered Small Cities Program Cluster

Department of Housing and Urban Development

Direct Programs

Economic and Community Development	Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii	14.228	\$ 25,243,111.52
Subtotal Department of Housing and Urban Development			\$ 25,243,111.52
Total CDBG - State-Administered Small Cities Program Cluster			\$ 25,243,111.52

CFP Cluster

Department of Housing and Urban Development

Passed Through Chattanooga Housing Authority

Chattanooga State Technical Community College	Public Housing Capital Fund (CFP)	14.872 / 09X-234-00	\$ 6,866.41
Chattanooga State Technical Community College	Public Housing Capital Fund (CFP)	14.872 / PO11661	1,174.79
Chattanooga State Technical Community College	Public Housing Capital Fund (CFP)	14.872 / PO11662	4,931.46 \$ 12,972.66
Subtotal Department of Housing and Urban Development			\$ 12,972.66
Total CFP Cluster			\$ 12,972.66

Fish and Wildlife Cluster

Department of the Interior

Direct Programs

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Tennessee Wildlife Resources Agency	Sport Fish Restoration Program	15.605	\$ 8,843,470.00
Tennessee Wildlife Resources Agency	Wildlife Restoration	15.611	7,800,000.00
Subtotal Department of the Interior			\$ 16,643,470.00
Total Fish and Wildlife Cluster			\$ 16,643,470.00

Employment Service Cluster

Department of Labor

Direct Programs

Labor and Workforce Development	Employment Service/Wagner-Peyser Funded Activities	17.207	\$ 14,322,747.44	
Labor and Workforce Development	ARRA-Employment Service/Wagner-Peyser Funded Activities	17.207	50,786.91	\$ 14,373,534.35
Labor and Workforce Development	Disabled Veterans' Outreach Program (DVOP)	17.801		1,325,330.75
Labor and Workforce Development	Local Veterans' Employment Representative Program	17.804		1,754,433.71
Subtotal Department of Labor				\$ 17,453,298.81
Total Employment Service Cluster				\$ 17,453,298.81

WIA Cluster

Department of Labor

Direct Programs

Labor and Workforce Development	WIA Adult Program	17.258	\$ 22,717,490.82	
Labor and Workforce Development	ARRA-WIA Adult Program	17.258	1,095,589.71	\$ 23,813,080.53
Labor and Workforce Development	WIA Youth Activities	17.259	\$ 25,398,347.59	
Labor and Workforce Development	ARRA-WIA Youth Activities	17.259	8,305,214.28	33,703,561.87
Labor and Workforce Development	WIA Dislocated Workers	17.260	\$ 25,408,622.58	
Labor and Workforce Development	ARRA-WIA Dislocated Workers	17.260	1,930,552.97	27,339,175.55
Subtotal Direct Programs				\$ 84,855,817.95

Passed Through Nashville Career Advancement Center

Middle Tennessee State University	WIA Adult Program	17.258 / 16351		\$ 2,112.21
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Passed Through Southeast Tennessee Development District

Chattanooga State Technical Community College	WIA Adult Program	17.258 / 07-52-FY7-4SS-STATE	\$ 11,933.92	
Chattanooga State Technical Community College	WIA Adult Program	17.258 / 08-52-FY8-4SS-STATE	952.98	
Chattanooga State Technical Community College	WIA Adult Program	17.258 / 09-52-FY9-4SS-STATE	88,276.28	
Chattanooga State Technical Community College	WIA Adult Program	17.258 / 09-52-PY8-1SS-STATE	65,153.29	
Chattanooga State Technical Community College	WIA Adult Program	17.258 / ADULT	70,166.96	236,483.43

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / YOUTH		15,221.59
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 07-52-FY7-4SS-STATE	\$ 14,585.89	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 08-52-FY8-4SS-STATE	1,164.76	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / DSLWKR	58,025.65	73,776.30
Passed Through Upper Cumberland Human Resource Agency				
Volunteer State Community College	WIA Adult Program	17.258 / 08-07-999-2SS-STATE	\$ 118,944.70	
Volunteer State Community College	ARRA-WIA Adult Program	17.258 / 09-08-PY2-065-TTCHMS-ARRA	20,517.11	
Volunteer State Community College	ARRA-WIA Adult Program	17.258 / 09-08-PY2-065-TTCHPCT-ARRA	14,876.57	154,338.38
Volunteer State Community College	ARRA-WIA Dislocated Workers	17.260 / 09-08-PY2-065-TTCHMS-ARRA	\$ 13,678.07	
Volunteer State Community College	ARRA-WIA Dislocated Workers	17.260 / 09-08-PY2-065-TTCHPCT-ARRA	5,502.29	19,180.36
Passed Through City of Memphis				
University of Memphis	ARRA-WIA Youth Activities	17.259 / Work Force Investment		12,460.72
Passed Through Signal Centers, Incorporated				
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR149308	\$ 879.37	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR151503	426.50	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR152030	404.50	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR155541	24.80	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR157854	55.00	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR160446	165.00	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR160466	252.00	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR160469	252.25	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR161543	442.50	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR161805	197.00	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR162744	55.00	
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / SSR167798	745.00	3,898.92
Passed Through Southern Union State Community College				
Chattanooga State Technical Community College	WIA Youth Activities	17.259 / 84212438		2,585.91
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 65102407	\$ 7,521.25	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 76212438	3,038.31	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 85102407	5,953.62	

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 85112407	13,470.94	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 85112429	3,101.06	
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 86212438	1,206.00	34,291.18
Passed Through Coosa Valley Regional Development Center				
Chattanooga State Technical Community College	WIA Dislocated Workers	17.260 / 01-08-025	5,646.89	
Subtotal Pass-Through Programs			\$	559,995.89
Subtotal Department of Labor			\$	85,415,813.84
Total WIA Cluster			\$	85,415,813.84

Highway Planning and Construction Cluster

Department of Transportation

Direct Programs

Transportation	Highway Planning and Construction	20.205	\$ 691,119,251.83	
Transportation	ARRA-Highway Planning and Construction	20.205	8,323,694.94	
University of Tennessee	Highway Planning and Construction	20.205	(8,407.33)	\$ 699,434,539.44
Environment and Conservation	Recreational Trails Program	20.219		777,415.42
Subtotal Department of Transportation				\$ 700,211,954.86
Total Highway Planning and Construction Cluster				\$ 700,211,954.86

Federal Transit Cluster

Department of Transportation

Direct Programs

Transportation	Federal Transit_Capital Investment Grants	20.500		\$ 4,059,825.81
Subtotal Department of Transportation				\$ 4,059,825.81
Total Federal Transit Cluster				\$ 4,059,825.81

Transit Services Programs Cluster

Department of Transportation

Direct Programs

Transportation	Capital Assistance Program for Elderly Persons and Persons With Disabilities	20.513		\$ 801,102.60
Transportation	Job Access_Reverse Commute	20.516		1,287,681.53
Transportation	New Freedom Program	20.521		52,093.52
Subtotal Department of Transportation				\$ 2,140,877.65

**State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009**

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Total Transit Services Program Cluster			\$ 2,140,877.65
Highway Safety Cluster			
Department of Transportation			
Direct Programs			
Transportation	State and Community Highway Safety	20.600	\$ 4,314,814.20
Transportation	Alcohol Impaired Driving	20.601	2,627,217.26
	Countermeasures Incentive Grants I		
Transportation	Safety Belt Performance Grants	20.609	815,124.69
Transportation	State Traffic Safety Information	20.610	305,943.99
	System Improvement Grants		
Transportation	Incentive Grant Program to Prohibit	20.611	80,064.70
	Racial Profiling		
Transportation	Incentive Grant Program to Increase	20.612	66,819.10
	Motorcyclist Safety		
Transportation	Child Safety and Child Booster Seats	20.613	887,226.08
	Incentive Grants		
Subtotal Department of Transportation			\$ 9,097,210.02
Total Highway Safety Cluster			\$ 9,097,210.02
Title I, Part A Cluster			
Department of Education			
Direct Programs			
Education	Title I Grants to Local Educational Agencies	84.010	\$ 228,344,100.31
Subtotal Direct Programs			\$ 228,344,100.31
Passed Through Alabama Department of Education			
University of Memphis	Title I Grants to Local Educational Agencies	84.010 / CBU0535	\$ 13,338.13
Passed Through Virginia Department of Education			
University of Memphis	Title I Grants to Local Educational Agencies	84.010 / E080303-540-069	\$ 51,588.14
University of Memphis	Title I Grants to Local Educational Agencies	84.010 / SES CREP 2008	4,300.06
Subtotal Pass-Through Programs			\$ 69,226.33
Subtotal Department of Education			\$ 228,413,326.64
Total Title I, Part A Cluster			\$ 228,413,326.64
Special Education Cluster (IDEA)			
Department of Education			
Direct Programs			
Education	Special Education_Grants to States	84.027	\$ 233,443,847.62

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Education	Special Education_Preschool Grants	84.173	7,018,629.20
Education	ARRA-Special Education Grants to States, Recovery Act	84.391	3,423,726.89
Education	ARRA-Special Education - Preschool Grants, Recovery Act	84.392	17,607.45
Subtotal Department of Education			\$ 243,903,811.16
Total Special Education Cluster (IDEA)			\$ 243,903,811.16

TRIO Cluster

Department of Education

Direct Programs

Austin Peay State University	TRIO_Student Support Services	84.042	\$ 260,943.15	
Dyersburg State Community College	TRIO_Student Support Services	84.042	287,276.28	
East Tennessee State University	TRIO_Student Support Services	84.042	278,094.72	
Middle Tennessee State University	TRIO_Student Support Services	84.042	223,773.10	
Northeast State Community College	TRIO_Student Support Services	84.042	283,903.44	
Pellissippi State Community College	TRIO_Student Support Services	84.042	252,824.72	
Tennessee State University	TRIO_Student Support Services	84.042	232,332.99	
University of Memphis	TRIO_Student Support Services	84.042	221,564.87	
University of Tennessee	TRIO_Student Support Services	84.042	907,399.40	
Volunteer State Community College	TRIO_Student Support Services	84.042	235,018.60	\$ 3,183,131.27
East Tennessee State University	TRIO_Talent Search	84.044	\$ 260,751.41	
Middle Tennessee State University	TRIO_Talent Search	84.044	175,195.09	
Tennessee State University	TRIO_Talent Search	84.044	313,087.96	
University of Tennessee	TRIO_Talent Search	84.044	208,025.16	957,059.62
Austin Peay State University	TRIO_Upward Bound	84.047	\$ 848,521.76	
Dyersburg State Community College	TRIO_Upward Bound	84.047	296,418.40	
East Tennessee State University	TRIO_Upward Bound	84.047	1,265,826.26	
Southwest Tennessee Community College	TRIO_Upward Bound	84.047	372,608.91	
Tennessee State University	TRIO_Upward Bound	84.047	289,895.48	
University of Tennessee	TRIO_Upward Bound	84.047	1,790,871.94	4,864,142.75
Austin Peay State University	TRIO_Educational Opportunity Centers	84.066	\$ 351,990.01	
East Tennessee State University	TRIO_Educational Opportunity Centers	84.066	222,127.84	
Southwest Tennessee Community College	TRIO_Educational Opportunity Centers	84.066	226,884.27	
University of Tennessee	TRIO_Educational Opportunity Centers	84.066	708,955.87	1,509,957.99
East Tennessee State University	TRIO_McNair Post-Baccalaureate	84.217	\$ 291,966.24	
Middle Tennessee State University	TRIO_McNair Post-Baccalaureate	84.217	213,180.30	
University of Tennessee	TRIO_McNair Post-Baccalaureate	84.217	66,438.44	571,584.98
Subtotal Department of Education				\$ 11,085,876.61
Total TRIO Cluster				\$ 11,085,876.61

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Vocational Rehabilitation Cluster			
Department of Education			
Direct Programs			
Human Services	Rehabilitation Services_Vocational Rehabilitation Grants to States	84.126	\$ 60,784,339.57
Subtotal Department of Education			\$ 60,784,339.57
Total Vocational Rehabilitation Cluster			\$ 60,784,339.57
Early Intervention Services (IDEA) Cluster			
Department of Education			
Direct Programs			
Education	Special Education-Grants for Infants and Families	84.181	\$ 2,408,077.70
Education	ARRA-Special Education - Grants for Infants and Families, Recovery Act	84.393	5,186.64
Subtotal Department of Education			\$ 2,413,264.34
Total Early Intervention Services (IDEA) Cluster			\$ 2,413,264.34
State Fiscal Stabilization Fund Cluster			
Department of Education			
Direct Programs			
Education	ARRA-State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	84.394	\$ 18,000,000.00
Finance and Administration	ARRA-State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	84.394	3,855,339.88 \$ 21,855,339.88
Subtotal Department of Education			\$ 21,855,339.88
Total State Fiscal Stabilization Fund Cluster			\$ 21,855,339.88
Aging Cluster			
Department of Health and Human Services			
Direct Programs			
Commission on Aging and Disability	Special Programs for the Aging_ Title III, Part B_ Grants for Supportive Services and Senior Centers	93.044	\$ 3,848,119.24
Commission on Aging and Disability	Special Programs for the Aging_ Title III, Part C_ Nutrition Services	93.045	19,150,839.99
Commission on Aging and Disability	Nutrition Services Incentive Program	93.053	578,200.00
Commission on Aging and Disability	ARRA-Aging Home-Delivered Nutrition Services for States	93.705	56,314.00

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
Commission on Aging and Disability	ARRA-Aging Congregate Nutrition Services for States	93.707	87,830.00
Subtotal Department of Health and Human Services			\$ 23,721,303.23
Total Aging Cluster			\$ 23,721,303.23

Health Centers Cluster

Department of Health and Human Services
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Direct Programs

East Tennessee State University	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)	93.224	\$ 998,200.72	
Health	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)	93.224	1,658,385.49	\$ 2,656,586.21
East Tennessee State University	ARRA-Health Center Integrated Services Development Initiative[g1]	93.703	\$ 10,928.76	
Health	ARRA-Health Center Integrated Services Development Initiative[g1]	93.703	2,631.61	13,560.37
Subtotal Direct Programs				\$ 2,670,146.58

Passed Through Michigan Public Health Institute

East Tennessee State University	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)	93.224 / 104149		\$ 301.87
Subtotal Pass-Through Programs				\$ 301.87

Subtotal Department of Health and Human Services				\$ 2,670,448.45
Total Health Centers Cluster				\$ 2,670,448.45

Immunization Cluster

Department of Health and Human Services
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Direct Programs

Health	Immunization Grants	93.268	\$ 3,082,004.82	
Health	Immunization Grants (Noncash Award)	93.268	56,454,688.00	\$ 59,536,692.82
Subtotal Department of Health and Human Services				\$ 59,536,692.82
Total Immunization Cluster				\$ 59,536,692.82

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues
TANF Cluster			
Department of Health and Human Services			
Direct Programs			
Human Services	Temporary Assistance for Needy Families	93.558	\$ 251,154,004.76
Subtotal Department of Health and Human Services			\$ 251,154,004.76
Total TANF Cluster			\$ 251,154,004.76
CSBG Cluster			
Department of Health and Human Services			
Direct Programs			
Human Services	Community Services Block Grant	93.569	\$ 13,968,446.11
Subtotal Department of Health and Human Services			\$ 13,968,446.11
Total CSBG Cluster			\$ 13,968,446.11
CCDF Cluster			
Department of Health and Human Services			
Direct Programs			
Human Services	Child Care and Development Block Grant	93.575	\$ 88,147,112.00
Human Services	Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	74,741,171.39
Human Services	ARRA-Child Care and Development Block Grant	93.713	11,087,531.73
Subtotal Direct Programs			\$ 173,975,815.12
Passed Through Signal Centers, Incorporated			
University of Tennessee	Child Care and Development Block Grant	93.575 / GR-08-21909	\$ 3,034.70
University of Tennessee	Child Care and Development Block Grant	93.575 / GR-09-25256-00	452,399.68
Subtotal Pass-Through Programs			\$ 455,434.38
Subtotal Department of Health and Human Services			\$ 174,431,249.50
Total CCDF Cluster			\$ 174,431,249.50
Head Start Cluster			
Department of Health and Human Services			
Direct Programs			

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

State Grantee Agency	Program Name	CFDA / Other Identifying Number	Disbursement/Issues	
Education	Head Start	93.600	\$ 263,177.07	
Tennessee State University	Head Start	93.600	1,723,370.83	\$ 1,986,547.90
Subtotal Direct Programs				\$ 1,986,547.90
Passed Through Shelby County Government				
University of Memphis	Head Start	93.600 / CA074475	\$ 54,279.60	
University of Memphis	Head Start	93.600 / CA084475	634,726.26	\$ 689,005.86
Subtotal Pass-Through Programs				\$ 689,005.86
Subtotal Department of Health and Human Services				\$ 2,675,553.76
Total Head Start Cluster				\$ 2,675,553.76

Medicaid Cluster

Department of Health and Human Services

Direct Programs

Tennessee Bureau of Investigation	State Medicaid Fraud Control Units	93.775		\$ 2,543,689.12
Health	State Survey and Certification Of	93.777		6,564,020.75
	Health Care Providers and Suppliers			
Finance and Administration	Medical Assistance Program	93.778	\$ 5,279,881,061.48	
Finance and Administration	ARRA-Medical Assistance Program	93.778	490,151,337.80	
University of Tennessee	Medical Assistance Program	93.778	23,244,785.00	5,793,277,184.28
Subtotal Department of Health and Human Services				\$ 5,802,384,894.15
Total Medicaid Cluster				\$ 5,802,384,894.15

Disability Insurance/SSI Cluster

Social Security Administration

Direct Programs

Human Services	Social Security_Disability Insurance	96.001		\$ 50,152,481.17
Subtotal Social Security Administration				\$ 50,152,481.17
Total Disability Insurance/SSI Cluster				\$ 50,152,481.17

Homeland Security Cluster

Department of Homeland Security

Direct Programs

Military	Homeland Security Grant Program	97.067		\$ 26,305,749.75
Subtotal Direct Programs				\$ 26,305,749.75
Passed Through City of Knoxville				
University of Tennessee	Homeland Security Grant Program	97.067 / C-09-0173		\$ 9,804.42
Subtotal Pass-Through Programs				\$ 9,804.42

State of Tennessee
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2009

<u>State Grantee Agency</u>	<u>Program Name</u>	<u>CFDA / Other Identifying Number</u>	<u>Disbursement/Issues</u>
Subtotal Department of Homeland Security			<u>\$ 26,315,554.17</u>
Total Homeland Security Cluster			<u>\$ 26,315,554.17</u>
Grand Total Federal Assistance			<u><u>\$ 13,779,245,447.24</u></u>

N.A. = Not Available

The accompanying notes are an integral part of this schedule.

State of Tennessee
Notes to the Schedule of Expenditures of Federal Awards
June 30, 2009

NOTE 1. PURPOSE OF THE SCHEDULE

The Single Audit of the State of Tennessee for the year ended June 30, 2009, was conducted in accordance with Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, which requires a disclosure of the financial activities of all federally funded programs. To comply with the circular, the Department of Finance and Administration required each department, agency, and institution that expended direct or pass-through federal funding during the year to prepare a schedule of expenditures of federal awards and reconciliations with both the state's accounting system and grantor financial reports. The schedules for the departments, agencies, and institutions were combined to form the Schedule of Expenditures of Federal Awards for the State of Tennessee. The schedules for the state's technology centers have been combined with the schedules for the community colleges designated as their lead institutions.

NOTE 2. BASIS OF ACCOUNTING FOR PRESENTATION OF SCHEDULE

The Schedule of Expenditures of Federal Awards is reported on the accrual basis of accounting. The basis of accounting changed from the cash basis.

NOTE 3. UNEMPLOYMENT INSURANCE

State unemployment tax revenues and other payments and revenues are combined with federal funds and used to pay benefits under the Unemployment Insurance (CFDA 17.225) program. The state and federal portions of the total expenditures reported in the Schedule of Expenditures of Federal Awards were \$939,404,251.59 and \$539,893,141.52, respectively.

NOTE 4. LOAN AND LOAN GUARANTEE PROGRAMS

Federal Perkins Loan Program, Federal Capital Contributions (CFDA 84.038); Nurse Faculty Loan Program (NFLP) (CFDA 93.264); Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (CFDA 93.342); and Nursing Student Loans (CFDA 93.364): Institutions of higher education within the State reporting entity administer these federal student loan programs. Expenditures of federal awards in the accompanying Schedule of Expenditures of Federal Awards include the value of new loans made during the year, the balance of loans from previous years due to federal continuing compliance requirements, and administrative cost allowances.

State of Tennessee
Notes to the Schedule of Expenditures of Federal Awards
June 30, 2009
(continued)

Loan balances outstanding at year-end:

<u>Program</u>	<u>CFDA #</u>	<u>Amount Outstanding</u>
Federal Perkins Loan Program_Federal Capital Contributions	84.038	\$51,459,959.40
Nurse Faculty Loan Program (NFLP)	93.264	\$71,180.40
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	93.342	\$2,288,034.66
Nursing Student Loans	93.364	\$107,312.55

Federal Family Education Loans (CFDA 84.032) and Federal Direct Student Loans (CFDA 84.268): The loans under these programs are made by outside lenders to students at institutions of higher education within the State reporting entity. The institutions are responsible for certain administrative requirements for new loans. As a result, the value of loans made during the year and administrative cost allowances are recognized as expenditures of federal awards in the accompanying Schedule of Expenditures of Federal Awards. The balance of loans for previous years is not included because the lender accounts for the prior balances.

The Federal Family Education Loans are insured by the Tennessee Student Assistance Corporation (TSAC), a component unit. At June 30, 2009, the insured loans outstanding totaled \$4,895,177,822.80. Expenditures of the federal award to TSAC for administrative cost allowances and payments on defaulted loans are reported in the unclustered section of the accompanying Schedule of Expenditures of Federal Awards.